Form **990** 

Sign Here

M SUZANNE CALANDRA SAVP FOR FINANCE

Type or print name and title

Print/Type preparer's name

Department of the Treasury Internal Revenue Service

# **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public

► Information about Form 990 and its instructions is at <a href="https://www.IRS.gov/form990">www.IRS.gov/form990</a>

2016

DLN: 93493197011708

Open to Public Inspection

A F	or th	e <b>2016</b> c <u>al</u> e	ndar year, or tax year beginning 09-01-2016 , and ending 08-31-20	17			
<b>B</b> Che	ck ıf a		Name of organization		D Employer i	dentıfı	cation number
☐ Ad	dress	change	THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY		94-115636	5	
□ Na		_	% CHRISTOPHER CANELLOS Doing business as			_	
☐ Ini		turn	Stanford University	l.			
□detur	n/terr	minated —	Number and street (or P O box if mail is not delivered to street address) Room/suite		E Telephone n	umber	
_		d return	3145 PORTER DRIVE		(650) 725-	1732	
⊔ Ар	piicati	on pending	City or town, state or province, country, and ZIP or foreign postal code				_
			PALO ALTO, CA 94304		<b>G</b> Gross receip	ts \$ 24	,652,679,687
		F	Name and address of principal officer	a) Is this	a group returi	n for	
		I	Marc Tessier-Lavigne Building 10	subord	ınates?		□ <sub>Yes</sub> ☑ <sub>No</sub>
			Stanford, CA 94305		subordinates		☐ Yes ☐No
I Tax	k-exer	mpt status	<b>2</b> 501(c)(3)  □ 501(c)( ) <b>4</b> (insert no ) □ 4947(a)(1) or □ 527	include	attach a list	(500 )	
1 14/	obcit			•	exemption nu	•	•
J 44.	сизн	te. P ******	STANI OND EDO	, c.c.p			
K Forn	n of o	rganization	✓ Corporation ☐ Trust ☐ Association ☐ Other ► L Yes	ar of format	ion 1885 <b>M</b>	State c	f legal domicile CA
	., 0, 0	rgamzacion z	- corporation - mass -				
Pa	rt I	Summa	ary				
			be the organization's mission or most significant activities	11AVE ACI	ITEVED EMINE	NCE II	N BOTH
e)			INIVERSITY IS ONE OF A SELECT GROUP OF AMERICAN UNIVERSITIES THAT UATE AND GRADUATE EDUCATION AND RESEARCH - CONT'D SCH O	HAVE ACF	ITEAED EMINE	INCE II	N BOTH
inc inc	-						
Ě	-						
9 <b>A</b> 0	ַ	Clanali, Maria I	pox $ ightharpoonup$ if the organization discontinued its operations or disposed of more t	Jan 350/	-6.44		
Ğ			yoting members of the governing body (Part VI, line 1a)		or its net asse	ïs   з	31
<b>ಸ</b> ್	l					4	28
UNDERGRADUATE AND GRADUATE EDUCATION AND RESEARCH - CONT'D SCH O  Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its new Number of voting members of the governing body (Part VI, line 1a)							37,510
3	l		er of volunteers (estimate if necessary)		'	5 6	11,000
A	l		ted business revenue from Part VIII, column (C), line 12		•	7a	28,747,451
	l		ed business taxable income from Form 990-T, line 34			7b	-38,642,092
		ivec uni ciaco	sa basiliess taxable income from Form 550 1, line 54 1 1 1 1 1 1	 Drio	r Year	1 1	Current Year
	R	Contribution	ns and grants (Part VIII, line 1h)		,586,183,439	1	2,355,413,071
₫	l		rvice revenue (Part VIII, line 2g)		2,467,896,699	1	2,718,965,964
Ravenue	l	_	Income (Part VIII, column (A), lines 3, 4, and 7d )		.,783,116,021		2,113,341,870
æ	l		· · · · · · · · · · · · · · · · · · ·			<b>-</b>	<del></del>
	l		ue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-	128,760,418 5,965,956,577		154,001,349 7,341,722,254
	-		ue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)				
	l		similar amounts paid (Part IX, column (A), lines 1–3 )		437,702,989		461,687,755
	l	•	d to or for members (Part IX, column (A), line 4)	_	0	-	0
ક્	l		ner compensation, employee benefits (Part IX, column (A), lines 5–10)		3,090,199,894	1	3,300,121,531
Expenses	١.		I fundraising fees (Part IX, column (A), line 11e)		831,080		176,476
<del>S</del>	l		ing expenses (Part IX, column (D), line 25) ▶108,885,007				
ш	l		nses (Part IX, column (A), lines 11a–11d, 11f–24e)	1	.,751,935,294		2,035,405,437
	l	•	ses Add lines 13–17 (must equal Part IX, column (A), line 25)	5	5,280,669,257		5,797,391,199
	19	Revenue les	s expenses Subtract line 18 from line 12		.,685,287,320	<u> </u>	1,544,331,055
C S			В	eginning o	of Current Year		End of Year
sets	20	Total accets	(Part X, line 16)	,767,407,673	-	41,954,221,978	
Ass J B	l			1	7,263,002,538		
Beginning of Current Year  20 Total assets (Part X, line 16)						1	
				31	.,/17,1/0,030		34,691,219,440
Par Under			<b>ure Block</b> ury, I declare that I have examined this return, including accompanying scheo	dules and	statements. a	nd to 1	the best of mv
knowl	edge	and belief, i	t is true, correct, and complete Declaration of preparer (other than officer) is				
any k	nowle	edge					
		1		2018	-07-16		
C:		Signature	of officer	Date			

Preparer's signature

Date

PTIN

D						
гoп	Statemen	t of Program Serv	vice Accomplis	hments		
	Check if Sch	edule O contains a re	sponse or note to a	any line in this Part III		
1	Briefly describe the	organization's missio	n			
		S ONE OF A SELECT G ON AND RESEARCH -		AN UNIVERSITIES THAT	HAVE ACHIEVED EMINENCE II	N BOTH UNDERGRADUATE
2	-	, ,		vices during the year wh		☐ Yes ☑ No
	•					⊔ Yes ⊻ No
3	•	ese new services on S		changes in how it condu	ctc any program	
3	-		-	-	cts, any program	. 🗆 Yes 🗹 No
	If "Yes," describe th	ese changes on Sche	dule O			
	B 1 11					analysed by avenues
4	Section $501(c)(3)$ a		ations are required	to report the amount of	argest program services, as m grants and allocations to othe	
4 4a	Section $501(c)(3)$ a	nd 501(c)(4) organiza	ations are required program service re	to report the amount of		
	Section 501(c)(3) a expenses, and reve	nd 501(c)(4) organiza nue, if any, for each p	ations are required program service re	to report the amount of ported	grants and allocations to othe	ers, the total
	Section 501(c)(3) a expenses, and reve	nd 501(c)(4) organiza nue, if any, for each p	ations are required program service re 1,839,111,869	to report the amount of ported	grants and allocations to othe	ers, the total
4a	Section 501(c)(3) a expenses, and reverses (Code See Additional Data	nd 501(c)(4) organiza nue, if any, for each p ) (Expenses \$	ations are required program service re 1,839,111,869	to report the amount of ported including grants of \$	grants and allocations to othe 36,296,446 ) (Revenue \$	ers, the total '
4a	Section 501(c)(3) a expenses, and reverses (Code See Additional Data (Code	nd 501(c)(4) organiza nue, if any, for each p ) (Expenses \$	1,839,111,869 1,149,556,817	to report the amount of ported including grants of \$	grants and allocations to othe 36,296,446 ) (Revenue \$	ers, the total '
4a 4b	Section 501(c)(3) a expenses, and reverses (Code See Additional Data (Code See Additional Data	nd 501(c)(4) organiza nue, if any, for each p ) (Expenses \$ ) (Expenses \$	1,839,111,869 1,149,556,817	to report the amount of ported including grants of \$ including grants of \$	36,296,446 ) (Revenue \$ 24,436,641 ) (Revenue \$	850,815,834 ) 197,324,390 )
4a 4b	Section 501(c)(3) a expenses, and revel  (Code See Additional Data  (Code See Additional Data  (Code See Additional Data	nd 501(c)(4) organiza nue, if any, for each p ) (Expenses \$ ) (Expenses \$	1,839,111,869 1,149,556,817 1,113,052,759	to report the amount of ported including grants of \$ including grants of \$	36,296,446 ) (Revenue \$ 24,436,641 ) (Revenue \$	850,815,834 ) 197,324,390 )
4a 4b 4c	Section 501(c)(3) a expenses, and revel  (Code See Additional Data  (Code See Additional Data  (Code See Additional Data	nd 501(c)(4) organization of the control of the con	1,839,111,869 1,149,556,817 1,113,052,759	to report the amount of ported  Including grants of \$  Including grants of \$  Including grants of \$	36,296,446 ) (Revenue \$ 24,436,641 ) (Revenue \$ 778,122 ) (Revenue \$	850,815,834 ) 197,324,390 )

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 为	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 💆	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3		No
4	Section 501(c)(3) organizations.  Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year?  If "Yes," complete Schedule C, Part II	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19?  If "Yes," complete Schedule C, Part III	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts?  If "Yes," complete Schedule D, Part I	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets?  If "Yes," complete Schedule D, Part III	8	Yes	
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services?If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 🛂	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, VIII, IX, or X as applicable			
	Did the organization report an amount for land, buildings, and equipment in Part X, line 10?  If "Yes," complete Schedule D, Part VI	11a	Yes	
	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 🥞	11b	Yes	
	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		No
	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 2	11d		No
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 🕏	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Yes	
	Did the organization obtain separate, independent audited financial statements for the tax year?  If "Yes," complete Schedule D, Parts XI and XII 2	12a		No
	Was the organization included in consolidated, independent audited financial statements for the tax year?  If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	Yes	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	Yes	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	Yes	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G. Part III	19		No

37

38

OHIII	330 (2010)			Page
Par	t IV Checklist of Required Schedules (continued)			
20-	Did the everywhere are as more horself facilities? If "Ves." complete Calculus U		Yes	No
	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.  Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?  If "Yes," complete Schedule L, Part I	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons?  If "Yes," complete Schedule L, Part II	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L,			
	Part IV	28a		No
	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b	Yes	
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 🐒	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part $I$ .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets?  If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related			

Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI

Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 197 Note.

Νo

36

37

FC	Charles & Cabadula O caratagas a recessor an pata to any line in this Day	<b>-</b>				<b>✓</b>
	Check if Schedule O contains a response or note to any line in this Par	τν.		•	Yes	No
1.	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	28,753		res	NO
	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	20,733			
	Did the organization comply with backup withholding rules for reportable payments to v					
	(gambling) winnings to prize winners?		and reportable gaming	1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	37,510			
b	If at least one is reported on line 2a, did the organization file all required federal emplo <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (s			2b	Yes	
3a	Did the organization have unrelated business gross income of $$1,000$ or more during the	ne year?	·	3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation	n ın Sch	nedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a sign financial account in a foreign country (such as a bank account, securities account, or ot			4a	Yes	
b	If "Yes," enter the name of the foreign country  See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank ar	nd Finar	ncial Accounts (FBAR)			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during	the tax	year?	5a		No
	Did any taxable party notify the organization that it was or is a party to a prohibited tax		•	5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			30		
Ī	1. Too, to line out of ob, and the organization me form code ( ).			5c		
	Does the organization have annual gross receipts that are normally greater than $$100,000$ solicit any contributions that were not tax deductible as charitable contributions?		-	6a		No
Ь	If "Yes," did the organization include with every solicitation an express statement that so not tax deductible?		ntributions or gifts were	6b		
7	Organizations that may receive deductible contributions under section 170(c).					
	Did the organization receive a payment in excess of \$75 made partly as a contribution provided to the payor?			7a	Yes	
	If "Yes," did the organization notify the donor of the value of the goods or services prov			7b	Yes	
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property Form 8282?	for whice	th it was required to file	7c	Yes	
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	3			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a per	sonal be	enefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a person	al benet	fit contract?	7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property, did the organization received a contribution of qualified intellectual property.			<b>7</b> g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, d 1098-C?	lid the o	organization file a Form	7h		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess busin the year?	ess hold	dings at any time during	8		No
0 -	Did the sponsoring organization make any taxable distributions under section 4966? .			9a		No
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or relate	ed perso	n?	9b		No
10	Section 501(c)(7) organizations. Enter	a perso				-110
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	Section 501(c)(12) organizations. Enter		1			
	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them )	11b				
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form	990 ın l	ieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year					
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	12b				
а	Is the organization licensed to issue qualified health plans in more than one state? <b>Note</b> additional information the organization must report on Schedule O	e. See tl	ne instructions for	13-		
ь	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		13a		
c	Enter the amount of reserves on hand	13c				
	Did the organization receive any payments for indoor tanning services during the tax ye			14a		No
	If "Yes" has it filed a Form 720 to report these payments 2 if "No." provide an explanation			4.41-		110

8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions  $\overline{\mathbf{v}}$ Check if Schedule O contains a response or note to any line in this Part VI . . . . Section A. Governing Body and Management No 1a Enter the number of voting members of the governing body at the end of the tax year 31 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O Enter the number of voting members included in line 1a, above, who are independent 1b 28 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other 2 Yes Did the organization delegate control over management duties customarily performed by or under the direct supervision 3 Nο of officers, directors or trustees, or key employees to a management company or other person? Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 4 Νo Did the organization become aware during the year of a significant diversion of the organization's assets? . 5 Νo 6 Νo Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more 7a Νo No Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or 7b persons other than the governing body? Did the organization contemporaneously document the meetings held or written actions undertaken during the year by 8a Yes Each committee with authority to act on behalf of the governing body? . . . . . . . . . . . . . . . 8b Yes Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O q Nο Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) Ves No 10a Nο 10a Did the organization have local chapters, branches, or affiliates? . . . . . **b** If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, 10b and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the 11a Yes **b** Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . . . . 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 . . . . . . . . . . . 12a Yes Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to 12b Yes Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in 12c Yes 13 13 Yes Did the organization have a written document retention and destruction policy? . . . 14 Yes Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official . . . . . . 15a Yes 15b Yes If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a 16a Nο b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? 16b Section C. Disclosure List the States with which a copy of this Form 990 is required to be filed▶ Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply ☑ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O) Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year State the name, address, and telephone number of the person who possesses the organization's books and records ▶CHRISTOPHER CANELLOS 3145 PORTER DRIVE Palo Alto, CA 94304 (650) 725-1732

orm 990 (2	2016)										Page <b>7</b>
Part VII	Compensation of Officer and Independent Contra		Truste	es,	Key	En	ploy	ees	, Highest Comp	ensated Employ	rees,
	Check if Schedule O contains a	response or no	te to an	y line	ın t	his l	Part VI	Ι.			🔽
Section	A. Officers, Directors, Tru	stees, Key E	mploy	ees	, an	d H	ighe	st C	ompensated En	nployees	
La Complete rear	e this table for all persons require	ed to be listed	Report	comp	ensa	ition	for th	е са	lendar year ending	with or within the o	rganızatıon's tax
	of the organization's <b>current</b> off ation Enter -0- in columns (D), (							als d	or organizations), re	gardless of amount	
• List all o	of the organization's <b>current</b> key	employees, if a	any Se	e inst	ructi	ons	for de	fınıtı	on of "key employe	e "	
vho receive	organization's five <b>current</b> high d reportable compensation (Box and any related organizations										
	of the organization's <b>former</b> office e compensation from the organiz						pensat	ed e	employees who rece	ved more than \$10	0,000
	of the organization's <b>former dire</b> , more than \$10,000 of reportab										•
	in the following order individua d employees, and former such p		ectors,	ınstıtı	utior	nal tı	rustees	s, of	ficers, key employe	es, highest	
☐ Check t	his box if neither the organizatio	n nor any relate	ed organ	nzatio	on co	omp	ensate	d ar	y current officer, di	rector, or trustee	
	<b>(A)</b> Name and Title	(B) Average hours per week (list any hours for related	than o	one bo oth a direct	ox, un of	t che inles ficer ruste	and a ee)	on	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-	(F) Estimated amount of other compensation from the organization and
		organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former		MISC)	related organizations
See Addition	al Data Table						_				

Par	Section A. Officers, Direct	ctors, irustees	s, key	Emp	loye	ees,	and	Higi	nest Comper	isate	ea Employees	conti	nuea)		
	<b>(A)</b> Name and Title	(B) Average hours per week (list any bours	than o	one b	ox, ι in of	t ch unle ficei	and a	son	(D) Reportable compensat from the organization	on (W-	(E) Reportable compensation from related organizations (	w-	(F) Estimated amount of oth compensatio from the organization a		
		for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	2/1099-MI	<b>SC)</b>	2/1099-MISC	)	rganizat relat organiza	ed	
See	Addıtıonal Data Table														
												_			
												_			
	Sub-Total						•					Ľ			
	Total from continuation sheets to I Total (add lines 1b and 1c)  .   .	•		٠.	٠.	•	<b>&gt;</b>		29,218,42	2		0		3,019,59	
2	Total number of individuals (includin of reportable compensation from the	g but not limited	l to thos			bov	e) who	rec	eived more tha	n \$1	00,000				
													Yes	No	
3	Did the organization list any <b>former</b> line 1a? <i>If "Yes," complete Schedule</i>	J for such indivi	dual .	•	•	•		•			• •	3	Yes		
4	For any individual listed on line 1a, i organization and related organization individual	ns greater than s	ortable \$150,00	comp 0? <i>If</i> •	ensa "Yes	atior 5, " c	and on omple	other te Sc	compensation	fron uch	n the	4	Yes		
5	Did any person listed on line 1a rece services rendered to the organization									r ınd	ıvıdual for • • •	5		No	
	ection B. Independent Contrac														
1	Complete this table for your five high from the organization. Report compe											npens	ation		
	Name	(A) and business addre	ess							Desc	(B) ription of services		(C Comper	nsation	
690 ( MILP	CON CONSTRUCTION INC, SIBRALTAR DR ITAS, CA 95035								CONS					,750,511	
3197	CE BROWN INC, PARK BLVD ALTO, CA 94306								CONS	TRUC	TION		88	,027,611	
THE '	WHITING-TURNER CONTRACTING CO, OX 17596								CONS	TRUC	ΓΙΟΝ		43	,534,717	
BALT	IMORE, MD 212971596 HAWAY DINWIDDIE CONSTRUCTION COM,								CONS	TRUC	ΓΙΟΝ	$\dashv$	39	,182,741	
275 E	BATTERY ST STE 300 FRANCISCO, CA 94111														
1 0 0 8	CORE GROUP, N MCCARTHY BLVD STE 100 ITAS, CA 95035								CONS	TRUC	TION		30	,785,571	
	Total number of independent contracto compensation from the organization <b>&gt;</b>		not lim	nted 1	to th	ose	listed	abov	ve) who receiv	ed m	ore than \$100,00	0 of			
							_	_							

Page 9 Part VIII Statement of Revenue **~** Check if Schedule O contains a response or note to any line in this Part VIII (C) (A) (B) (D) Related or Unrelated Total revenue Revenue exempt business excluded from function revenue tax under sections revenue 512-514 1a Federated campaigns . . 1a Contributions, Gifts, Grants and Other Similar Amounts **b** Membership dues . **1**b c Fundraising events . 1,988,757 1c d Related organizations 1d e Government grants (contributions) 1,298,875,674 1e f All other contributions, gifts, grants, and similar amounts not included 1f 1,054,548,640 g Noncash contributions included in lines 1a-1f \$ \_ 273,097,753 h Total.Add lines 1a-1f . . . . . 2,355,413,071 Business Code Program Service Revenue 2a STUDENT INCOME 900099 904,664,714 904,664,714 900099 197,324,390 197,324,390 **b** GOVT & NON GOVT CONTRACT 900099 1,023,320,287 1,023,320,287 C PATIENT CARE 900099 592,951,680 592,951,680 d SPECIAL PROGRAMS 713910 347,396 347,396 e DRIVING RANGE 357,497 357,497 f All other program service revenue 2,718,965,964 gTotal.Add lines 2a-2f . . . . 3 Investment income (including dividends, interest, and other 236,982,825 27,407,374 209,575,451 sımılar amounts) . . . . . 1,414,975 1,414,975 4 Income from investment of tax-exempt bond proceeds 5,571,279 5,571,279 (ı) Real (II) Personal 6a Gross rents 165,711,459 19,113,694 **b** Less rental expenses c Rental income or 146,597,765 146,597,765 146,597,765 **d** Net rental income or (loss) . . . . . (II) Other (ı) Securities 7a Gross amount from sales of 19,151,570,544 12,825,438 assets other than inventory h Less cost or other basis and 17,278,479,518 10,972,394 sales expenses 1,873,091,026 1,853,044 C Gain or (loss) 1,874,944,070 635,184 1,874,308,886 d Net gain or (loss) . . . . 8a Gross income from fundraising events (not including \$ 1,988,757 of Other Revenue contributions reported on line 1c) See Part IV, line 18 77,225 687,979 **b** Less direct expenses . c Net income or (loss) from fundraising events . -610,754 -610,754 9a Gross income from gaming activities See Part IV, line 19 . . . 0 0  ${f b}$  Less direct expenses . . . b  ${f c}$  Net income or (loss) from gaming activities . . 10aGross sales of inventory, less returns and allowances . . . 4,146,907 1,703,848 **b** Less cost of goods sold . . 2,443,059 2,443,059  ${f c}$  Net income or (loss) from sales of inventory . Miscellaneous Revenue Business Code 11a

Part IX Statement of Functional Expenses

tion 501(c)(3) and 501(c)(4)	) organizations must complete all columns	All other organizations must	complete column (A)
------------------------------	---	------------------------------	---------------------

Check if Schedule O contains a response or note to any line in this Part IX (B) (C) Do not include amounts reported on lines 6b, (D) (A) Program service Management and 7b, 8b, 9b, and 10b of Part VIII. Total expenses Fundraisingexpenses general expenses expenses 8,972,910 8,972,910 1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21 445,363,276 445,363,276 2 Grants and other assistance to domestic individuals. See Part IV, line 22 7,351,569 7,351,569 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, line 15 0 4 Benefits paid to or for members 6,047,895 5 Compensation of current officers, directors, trustees, and 10,610,341 3,713,619 848.827 key employees . . . 2,587,941 1,656,282 931,659 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . 2.634,897,649 2,397,639,539 179,652,345 57,605,765 7 Other salaries and wages 169,626,751 145,828,256 19,187,020 4,611,475 8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions) . . . . 338,409,564 290,747,372 38,443,161 9,219,031 **9** Other employee benefits . . . **10** Payroll taxes . . . . . 143,989,285 123,581,564 16,471,867 3,935,854 **11** Fees for services (non-employees) 3,096,436 2,993,591 102,391 454 a Management . . . . 52,015 14,010,084 12,005,701 1.952.368 **b** Legal . . . . . 1,414,942 261,569 1,152,992 381 c Accounting . 65.275 64,421 25 **d** Lobbying . . . . . . . . . . e Professional fundraising services See Part IV, line 17 176,476 176,476 66,334,561 66,334,561 f Investment management fees . . . . . 255,297,482 g Other (If line 11g amount exceeds 10% of line 25, column 206.592.714 39,903,447 8.801.321 (A) amount, list line 11g expenses on Schedule O) 7,646,321 40.463 12 Advertising and promotion . . . . 7,753,349 66,565 264,919,763 242,557,007 15,082,960 7.279.796 13 Office expenses . 32,027,055 18,047,161 13,304,793 675,101 **14** Information technology . 9,851 9,424,886 5,040,113 4,374,922 15 Royalties . 210,804,390 185,245,957 22,919,802 2,638,631 **16** Occupancy . . . . . 85,824,996 79,617,934 2,674,687 3,532,375 Payments of travel or entertainment expenses for any 2,400 2,400 federal, state, or local public officials . 17.547.458 15,796,104 1,561,123 190,231 19 Conferences, conventions, and meetings 111,871,742 102,353,241 8,766,347 752,154 **20** Interest . . . . . . . 21 Payments to affiliates . . . . 364,856,977 310,990,675 3,153,331 50,712,971 22 Depreciation, depletion, and amortization . . 19,162,816 4,997,828 14,117,191 47,797 23 Insurance . . 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) 30.458.755 27,102,152 3.004.158 352,445 a EQUIPMENT RENT & MAINTENANCE **b** PRINTING & PUBLICATION 11,594,492 8,774,549 1,282,674 1,537,269 3,727 c SLAC NON-SALARY EXPENSE 343,020,891 342,866,537 150,627 d RESEARCH SUBAWARDS 79,187,482 79,187,482 3,346,241 e All other expenses 106,729,205 37,124,619 66.258.345 5,797,391,199 584,503,054 108,885,007 5,104,003,138 25 Total functional expenses. Add lines 1 through 24e 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ If following SOP 98-2 (ASC 958-720)

		Check if Schedule O contains a response or not	e to ar	ny line in this Part IX			🗆
					(A) Beginning of year		(B) End of year
	1	Cash-non-interest-bearing			220,633	1	172,009
	2	Savings and temporary cash investments .			640,003,658	2	260,201,276
	3	Pledges and grants receivable, net			1,357,508,164	3	1,356,535,154
	4	Accounts receivable, net			407,660,972	4	377,500,511
	5	Loans and other receivables from current and fo					
		trustees, key employees, and highest compensa II of Schedule L	ated en	nployees Complete Part	12,582,281	5	17,905,504
S	6	Loans and other receivables from other disqualisection 4958(f)(1)), persons described in sectio contributing employers and sponsoring organizations voluntary employees' beneficiary organizations Part II of Schedule L	n 4958 itions d	s(c)(3)(B), and of section 501(c)(9)	0	6	0
ě	7	Notes and loans receivable, net			675,993,220	7	730,545,526
Assets	8	Inventories for sale or use		•	5,829,672	8	4,798,391
_	9	Prepaid expenses and deferred charges		. • •	97,212,900	9	91,412,020
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	10,130,015,093			
	ь	Less accumulated depreciation	<b>10</b> b	4,506,732,221	5,168,719,865	<b>10</b> c	5,623,282,872
	11	Investments—publicly traded securities .			7,069,872,772	11	8,106,228,459
	12	Investments—other securities See Part IV, line	11 .		22,331,803,536	12	25,385,640,256
	13	Investments—program-related See Part IV, line	e 11 .		0	13	0
	14	Intangible assets			0	14	0
	15	Other assets See Part IV, line 11			0	15	0
	16	Total assets.Add lines 1 through 15 (must equ	al line	34)	37,767,407,673	16	41,954,221,978
	17	Accounts payable and accrued expenses			1,355,325,053	17	1,441,881,812
	18	Grants payable			0	18	0
	19	Deferred revenue			657,377,717	19	871,728,972
	20	Tax-exempt bond liabilities			1,587,663,000	20	1,578,888,000
Š	21	Escrow or custodial account liability Complete P	Part IV	of Schedule D	0	21	0
Liabilities	22	Loans and other payables to current and former key employees, highest compensated employee					
윤		persons Complete Part II of Schedule L			0	22	0
_	23	Secured mortgages and notes payable to unrela	ited thi	rd parties	1,682,953,754	23	2,375,252,595
	24	Unsecured notes and loans payable to unrelated	third	parties	0	24	0
	25	Other liabilities (including federal income tax, pa and other liabilities not included on lines 17-24) Complete Part X of Schedule D		s to related third parties,	764,909,299	25	995,251,159
	26	Total liabilities. Add lines 17 through 25	ı		6,048,228,823	26	7,263,002,538
Balances	27	Organizations that follow SFAS 117 (ASC 9 complete lines 27 through 29, and lines 33 Unrestricted net assets		<b> </b>	17,255,820,069	27	19,072,618,784
Ba	28	Temporarily restricted net assets			7,394,744,692	28	8,273,483,698
	29	Permanently restricted net assets			7,068,614,089	29	7,345,116,958
Fund		Organizations that do not follow SFAS 117	(ASC	958),			
Assets or I	30	check here ► □ and complete lines 30 th Capital stock or trust principal, or current funds		34.		30	
et	31	Paid-in or capital surplus, or land, building or eq		nt fund		31	
455	32	Retained earnings, endowment, accumulated inc		<u> </u>		32	
Net /	33	Total net assets or fund balances			31,719,178,850	33	34,691,219,440
Z	34	Total liabilities and net assets/fund balances .			37,767,407,673	34	41,954,221,978

Form	990 (2016)				Page <b>12</b>
Par	t XI Reconcilliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI	<u> </u>			<u> </u>
1	Total revenue (must equal Part VIII, column (A), line 12)	1		7,341	,722,254
2	Total expenses (must equal Part IX, column (A), line 25)	2		5,797	,391,199
3	Revenue less expenses Subtract line 2 from line 1	3		1,544	,331,055
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4		31,719	,178,850
5	Net unrealized gains (losses) on investments	5		1,490	,333,608
6	Donated services and use of facilities	6			
7	Investment expenses	7			
8	Prior period adjustments	8			
9	Other changes in net assets or fund balances (explain in Schedule O)	9		-62	,624,073
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10		34,691	,219,440
Par	t XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				
	Accounting method used to prepare the Form 990	on a	<b>2</b> a	Yes	No No
	☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate consolidated basis, or both  Separate basis  Consolidated basis  Both consolidated and separate basis	basıs,			
	El Consolidated basis El Consolidated basis				
С	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?		2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Scher	dule O			
	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sir Audit Act and OMB Circular A-133?	_	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	red	3b	Yes	

Form **990** (2016)

## **Additional Data**

Software ID:

**Software Version:** 

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

Form 990 (2016)

Form 990, Part III, Line 4a:

INSTRUCTION AND DEPARTMENTAL RESEARCH - SEE SCH O

Form 990, Part III, Line 4b:

ORGANIZED RESEARCH - REVENUE AMOUNT REPORTED ON LINE 4B EXCLUDES \$1,298,875,674 IN GOVERNMENT RESEARCH SUPPORT, WHICH IS REPORTED AS CONTRIBUTION REVENUE ON LINE 1E CONT'D SCH O

# Form 990, Part III, Line 4c: UNIVERSITY AUXILIARY ACTIVITIES - SEE SCH O

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (C) (D)

Name and Title Average Position (do not check more Reportable Position (do not check more than one box viri (E) (F) Average Reportable Estimated

	hours per week (list any hours	pers and	on is	bot	h an	inless office ustee		compensation from the organization	compensation from related organizations	amount of other compensation from the
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099- MISC)	organization and related organizations
MARC TESSIER-LAVIGNE PRES/TRUSTEE, APPOINTED 9/1/16	50 0	×		×				357,632	0	266,731
FRED W ALVAREZ TRUSTEE	2 0	×						0	0	0
MARY T BARRA TRUSTEE	2 0	×						0	0	0
ROBERT M BASS TRUSTEE	2 0	×						0	0	O
BROOK H BYERS TRUSTEE, THROUGH 5/31/2017	2 0	×						0	0	0
BRET E COMOLLI TRUSTEE	2 0	x						0	0	0
ROANN COSTIN TRUSTEE	2 0	×						0	0	O
JAMES G COULTER TRUSTEE, THROUGH 1/31/17	2 0	×						0	0	0
DIPANJAN DJ DEB TRUSTEE	2 0	х						0	0	0
STEVEN A DENNING TRUSTEE, THROUGH 6/30/17	2 0	×						0	0	0

Form 990, Part VII - Compensation of				rus	tee	s, K	ey I	Employees, Hig	hest	
Compensated Employees, and Independent of the Name and Title	Average hours per week (list any hours	Positio tha pers	in (do an on on is	e bo both	t che x, u n an or/tr	nless office ustee)	er )	(D) Reportable compensation from the organization	(E) Reportable compensation from related organizations	(F) Estimated amount of other compensation from the
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099- MISC)	organization and related organizations
HENRY A FERNANDEZ TRUSTEE, APPOINTED 10/1/16	2 0	х						0	0	0
ANGELA S FILO TRUSTEE	2 0	х						0	0	0
SAKURAKO D FISHER TRUSTEE	2 0	x						0	0	0
BRADLEY A GEIER TRUSTEE	2 0	x						0	0	0
JOHN A GUNN TRUSTEE	2 0	х						0	0	0
GAIL B HARRIS TRUSTEE	2 0	х						0	0	0
CHRISTINE U HAZY TRUSTEE	2 0	x						0	0	0
RONALD B JOHNSON TRUSTEE	2 0	x						0	0	0
LATONIA G KARR TRUSTEE	2 0	х						0	0	0
BERNARD LIAUTAUD TRUSTEE	2 0	x						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest  Compensated Employees, and Independent Contractors (C) (D) (E) (F)										
Name and Title	Average hours per week (list any hours	Position that pers	in (do an on on is	e bo boti	t cho x, u h an	eck m Inless office ustee	er	(D) Reportable compensation from the organization (W- 2/1099-	(E) Reportable compensation from related organizations (W- 2/1099-	(F) Estimated amount of other compensation from the
	for related organizations below dotted line)	individual trustee or director	Institutional Trustee	Officei	key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099- MISC)	organization and related organizations
CHRISTY O MACLEAR TRUSTEE	2 0	×						0	0	0
SUSAN R MCCAW TRUSTEE, THROUGH 5/31/17	2 0	x						0	0	0
LLOYD M METZ TRUSTEE	2 0	x						0	0	0
HAMID R MOGHADAM TRUSTEE, THROUGH 11/30/16	2 0	x						0	0	0
KENNETH E OLIVIER TRUSTEE	2 0	×						0	0	0
CARRIE W PENNER TRUSTEE, APPOINTED 6/1/17	2 0	x						0	0	0
RUTH M PORAT TRUSTEE	2 0	x						0	0	0
LAURENE POWELL JOBS TRUSTEE	2 0	X						0	0	0
JEFFREY S RAIKES TRUSTEE	2 0	×						0	0	0
MINDY B ROGERS TRUSTEE	2 0	×						0	0	0

Form 990, Part VII - Compensation of Compensated Employees, and Indep						es, Ke	ey E	ı		l I
Name and Title	ame and Title Average Po hours per week (list any hours		in (do an on on is	e bo both ecto	t che x, u n an or/tr	eck me inless office ustee)	er )	compensation from the organization (W- 2/1099-	(E) Reportable compensation from related organizations (W- 2/1099-	(F) Estimated amount of other compensation from the organization and
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	MISC)	MISC)	related organizations
VICTORIA B ROGERS TRUSTEE	2 0	Х						0	0	0
KAVITARK RAM SHRIRAM TRUSTEE	2 0	X						0	0	0
RONALD P SPOGLI TRUSTEE	2 0	X						0	0	0
SRINIJA SRINIVASAN TRUSTEE	2 0	X						0	0	0
ISAAC STEIN TRUSTEE, THROUGH 9/30/16	2 0	x						0	0	0
THOMAS F STEYER TRUSTEE, THROUGH 1/31/17	2 0	X						0	0	0
GENE SYKES TRUSTEE	2 0	X						0	0	0
VAUGHN C WILLIAMS TRUSTEE	2 0	X						0	0	0
JOHN ETCHEMENDY PROVOST, THROUGH 1/31/17	50 0			×				733,882	0	211,961
RANDALL LIVINGSTON  VP BUS AFFAIRS/CFO	50 0			×				632,810	0	218,205

Form 990, Part VII - Compensation of				rus	tee	s, K	ey I	Employees, Hig	hest	
Compensated Employees, and Indep	Average hours per week (list any hours	Position that pers	in (do an on on is	e bo both	t che x, u n an	eck m inless office ustee	er	(D) Reportable compensation from the organization (W- 2/1099-	(E) Reportable compensation from related organizations (W- 2/1099-	(F) Estimated amount of other compensation from the
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099-	organization and related organizations
MARTIN SHELL VP DEVELOPMENT	50 0			×				806,390	0	74,785
DEBRA ZUMWALT VP GENERAL COUNSEL	50 0			×				658,035	0	73,546
ROBERT C REIDY  VP LAND, BUILDINGS & REAL EST	50 0			x				1,510,407	0	183,344
DAVID DEMAREST VP PUBLIC AFFAIRS	50 0			×				460,448	0	104,535
HOWARD WOLF PRES OF STANFORD ALUM ASSOC	50 0			×				472,131	0	59,846
WILLIAM MADIA VP SLAC NAL	38 0			×				486,127	0	27,859
ELIZABETH ZACHARIAS  VP HUMAN RESOURCES	50 0			×				450,173	0	55,507
PERSIS DRELL PROVOST, APPOINTED 2/1/17	50 0			×				511,521	0	81,268
LISA LAPIN VP COMMUN , APPOINTED 11/21/16	50 0			×				338,417	0	67,920
HARRY ELAM  VP ARTS, APPOINTED 2/1/17	50 0			×				444,068	0	14,723

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest  Compensated Employees, and Independent Contractors (C) (D) (E) (F)											
Name and Title	Average hours per week (list any hours	Positio tha persi and	in (do an on on is a dir	e bo both	t che x, u n an	eck m Inless office ustee	er	(D) Reportable compensation from the organization (W- 2/1099-	(E) Reportable compensation from related organizations (W- 2/1099-	(F) Estimated amount of other compensation from the	
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099- MISC)	organization and related organizations	
LLOYD B MINOR DEAN, SCHOOL OF MEDICINE	50 0 7 0				×			1,767,429	0	370,942	
ROBERT F WALLACE CHIEF EXECUTIVE OFFICER, SMC	50 0				x			3,307,848	0	209,861	
YIPING WOO PROF & CHAIR, CARDIO SURGERY	50 0					x		2,110,952	0	70,170	
DAVID SHAW DIRECTOR OF FOOTBALL	50 0					x		3,740,329	0	571,214	
FRANK HANLEY CHIEF, PED CARDIOTHORACIC	50 0					х		1,907,552	0	47,266	
JOHNNY DAWKINS HEAD COACH, THROUGH 3/15/16	50 0					х		4,364,122	0	55,470	
GREG MILANI SR MANAGING DIRECTOR, SMC	50 0					х		1,907,165	0	27,606	
JOHN HENNESSY PRES/TRUSTEE, THROUGH 8/31/16	50 0						×	1,077,170	0	135,104	
RICHARD SALLER DEAN, SCHOOL OF HUM & SCIENCES	50 0						×	621,410	0	58,392	
PHILIP A PIZZO PROF & FORMER DEAN, SCH OF MED	50 0						×	552,404	0	33,343	

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493197011708 OMB No. 1545-0047

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

Internal Revenue Servic Name of the organization

SCHEDULE A

Department of the Treasury

(Form 990 or

990EZ)

THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

**Employer identification number** 

Reason for Public Charity Status (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is  $\,$  (For lines 1 through 12, check only one box )A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ)) A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170 (b)(1)(A)(iv). (Complete Part II ) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II ) A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II ) An agricultural research organization described in 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university 10 An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III ) 11 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or 12 more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) You

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see

supported organization(s) (see instructions) You must complete Part IV, Sections A, D, and E.

Provide the following information about the supported organization(s) (iii) Type of (i)Name of supported organization (ii)EIN (iv) Is the organization listed in organization (described on lines 1- 10 above (see instructions))

instructions) You must complete Part IV, Sections A and D, and Part V.

integrated, or Type III non-functionally integrated supporting organization

your governing document? monetary support support (see (see instructions) instructions) Yes No

(v)

Amount of

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

must complete Part IV, Sections A and C.

Enter the number of supported organizations

Cat No 11285F

Schedule A (Form 990 or 990-EZ) 2016

(vi)

Amount of other

instructions

0

(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.) Section A. Public Support Calendar year (a)2012 **(b)**2013 (c)2014 (d)2015 (e)2016 (f)Total (or fiscal year beginning in) ▶ Gifts, grants, contributions, and membership fees received (Do not 1,719,795,101 1,821,722,630 1,969,404,372 2,586,183,439 2,355,413,071 10,452,518,613 include any "unusual grant ") Tax revenues levied for the organization's benefit and either paid to or expended on its behalf The value of services or facilities furnished by a governmental unit to the organization without charge 1,719,795,101 1,821,722,630 1,969,404,372 2,586,183,439 2,355,413,071 10,452,518,613 Total. Add lines 1 through 3 The portion of total contributions by each person (other than a governmental unit or publicly 91.738.545 supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column Public support. Subtract line 5 6 10,360,780,068 from line 4 Section B. Total Support Calendar year (a)2012 (b)2013 (d)2015 (e)2016 (c)2014 (f)Total (or fiscal year beginning in) ▶ Amounts from line 4 1,719,795,101 1,821,722,630 1,969,404,372 2,586,183,439 2,355,413,071 10,452,518,613 Gross income from interest, dividends, payments received on securities loans, rents, royalties 428,521,264 450,602,864 402,534,773 402,534,773 409,680,538 2,093,874,212 and income from similar sources Net income from unrelated business activities, whether or not the business is regularly carried Other income Do not include gain 10 6,341,565 5.041.646 4.316.942 4,299,963 4.224.132 24,224,248 or loss from the sale of capital assets (Explain in Part VI ) Total support. Add lines 7 11 12.570.617.073 through 10 12 Gross receipts from related activities, etc (see instructions) 11,763,247,074 12 13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, Section C. Computation of Public Support Percentage Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)) 14 82 421 % 15 Public support percentage for 2015 Schedule A, Part II, line 14 15 81 620 % 16a 33 1/3% support test—2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box ▶ 🗹 and stop here. The organization qualifies as a publicly supported organization b 33 1/3% support test-2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this ightharpoonsbox and stop here. The organization qualifies as a publicly supported organization 17a 10%-facts-and-circumstances test—2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported ▶ □ organization b 10%-facts-and-circumstances test-2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly ightharpoonssupported organization

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

▶□

20

Р	Support Schedule for (Complete only if you ch					to qualify und	er Part II. If
	the organization fails to						o u
Se	ction A. Public Support				•		
	Calendar year (or fiscal year beginning in) ► Gifts, grants, contributions, and	<b>(a)</b> 2012	<b>(b)</b> 2013	(c)2014	(d)2015	<b>(e)</b> 2016	(f)Total
_	membership fees received (Do not include any "unusual grants")						
2	Gross receipts from admissions,						
	merchandise sold or services performed, or facilities furnished in						
	any activity that is related to the						
	organization's tax-exempt purpose						
3	Gross receipts from activities that are						
	not an unrelated trade or business						
4	under section 513 Tax revenues levied for the						
•	organization's benefit and either paid						
5	to or expended on its behalf The value of services or facilities						
3	furnished by a governmental unit to						
_	the organization without charge						
6 7a	<b>Total.</b> Add lines 1 through 5 Amounts included on lines 1, 2, and						
, a	3 received from disqualified persons						
b	Amounts included on lines 2 and 3						
	received from other than disqualified persons that exceed the greater of						
	\$5,000 or 1% of the amount on line						
_	13 for the year Add lines 7a and 7b						
8	Public support. (Subtract line 7c						
	from line 6 )						
Se	ction B. Total Support						
	Calendar year (or fiscal year beginning in) ▶	(a)2012	<b>(b)</b> 2013	(c)2014	(d)2015	<b>(e)</b> 2016	(f)Total
9	Amounts from line 6						
10a	Gross income from interest,						
	dividends, payments received on securities loans, rents, royalties and						
	income from similar sources						
b	Unrelated business taxable income						
	(less section 511 taxes) from businesses acquired after June 30,						
	1975						
с 11	Add lines 10a and 10b  Net income from unrelated business						
11	activities not included in line 10b,						
	whether or not the business is regularly carried on			1			
12			1				
	loss from the sale of capital assets						
13	(Explain in Part VI )  Total support. (Add lines 9, 10c,		<u> </u>				
	11, and 12)		<u> </u>		<u> </u>		
14	First five years. If the Form 990 is for	the organization	n's first, second, tl	hird, fourth, or fift	th tax year as a se	ction 501(c)(3) o	
<b>C</b> -	check this box and stop here	Support Bores	ntago				▶⊔
15	ection C. Computation of Public S  Public support percentage for 2016 (lin			column (f))		15	
16	Public support percentage from 2015 S			(1)		16	
	ction D. Computation of Investr					1 =- 1	
17	Investment income percentage for 201			line 13, column (f	F))	17	
18	Investment income percentage from 20	<b>)15</b> Schedule A,	Part III, line 17			18	

19a 331/3% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not

b 33 1/3% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is

more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

ightharpoons

ightharpoons

answer line 10b below

the organization had excess business holdings)

Supporting Organiz	zation
--------------------	--------

(Complete only if you checked a box on line 12 of Part I If you checked 12a of Part I, complete Sections A and B If you checked 12b of Part I, complete Sections A and C If you checked 12c of Part I, complete Sections A, D, and E If you checked 12d of Part I, complete Sections A and D, and complete Part V)

Se	ection A. All Supporting Organizations							
			Yes	No				
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose,							
	describe the designation If historic and continuing relationship, explain	1						
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509 (a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described							
	ın section 509(a)(1) or (2)	2						
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c)							
	below	3a						
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination							
	determination	3b						
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use	3c						
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you							
	checked 12a or 12b ın Part I, answer (b) and (c) below	4a						
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported							
_	organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations	4b						
С								
	501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes							
		4c						
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the							
	rganization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by mendment to the organizing document)	5a						
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b						
С	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c						
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .							
	organization's supported organizations, it less, provide detail in Fait VI.	6						
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)							
	· · · · · · · · · · · · · · · · · · ·	7						
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7º <i>If</i> "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)	8						
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as							
Ju	defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .	9a						
h	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting							
_	organization had an interest? If "Yes," provide detail in <b>Part VI</b> .							
_								
С	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .							
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding							

Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether

10a

10b

			Yes	No			
11	. Has the organization accepted a gift or contribution from any of the following persons?						
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11-					
		11a					
	A family member of a person described in (a) above?	11b					
С	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI	11c					
5	Section B. Type I Supporting Organizations						
	71 11 2 3		Yes	No			
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year						
_	Dollar and the second of the bounds of the second of the s	1					
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting						
	organization	2					
5	Section C. Type II Supporting Organizations						
			Yes	No			
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)						
		1					
S	Section D. All Type III Supporting Organizations						
			Yes	No			
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?						
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s)	2					
_							
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard						
		3					
S	Section E. Type III Functionally-Integrated Supporting Organizations						
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instruct  a	ions)					
	ш						
	The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below						
	The organization supported a governmental entity Describe in Part VI how you supported a government entity (see	ınstru	ctions)				
2	Activities Test Answer (a) and (b) below.		Yes	No			
	a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify those supported organizations and explain</b> how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted						
	substantially all of its activities	2a					
	<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement						
~		2b					
3	Parent of Supported Organizations Answer (a) and (b) below.	$\vdash$					
	a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>	3a					
	<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI. the role played by the organization in this regard</i>	3b					

Sche	dule A (Form 990 or 990-EZ) 2016			Page <b>6</b>				
Pai	Type III Non-Functionally Integrated 509(a)(3) Supporting	Organi	zations					
1	Check here if the organization satisfied the Integral Part Test as a qualifying to Type III non-functionally integrated supporting organizations must complete S							
	Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)				
1	Net short-term capital gain	1						
2	Recoveries of prior-year distributions	2						
3	Other gross income (see instructions)	3						
4	Add lines 1 through 3	4						
5	Depreciation and depletion	5						
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6						
7	Other expenses (see instructions)	7						
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8						
	Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)				
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1						
a	Average monthly value of securities	1a						
b	Average monthly cash balances	<b>1</b> b						
c	Fair market value of other non-exempt-use assets	1c						
d	Total (add lines 1a, 1b, and 1c)	1d						
е	Discount claimed for blockage or other factors (explain in detail in Part VI)							
2	Acquisition indebtedness applicable to non-exempt use assets	2						
3	Subtract line 2 from line 1d	3						
4	Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4						
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5						
6	Multiply line 5 by 035	6						
7	Recoveries of prior-year distributions	7						

	Section C - Distributable Amount			Current Year			
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1					
2	Enter 85% of line 1	2					
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3					
4	Enter greater of line 2 or line 3	4					
5	Income tax imposed in prior year	5					
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)						
7	Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see						

8 Minimum Asset Amount (add line 7 to line 6)

instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)										
Section D - Distributions	( / / / / / / / / / / / / / / / / / / /	` ` `	Current Year							
Amounts paid to supported organizations to accomplish	exempt purposes									
	2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity									
3 Administrative expenses paid to accomplish exempt pur	Administrative expenses paid to accomplish exempt purposes of supported organizations									
4 Amounts paid to acquire exempt-use assets										
5 Qualified set-aside amounts (prior IRS approval require										
, ,	6 Other distributions (describe in Part VI) See instructions									
7 Total annual distributions. Add lines 1 through 6										
Distributions to attentive supported organizations to whe details in Part VI) See instructions	nich the organization is respon	sive (provide								
9 Distributable amount for 2016 from Section C, line 6										
10 Line 8 amount divided by Line 9 amount										
	Ι		· · · · · ·							
Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016							
1 Distributable amount for 2016 from Section C, line 6										
2 Underdistributions, if any, for years prior to 2016 (reasonable cause requiredsee instructions)										
3 Excess distributions carryover, if any, to 2016										
<u>a</u>										
b 2012										
c From 2013 d From 2014										
e From 2015										
f Total of lines 3a through e										
g Applied to underdistributions of prior years										
h Applied to 2016 distributable amount										
i Carryover from 2011 not applied (see instructions)										
j Remainder Subtract lines 3g, 3h, and 3i from 3f										
4 Distributions for 2016 from Section D, line 7 \$										
a Applied to underdistributions of prior years										
<b>b</b> Applied to 2016 distributable amount										
c Remainder Subtract lines 4a and 4b from 4										
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)										
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)										
7 Excess distributions carryover to 2017. Add lines 31 and 4c										
8 Breakdown of line 7										
<u>a</u>										
<b>b</b> Excess from 2013										
c Excess from 2014										
<b>d</b> Excess from 2015										
<b>e</b> Excess from 2016										

## Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Schodula A (Form 000 or 000-F7) 2016

# Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at

OMB No 1545-0047

DLN: 93493197011708

Open to Public Inspection

Department of the Treasury Internal Revenue Service

SCHEDULE C (Form 990 or 990-

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

www.irs.gov/form990.

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

• S • S If the (Prox	Section 501(c)(3) organizations that Section 501(c)(3) organizations that		ection 501(h)) Conder section 501(h	mplete Part II-A Do not co )) Complete Part II-B Do	omplete Part II-B not complete Part II-A
Nar THE	me of the organization BOARD OF TRUSTEES OF THE LELAND S IOR UNIVERSITY	·		<b>Employer ider</b> 94-1156365	ntification number
Par	t I-A Complete if the orga	nization is exempt under section	n 501(c) or is		zation.
1 2	Provide a description of the organ Political expenditures	ization's direct and indirect political car	npaign activities in	Part IV	\$
3	Volunteer hours		- 504(-)(2)		
		nization is exempt under section			
1 2	,	ix incurred by the organization under se		<b>&gt;</b>	\$ \$
3	<i>'</i>	ix incurred by organization managers u tion 4955 tax, did it file Form 4720 for t		•	· —
		tion 4555 tax, did it me form 4720 for t	ins year		☐ Yes ☐ No
4a	Was a correction made?				☐ Yes ☐ No
b	If "Yes," describe in Part IV  TIC Complete if the organ	nization is exempt under section	n F01(c) over	ent costion E01(s)(2)	<u> </u>
1		ed by the filing organization for section			<b>)</b> -
2	, ,	anization's funds contributed to other o	•		\$
3	Total exempt function expenditure	es Add lines 1 and 2 Enter here and or	n Form 1120-POL,	line 17b ▶	\$
4	Did the filing organization file <b>Fori</b>	n 1120-POL for this year?			☐ Yes ☐ No
5	organization made payments For of political contributions received	employer identification number (EIN) of each organization listed, enter the amount that were promptly and directly deliver see (PAC) If additional space is needed,	ount paid from the ed to a separate pe	filing organization's funds olitical organization, such	ch the filing Also enter the amount
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-
2					
3					
4					
5					

Schedule C (Form 990 or 990-EZ) 2010	Schedule	С	(Form	990	or	990-EZ)	2016
--------------------------------------	----------	---	-------	-----	----	---------	------

Page **2** 

F	Part II-A Complete if the organization is e section 501(h)).	exempt	under section	on 501(c)(3) a	and filed For	m 5768 (electi	on under
A	Check In the filing organization belongs to an a expenses, and share of excess lobbying			: in Part IV each af	filiated group n	nember's name, ac	ddress, EIN,
В	Check ▶ ☐ If the filing organization checked box A			rovisions apply			
	Limits on Lobbyir (The term "expenditures" mean	ng Exp	enditures			(a) Filing organization's totals	(b) Affiliated group totals
 1a	Total lobbying expenditures to influence public opinion	n (grass	roots lobbying	1			
b	Total lobbying expenditures to influence a legislative l	body (di	rect lobbying)				
С	Total lobbying expenditures (add lines 1a and 1b)						
d	Other exempt purpose expenditures						
e	Total exempt purpose expenditures (add lines 1c and	l 1d)					
f	Lobbying nontaxable amount Enter the amount from columns	the follo	owing table in b	oth			
	If the amount on line 1e, column (a) or (b) is:	The lob	bying nontaxa	ble amount is:			
	Not over \$500,000	20% of th	ne amount on line	1e			
	Over \$500,000 but not over \$1,000,000	\$100,000	plus 15% of the	excess over \$500,000	)		
	Over \$1,000,000 but not over \$1,500,000	\$175,000	plus 10% of the	excess over \$1,000,0	00		
	Over \$1,500,000 but not over \$17,000,000	\$225,000	plus 5% of the ex	cess over \$1,500,00	0		
		\$1,000,00	00				
_	Subtract line 1f from line 1c If zero or less, enter -0- If there is an amount other than zero on either line 1l section 4911 tax for this year?  4-Year Ave  (Some organizations that made a secolumns below. See the	eragin	g Period Und	der section 50:	1(h) ve to compl	ete all of the fi	Yes No
	Lobbying Expe	enditur	es During 4-	Year Averagin	g Period		
	Calendar year (or fiscal year beginning in)		(a) 2013	<b>(b)</b> 2014	(c) 2015	(d) 2016	(e) Total
<u>2a</u>	Lobbying nontaxable amount						
ь —	Lobbying ceiling amount (150% of line 2a, column(e))						
_с	Total lobbying expenditures						
d	Grassroots nontaxable amount						
е —	Grassroots ceiling amount (150% of line 2d, column (e))						
_f	Grassroots lobbying expenditures						

Schedule C (Form 990 or 990-EZ) 2016

Sche	dule C (Form 990 or 990-EZ) 201	6				F	age <b>3</b>
Pai		rganization is exempt under section 501(c)(3) and has NOT fi	led				
For e.		rough 11 below, provide in Part IV a detailed description of the lobbying	(a)		+	(b)	
activi	cy		Yes	No	'	Amoui	nt
1		ganization attempt to influence foreign, national, state or local legislation, ce public opinion on a legislative matter or referendum, through the use of					
а	Volunteers?		Yes				
b	Paid staff or management (inclu	de compensation in expenses reported on lines 1c through 1i)?	Yes		1		
c	Media advertisements?		Yes		1		486
d	Mailings to members, legislators	s, or the public?	Yes				119,122
е	Publications, or published or bro	adcast statements?	Yes				73,907
f	Grants to other organizations fo	r lobbying purposes?		No			
g	•	heir staffs, government officials, or a legislative body?	Yes				507,179
h							69,329
i	Other activities?		Yes	<b>↓</b>			13,012
j	Total Add lines 1c through 1i			l			783,035
2a		the organization to be not described in section 501(c)(3)?		No	_		
Ь	·	y tax incurred under section 4912					
С.	•	y tax incurred by organization managers under section 4912					
d		d a section 4912 tax, did it file Form 4720 for this year?		<u> </u>	<u>.                                    </u>	-04/	
Par	t III-A Complete if the o (6).	rganization is exempt under section 501(c)(4), section 501(c)	)(5), d	r sect	ion :	,01(0	<b>:</b> )
	(3).					Yes	No
1	Were substantially all (90% or r	nore) dues received nondeductible by members?		[	1		
2	Did the organization make only		[	2			
3	3 Did the organization agree to carry over lobbying and political expenditures from the prior year?						
1	complete if the of and if either (a) language answered "Yes."  Dues, assessments and similar and simil	rganization is exempt under section 501(c)(4), section 501(c) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part amounts from members	III-A	, line	3, is		
2		bbying and political expenditures (do not include amounts of political					
a	Current year		2a	<u> </u>			
b	Carryover from last year Total		2b	├─			
с 3		ection 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3				
4		ount on line 2c exceeds the amount on line 3, what portion of the excess does	-				
7		over to the reasonable estimate of nondeductible lobbying and political					
5	·	political expenditures (see instructions)	5	$\vdash \!$			
	ort IV Supplemental In	<u> </u>					
	•	Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list),	Dart II	Λ luno	. 1	42/5	
		so, complete this part for any additional information	rait II	-A, iiiles	5 I all	u 2 (5	
	Return Reference	Explanation					
	II-B - LOBBYING ACTIVITY ANATION	AN INSUBSTANTIAL PORTION OF STANFORD UNIVERSITY'S TOTAL ACTIVITI AND REGULATORY MATTERS OF DIRECT CONCERN TO HIGHER EDUCATION IMPORTANCE TO STANFORD IN PARTICULAR INSTITUTIONAL EFFORTS WIT AND REGULATION ARE DIRECTED BY THE OFFICE OF GOVERNMENT AND CO FISCAL YEAR 2017, THE OFFICE OF GOVERNMENT AND COMMUNITY RELATIVE PROFESSIONAL STAFF MEMBERS AND TWO SUPPORT STAFF THE UNIVERSIT LOBBYING OFFICE IN EITHER WASHINGTON, DC OR SACRAMENTO, CA DUR UNIVERSITY HIRED ONE OUTSIDE REGISTERED LOBBYIST IN SACRAMENTO STATE ISSUES ACTIVITIES OF GOVERNMENT AND COMMUNITY RELATIONS LETTERS, PHONE CALLS AND MEETINGS WITH LEGISLATORS, MEMBERS OF GOVERNMENT OFFICIALS, AND MEETINGS WITH LOCAL CITIZENS REGARDII LOCAL GOVERNMENT IN FISCAL YEAR 2017, LEGISLATIVE, EXECUTIVE AND HANDLED BY THE UNIVERSITY INCLUDED THE FOLLOWING LOCAL ISSUES RELATED TO PROPERTY OWNED BY THE UNIVERSITY AND CONTIGUOUS TO CAMPUS STATE ISSUES CAMPUS SEXUAL ASSAULT AND STUDENT SAFETY, PROTECTION ACT PEACE OFFICERS AT PRIVATE UNIVERSITIES, LOCAL LAW TRANSPORTATION AND HOUSING ISSUES FEDERAL ISSUES STUDENT AID RESEARCH POLICY ISSUES, TAXAATION, REIMBURSEMENT OF FEDERAL RESE OF RESEARCH (E G NIH, DOE, NASA, DOD, NSF, NEH), FUNDING FOR THE SLABORATORY, HEALTH CARE, RESEARCH POLICY, INTELLECTUAL PROPERTY	OR OF ( H REGA MMUNI' ONS CO TY DOE: ING TH FOR PA STAFF THEIR S THEIR S LOCAL ZONIN THE UN COLLE //S ENFO AND EL ARCH O ARCH O EL	COMPEL RD TO TY RELA NOSISTE S NOT H IS PERI RT-TIM INCLUD STAFFS, NFORD IVERSI IG AND IVERSI GGE ATH DUCATI; COSTS, ATIONA	LING LEGIS ATION ED OF HAVE EOD, T E WO DE COI , OR C ISSUE ATOR LAND TY'S A ILETE ENT CC ON AN FUND L ACC	LATIO S DUI SIX A IHE RK ON NTACT OTHER ES WIT Y ISSU USES ACADE ONTRA ID ING LI	I S BY  TH JES  MIC ACTS, EVELS

LS THE TOTAL BUDGET EXPENDED BY THE OFFICE OF GOVERNMENT AND COMMUNITY RELATIONS WAS \$1,949,587 AND INCLUDES SALARY AND BENEFITS FOR SIX PROFESSIONALS AND OTHER COMPENSATION SOME FUNDED BY THE PUBLIC AFFAIRS AND THE PRESIDENT AND PROVOST OFFICE BUDGETS THE ESTIMATED TOTAL EXPENSES IN LOBBYING, INCLUDING DIRECT PREPARATION TIME BY GOVERNMENT AND COMMUNITY RELATIONS EMPLOYEES, AS DEFINED IN SECTION 501(C) OF THE INTERNAL REVENUE CODE AND ITS REGULATIONS AND PAYMENTS OF TRAVEL OR ENTERTAINMENT EXPENSES FOR FEDERAL OR LOCAL OFFICIALS, ARE ESTIMATED AS FOLLOWS SALARIES, BENEFITS, OTHER COMPENSATION \$484,428
GENERAL OFFICE OVERHEAD \$199,492 PAID CONSULTANT, COALITION DUES \$67,050 DUES TO MEMBER
ORGANIZATIONS \$9,697 TRAVEL \$22,368 TOTAL \$783,035 THE TOTAL AMOUNT SPENT BY STANFORD IN LOBBYING REPRESENTS AN INSIGNIFICANT PART OF THE UNIVERSITY'S TOTAL EXPENDITURES, EVEN MAKING THE MOST GENEROUS ALLOWANCE FOR THE LOBBYING ACTIVITIES OF STANFORD UNIVERSITY

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493197011708

OMB No 1545-0047

SCHEDULE D (Form 990)

## **Supplemental Financial Statements**

Complete if the organization answered "Yes," on Form 990,
 Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
 ► Attach to Form 990.

Open to Public

Department of the Treasury Internal Revenue Service

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

. Inspection

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD				Employer identification number				
	IOR UNIVERSITY		94-1156365					
Pa		Advised Funds or Other Similar Fund	s or Acc	counts.				
	Complete if the organization answere	(a) Donor advised funds	(h	Funds and oth	er account	<u> </u>		
1	Total number at end of year	59	(D	i unus anu our	er account.	51		
2	Aggregate value of contributions to (during year)	69,454,673			6	5,870,995		
3	Aggregate value of grants from (during year)	27,652,761		29,871,28				
4	Aggregate value at end of year	516,784,135			83	3,957,045		
5	Did the organization inform all donors and donor a funds are the organization's property, subject to t		r advised		✓ Yes			
6	Did the organization inform all grantees, donors, a used only for charitable purposes and not for the conferring impermissible private benefit?			urpose	<b>✓</b> Yes			
Pai	rt III Conservation Easements. Complet	e if the organization answered "Yes" on F	orm 990	), Part IV, line	27.			
1	Purpose(s) of conservation easements held by the	e organization (check all that apply)						
	Preservation of land for public use (e g , rec	reation or education)	an histor	rcally importan	t land area	1		
	Protection of natural habitat	Preservation of	a certifie	d historic struc	ture			
	Preservation of open space							
2	Complete lines 2a through 2d if the organization heasement on the last day of the tax year	neld a qualified conservation contribution in the	form of a	conservation Held at the	End of th	ne Year		
а	Total number of conservation easements		2a					
b	Total acreage restricted by conservation easement		2b					
c	.,							
d	structure listed in the National Register	acquired after 8/17/06, and not on a historic	2d					
3	Number of conservation easements modified, trar tax year ▶	sferred, released, extinguished, or terminated	by the or	ganızatıon durı	ng the			
4	Number of states where property subject to conse	ervation easement is located 🟲						
5	Does the organization have a written policy regard and enforcement of the conservation easements in	ding the periodic monitoring, inspection, handlii t holds?	ng of viol		Yes 🗆	] No		
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year							
7	Amount of expenses incurred in monitoring, inspe	cting, handling of violations, and enforcing con	servation	easements du	ng the ye	ar		
8	Does each conservation easement reported on lineand section $170(h)(4)(B)(II)$ ?	e 2(d) above satisfy the requirements of section	n 170(h)(	_	Yes 🗆	] No		
9	In Part XIII, describe how the organization report balance sheet, and include, if applicable, the text	of the footnote to the organization's financial si		atement, and				
Par	the organization's accounting for conservation ease till Organizations Maintaining Collect Complete if the organization answere	ions of Art, Historical Treasures, or C	Other Si	milar Assets	<b>5.</b>			
1a	If the organization elected, as permitted under SF art, historical treasures, or other similar assets he provide, in Part XIII, the text of the footnote to its	AS 116 (ASC 958), not to report in its revenue eld for public exhibition, education, or research	ın further			s of		
b	If the organization elected, as permitted under SF historical treasures, or other similar assets held for following amounts relating to these items	AS 116 (ASC 958), to report in its revenue star	tement a					
(	i) Revenue included on Form 990, Part VIII, line 1			<b>▶</b> \$				
•	i)Assets included in Form 990, Part X			► \$				
2	If the organization received or held works of art, I following amounts required to be reported under:		financial <u>c</u>	· <del></del>	e			
а	Revenue included on Form 990, Part VIII, line 1	. , , -		<b>▶</b> \$				
Ь	Assets included in Form 990, Part X ▶\$							

Part	3111	Organizations Ma	aintaining Coll	ections of Art, I	Histori	ical Tı	eas	ures, or	Other	Similar As	sets (co	ontinued)	
3		the organization's acq (check all that apply)	uisition, accession	, and other records	, check	any of	the f	ollowing t	hat are a	significant u	ise of its	collection	
а	<b>✓</b>	Public exhibition			d	<b>✓</b>	Loar	n or excha	ange prog	ırams			
b	✓	Scholarly research			е		Oth	er					
С	<b>✓</b>	Preservation for future	e generations										
4	Provid Part X	le a description of the call	organızatıon's coll	ections and explain	how the	ey furth	ner th	ne organiz	ation's ex	kempt purpo	se in		
5		g the year, did the orga s to be sold to raise fur								ular	☐ Yes	<b>☑</b> 1	No
Par	t IV	Escrow and Cust Complete if the ord X, line 21.			m 990	), Part	IV,	line 9, oi	reporte	ed an amou			
1a		organization an agent ed on Form 990, Part )		an or other intermed	liary for	contril	butio	ns or othe	er assets i	not	☐ Yes		No
b	If "Ye:	s," explain the arrange	ement in Part XIII	and complete the fo	llowina	table				A	mount		
С		ning balance		·	,			ľ	1c				
d	_	ons during the year						ľ	1d				
e	Distrib	outions during the year	r						1e				_
f	Ending	g balance							1f				_
2a	Did th	e organization include	an amount on Fo	rm 990, Part X, line	21, for	escrow	or c	ustodial a	ccount lia	ability?	☐ Yes		— No
b	If "Ye	s," explain the arrange	ment in Part XIII	Check here if the e	vnlanat	ion has	hee	n provided	d in Part )	XIII			10
Pa	rt V	Endowment Fund						'				. –	
				(a)Current year		rior yea		(c)Two ye		(d)Three yea		( <b>e)</b> Four yea	ars back
<b>1</b> a	Beginni	ng of year balance .		22,398,130,000	22,	.222,957	,000	21,44	6,006,000	18,688,	868,000	17,035	,804,000
b	Contrib	utions		694,190,000		376,827	,000	28	3,602,000	659,	618,000	588	,438,000
c	Net inv	estment earnings, gair	ns, and losses	2,859,022,000		930,451	,000	1,55	1,374,000	3,082,	735,000	1,985	,298,000
d	Grants	or scholarships	•	268,228,571		257,975	,598	23	7,370,999	222,	843,748	208	,922,228
		expenditures for facilitie	es	898,170,429		874,129	,402	82	0,654,001	762,	371,252	711	,749,772
f	Adminis	strative expenses .											
g	End of	year balance		24,784,943,000	22,	.398,130	,000	22,22	2,957,000	21,446,	006,000	18,688	,868,000
2 a		le the estimated percei designated or quasi-e	_	nt year end balance 46 330 %	(line 1	g, colu	mn (a	a)) held a	s				
ь	Perma	anent endowment <b>&gt;</b>	25 960 %										
c	Tempo	orarily restricted endov	wment ► 27 7	10 %									
_	The po	ercentages on lines 2a	, 2b, and 2c shoul	d equal 100%									
3a		ere endowment funds ization by	not in the posses	sion of the organizat	tion tha	t are h	eld a	nd admını	stered fo	r the		Yes	No
	(i) un	related organizations									3a		No
b	If "Yes	elated organizations . s" on 3a(ii), are the rel	lated organization	s listed as required			· ·	·. ·.			3a(		
4	Descri	ibe in Part XIII the inte			wment	funds							
Par	t VI	Land, Buildings,			000	Doub.	r\ /    .	20112	Caa Faw	~ 000 Do-	مميا ٧ ا	10	
	Descrip	Complete If the orderty	(a) Cost or oth (investme	er basıs <b>(b)</b> Cost	or other					epreciation		10. I)Book valu	ıe
12	Land					127,15	50,545	5				12	7,150,545
	Building					6,580,49			2.	738,219,504			2,279,212
	-	old improvements				-,-00,4.	-,, 10	1	-,			5,57	_,,_,
		ent		+		1,798,28	39.358	3	1 .	482,457,101		31	5,832,257
	Equipm Other					1,624,07				286,055,616			8,020,858
		ines 1a through 1e (Co	l olumn (d) must ed	ual Form 990. Part						<b>&gt;</b>			3,282,872

Part VII Investments—Other Securities. Complete if the	organization answe	red 'Yes' on Form 99	0, Part IV, line 11b.
See Form 990, Part X, line 12.  (a) Description of security or category  (including name of security)	(b)Book value		od of valuation f-year market value
(1)Financial derivatives		Cost of end-of	i-year market value
(2)Closely-held equity interests			
See Additional Data Table (A)			
(B)			
(C)			
(D)			
(E)			
(F)			
(G)			
(H)			
Total. (Column (b) must equal Form 990, Part X, col (B) line 12)	<b>▶</b> 25,385,640,256		
Part VIII Investments—Program Related. Complete if th See Form 990, Part X, line 13.	e organization ansv	vered 'Yes' on Form 9	90, Part IV, line 11c.
(a) Description of investment	(b) Book value		od of valuation f-year market value
(1)		Cost of end-of	-year market value
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)	+		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13 )	<b>•</b>		
Part IX Other Assets. Complete if the organization answered 'Y  (a) Description	es' on Form 990, Part	IV, line 11d See Form	990, Part X, line 15 (b) Book value
(1)			(D) BOOK Value
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Column (b) must equal Form 990, Part X, col (B) line 15 )			. •
<b>Part X Other Liabilities.</b> Complete if the organization and See Form 990, Part X, line 25.	swered 'Yes' on For	n 990, Part IV, line 1	1e or 11f.
1. (a) Description of liability	<b>(b)</b> Boo	ok value	
(1) Federal income taxes		0	
CECURITY ACREEMENTS			
ECURITY AGREEMENTS	1	365.792.287 l	

	t XI Reconciliation of Revenue per Audited Financial Statements With Rev Complete if the organization answered 'Yes' on Form 990, Part IV,		'n
1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains (losses) on investments 2a		
b	Donated services and use of facilities		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIII )	7	
е	Add lines <b>2a</b> through <b>2d</b>	2e	
3	Subtract line <b>2e</b> from line <b>1</b>	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
b	Other (Describe in Part XIII ) 4b		
c	Add lines <b>4a</b> and <b>4b</b>	4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	
Par	Reconciliation of Expenses per Audited Financial Statements With Exp		r <b>n</b> .
	Complete if the organization answered 'Yes' on Form 990, Part IV,	ine 12a.	rn.
1	Complete if the organization answered 'Yes' on Form 990, Part IV, Total expenses and losses per audited financial statements		r <b>n.</b>
1	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	ine 12a.	rn.
1 2 a	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	ine 12a.	rn.
1 2 a b	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	ine 12a.	rn.
1 2 a	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	ine 12a.	r <b>n.</b>
L a b c	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	1 1	rn.
1 a b c d	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	ine 12a.	r <b>n.</b>
1 2 a b c d e	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	1 1 2e 2e	rn.
1 2 b c d e	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	1 1 2e 2e	rn.
1 2 a b c d e 3	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	1 1 2e 2e	rn.
1 2 a b c d e 3 4	Complete if the organization answered 'Yes' on Form 990, Part IV,  Total expenses and losses per audited financial statements	1 1 2e 2e	rn.

Provide the d	lescriptions required for Pa	art II, lines 3, 5, and 9	, Part III, lines 1a and 4	, Part IV, lines 1b and 2

Return Reference	Explanation
See Additional Data Table	

Schedule D (Form 990) 2015

Schedule D (Form 990) 2015 Page **5** 

Part XIII	Supplemental Info	ormation (continued)
Ret	urn Reference	Explanation

Schedule D (Form 990) 2016

## **Additional Data**

Software ID: Software Version:

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

## Form 990, Schedule D, Part VII - Investments Other Securities

roilli 990, Scheddie D, Fait VII - Illvestillelits Other .	securities .	
(a) Description of security or cateory (including name of security)	(b)Book value	(c) Method of valuation Cost or end-of-year market value
(3)Other (A) CASH & CASH EQUIVALENTS	1,251,786,946	F
(3)Other (A) COLLATERAL FOR SECURITIES LOAN	348,783,430	F
(B) DERIVATIVES	1,152,450	F
(C) FIXED INCOME	2,599,388,525	F
(D) REAL ESTATE	7,069,189,284	F
(E) NATURAL RESOURCES	2,475,605,739	F
(F) PRIVATE EQUITIES	7,305,412,244	F
(G) ABSOLUTE RETURNS	5,497,956,415	F
(H) ASSETS HELD BY OTHER TRUSTEES	138,803,578	F
(I) OTHER INVESTMENTS	471,442,503	F

# Form 990, Schedule D, Part VII - Investments Other Securities

(a) Description of security or cateory (including name of security)	(b)Book value	(c) Method of valuation Cost or end-of-year market value	
(K) ASSETS LIMITED TO USE-TRUSTEES	194,375,860	F	
(3)Other (A) HOSPITAL FUNDS IN MERGED POOL	-1 968 256 718	F.	

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PART I, COLUMN (B)	COLUMN (B) REPRESENTS FUNDS CREATED TO MAKE GRANTS ONLY WITHIN THE UNIVERSITY SCHEDULE D, PART I, LINE 2 INCLUDED IN AGGREGATE CONTRIBUTIONS TO FUNDS ARE NEW GIFTS AS WELL AS TRANSFERS FROM OTHER ACCOUNTS WITHIN THE UNIVERSITY SCHEDULE D, PART III, LINE 1A WORKS OF ART, HISTORICAL TREASURES, LITERARY WORKS AND ARTIFACTS, WHICH ARE PRESERVED AND PROTECTED FOR EDUCATIONAL, RESEARCH AND PUBLIC EXHIBITION PURPOSES, ARE NOT CAPITALIZED DONATIONS OF SUCH COLLECTIONS ARE NOT RECORDED FOR FINANCIAL STATEMENT PURPOSES PURCHASES OF COLLECTION ITEMS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THEY ARE ACQUIRED PROCEEDS FROM SALES OF SUCH ITEMS ARE USED TO ACQUIRE OTHER ITEMS FOR THE COLLECTIONS

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PART III, LINE 4	THE CANTOR ARTS CENTER AT STANFORD UNIVERSITY IS A MUSEUM COMMITTED TO INTELLECTUAL EXPLORATION AND THE PURSUIT OF KNOWLEDGE, FOSTERING A SENSE OF DISCOVERY THROUGH DIRECT EXPERIENCES WITH WORKS OF ART THE CENTER COLLECTS, PRESERVES, AND STUDIES ART FROM ALL CULTURES AND PERIODS, SERVING THE UNIVERSITY AND THE PUBLIC THROUGH EXHIBITIONS AND PROGRAMS THAT INSPIRE THE UNDERSTANDING AND ENJOYMENT OF ART THE ANDERSON COLLECTION IS AN OUTSTANDING ASSEMBLAGE OF MODERN AND CONTEMPORARY AMERICAN ART THE COLLECTION IS ANCHORED IN THE WORK OF THE NEW YORK SCHOOL AND KEY MODERN AND CONTEMPORARY ARTISTS COLLECTED IN DEPTH, ACROSS MEDIA MAJOR MOVEMENTS REPRESENTED INCLUDE ABSTRACT EXPRESSIONISM, COLOR FIELD PAINTING, POST-MINIMALISM, CALIFORNIA FUNK ART, BAY AREA FIGURATIVE ART, LIGHT AND SPACE, AND CONTEMPORARY PAINTING AND SCULPTURE THE 121-WORK COLLECTION FEATURES 86 ARTISTS, INCLUDING JACKSON POLLACK, HELEN FRANKENTHALER AND RICHARD DIBBENKORN ADMISSION TO THE MUSEUM IS FREE THE COLLECTION, BUILT OVER 50 YEARS, WAS DONATED TO STANFORD BY HARRY W AND MARY MARGARET ANDERSON AND MARY PATRICIA ANDERSON PENCE SCHEDULE D, PART Y, LINES 18 & 1E "CONTRIBUTIONS" (LINE 18) INCLUDE CERTAIN INVESTMENT INCOME, CURRENT YEAR GIFTS TO ENDOWMENT, MATURED LIVING TRUSTS DIRECTED TO ENDOWMENT, AND CERTAIN OTHER FUNDS TRANSFERED INTO THE ENDOWMENT FINITES FOR FACILITIES AND PROGRAMS" (LINE 1E) ARE FUNDS DISTRIBUTED ANNUALLY FROM ENDOWMENT PRINCIPAL TO SUPPORT FACULTY, INSTRUCTIONAL SUPPORT AND UNIVERSITY INFRASTUCTURE PLEASE SEE FURTHER DISCUSSION BELOW IN "INTENDED USES OF THE ENDOWMENT FUNDS" SCHEDULE D, PART Y, LINE 2 BOARD DESIGNATED OR QUAST ENDOWMENT FUNDS ARE UNIVERSITY INFRASTUCTURE PLEASE SEE FURTHER DISCUSSION BELOW IN "INTENDED USES OF THE ENDOWMENT FUNDS" SCHEDULE D, PART Y, LINE 2 BOARD DESIGNATED OR QUAST ENDOWMENT FUNDS ARE UNIVERSITY RESOURCES DESIGNATED BY THE BOARD AS ENDOWMENT AND ARE INVESTED FOR EXPENDITURES TO THE FUND THE PUND THE BURNDENT OF THE PERMANENT ENDOWMENT AND ARE INVESTIGED FOR EXPENDITURE IN THE BASENCE OF

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PART V, LINE 4	INTENDED USES OF THE ENDOWMENT FUNDS IN 1885, LELAND AND JANE LATHROP STANFORD SAID OF THE IR FOUNDING GRANT "IT SHALL CONSTITUTE THE FOUNDATION AND ENDOWMENT FOR THE UNIVERSITY HER EIN PROVIDED, AND UPON THE TRUST THAT THE PRINCIPAL THEREOF SHALL FOREVER REMAIN INTACT, A ND THAT THE RENTS, ISSUES, AND PROFITS THEREOF SHALL BE DEVOTED TO THE FOUNDATION AND MAIN TENANCE OF THE UNIVERSITY HEREBY FOUNDED AND ENDOWED, AND THE USES AND PURPOSES HEREIN MEN TIONBED "TODAY, STANFORD'S ENDOWMENT CONSISTS OF THOUSANDS OF INDIVIDUAL FUNDS, MANY OF WH ICH ARE RESTRICTED TO PARTICULAR USES (E.G., SCHOLARSHIP FUNDS FOR UNDERGRADUATES, FELLOWS HIP FUNDS TO SUPPORT GRADUATE STUDENTS, RESEARCH FUNDS FOR UNDERGRADUATES, FELLOWS HIP FUNDS TO SUPPORT GRADUATE STUDENTS, RESEARCH FUNDS DIRECTED TO MANY DIFFERENT AREAS, P. ROGRAM SUPPORT FUNDS, FUNDS TO SUPPORT PROFESSORS, FUNDS TO SUPPORT LECTURES, FUNDS TO PRO VIDE BUILDING AND GROUND MAINTENANCE, FUNDS TO SUPPORT THE UNIVERSITY'S MUSEUM, FUNDS TO SUPPORT THE ACQUISITION OF LIBRARY MATERIALS). THE FUNDS ARE INVESTED IN PERPETUITY FOR LONG TERM GROWTH AND THE PAYOUT FROM AN ENDOWMENT FUNDS ID USED FOR THE PURPOSE SET FORTH IN THE GIFT DOCUMENT SOME ENDOWMENT FUNDS DO NOT HAVE PURPOSE RESTRICTIONS AND THE PAYOUT FROM MILESE FUNDS IS USED TO SUPPORT THE GENERAL OPERATIONS AND INFRASTRUCTURE OF THE UNIVERSITY AS WELL AS MANY OTHER PARTS OF THE UNIVERSITY ENDOWMENT PAYOUT IS A RELIABLE SOURCE OF CONTINUING SUPPORT AND IS CRUCIAL TO THE FUNDING OF THE MANY LONG TERM COMMITMENTS THAT THE UNIVERSITY MUST MAKE THE ENDOWMENT PAYOUT COVERS APPROXIMATELY 22% OF STANFORD'S TOTAL EXP ENSES STANFORD IS CURRENTLY DIRECTING CONSIDERABLE RESEARCH AND EDUCATION EFFORTS TOWARD ADDRESSING THE CHALLENGES CRUCIAL TO THE WORLD IN PARTICULAR, IT IS SEEKING SOLUTIONS TO SOME OF THE MOST CHALLENGING PROBLEMS IN HUMAN HEALTH, INTERNATIONAL PEACE AND SECURITY, A ND THE ENVIRONMENT AND SUSTAINABILITY ONE OF THE UNIVERSITYS ADMISSION PROCESS FOR UNDERGRADUATE STUDENTS FROM THE UNIT ED STADEST THE MISSION PROBLEM

Return Reference Explanation

SCHEDULE D, PART V, LINE 4

AID IN THE FACE OF DIMINISHING FEDERAL SUPPORT, STANFORD HAS ASSUMED MORE OF THE FINANCI AL WEIGHT OF SUPPORTING ITS GRADUATE STUDENTS IN FY17, APPROXIMATELY 78% OF GRADUATE STUDENTS RECEIVED FINANCIAL SUPPORT FOR THE YEAR ENDED AUGUST 31, 2017, ENDOWMENT PAYOUT SUPPORT INCLUDED THE FOLLOWING BROAD FUNCTIONS OF THE UNIVERSITY INSTRUCTION AND RESEARCH \$33 0,325,961 STUDENT FINANCIAL AID \$268,228,571 LIBRARIES \$20,548,663

Return Reference Explanation

SCHEDULE D, PART X, LINE 2

ASC 740 LIABILITY - IN ACCORDANCE WITH THE GUIDANCE ON ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, MANAGEMENT REGULARLY EVALUATES ITS TAX POSITIONS AND DOES NOT BELIEVE THE UNIVERSITY HAS ANY UNCERTAIN TAX POSITIONS THAT REQUIRE DISCLOSURE OR ADJUSTMENT TO THE FINANCIAL STATEMENTS

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

**Schools** 

▶Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

DLN: 93493197011708 OMB No 1545-0047

Department of the Treasury

SCHEDULE E

(Form 990 or 990-

EZ)

▶ Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

Namel & the organization **Employer identification number** THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY 94-1156365 Part I

			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	3	100	No
				140
4	Does the organization maintain the following?			
	Records indicating the racial composition of the student body, faculty, and administrative staff?  Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4a 4b	Yes Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions?  If you answered "No" to any of the above, please explain If you need more space, use Part II	4d	Yes	
5 a	Does the organization discriminate by race in any way with respect to Students' rights or privileges?	5a		No
b	Admissions policies?	5b		No
c	Employment of faculty or administrative staff?	5с		No
d	Scholarships or other financial assistance?	5d		No
	Educational policies?	5e		No
	Use of facilities?  Athletic programs?	5f 5g		No No
_	Other extracurricular activities?	5 <u>5</u>		No
	If you answered "Yes" to any of the above, please explain If you need more space, use Part II			
6~	Does the erganization receive any financial aid or accretance from a governmental agency?	6a	Yes	
	Does the organization receive any financial aid or assistance from a governmental agency?  Has the organization's right to such aid ever been revoked or suspended?	6b	162	No
	If you answered "Yes" to either line 6a or line 6b, explain on Part II  Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No." explain on Part II	7	Yes	

**Part II** Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable Also provide any other additional information (see instructions)

any other additional information (see instructions)	
Return Reference	Explanation
SCHEDULE E, LINE 3	STANFORD MEETS THE CRITERIA OF SECTION 4 03 2(B) OF REV PROC 75-50 THROUGH ADMISSION AND FINANCIAL AID OFFICES OUTREACH PROGRAMS WHICH PUBLICIZE STANFORD UNIVERSITY'S ACADEMIC OPPORTUNITIES AT SECONDARY AND POST-SECONDARY SCHOOLS THROUGHOUT THE UNITED STATES
SCHEDULE E, LINE 6A	STANFORD UNIVERSITY RECEIVED RESEARCH AND INSTRUCTIONAL GRANTS AND CONTRACTS, FELLOWSHIP AND STUDENT AID AWARDS, LIBRARY GRANTS, AND CONTRACTS FROM VARIOUS FEDERAL AGENCIES

Schedule E (Form 990 or 990-EZ) (2016)

efile GRAPHIC print - DO NOT PROCESS SCHEDULE F

(Form 990)

Part I

Department of the Treasury

As Filed Data -

DLN: 93493197011708

OMB No 1545-0047

2016

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

**Open to Public** Inspection

Internal Revenue Service Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

**Employer identification number** 94-1156365

Form 990, Part IV, line 14b. 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to

For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance

outside the United States Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed ) 3

(a) Region	<b>(b)</b> Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
See Add'l Data				
3a Sub-total				14,070,602
<b>b</b> Total from continuation sheets to Part I	12	455		12,898,201,289
c Totals (add lines 3a and 3b)	12	455		12 912 271 891

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 50082W

Schedule F (Form 990) 2016

	IV, line 1	.5, for any red	cipient who received	more than \$5,000.	Part II can be dupl	cated if additional s	space is needed.		·
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
	See Add'l Data								
2	Enter total numbers	per of recipient RS, or for whice	t organizations listed th the grantee or cou	above that are recog	nized as charities by section 501(c)(3) equ	the foreign country, invalency letter	recognized as tax-	·	56
3	Enter total numb	per of other or	ganizations or entities	s				<b>&gt;</b>	
								Schedule	F (Form 990) 2016

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. (d) Amount of (a) Type of grant or assistance (c) Number of (b) Region (e) Manner of cash (f) Amount of (g) Description (h) Method of recipients cash grant disbursement non-cash of non-cash valuation assistance (book, FMV, appraisal, other) assistance 104,253 CHECK/EFT STIPENDS EUROPE 49 SCHOLARSHIPS 76,000 CHECK/EFT East Asıa and the 23 Pacific

i e i	Foreign Forms		
1	Was the organization a U S transferor of property to a foreign corporation during the tax year? If "Yes,"the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	<b>✓</b> Yes	□No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)	<b>✓</b> Yes	□No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)	<b>☑</b> Yes	□No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)	<b>☑</b> Yes	□No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)	<b>☑</b> Yes	□No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)	<b>✓</b> Yes	□No

Schedule F (Form 990) 2016

Schedule F (Form 990) 2016

Page **4** 

Schedule F (Form 990) 2016 Page **5** 

#### Part V

## Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

#### 990 Schedule F, Supplemental Information

Return Reference	Explanation
PART I LINE 2	PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS OUTSIDE THE UNITED STATES PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS OUTSIDE OF THE UNITED STATES (US) ARE PRINCIPALLY GOVER NED BY THE PURPOSE FOR WHICH THE FUNDS WERE GRANTED SUBAWARD GRANTS AND GRANTS PAID OUTSIDE OF THE US, OR FOR USE OUTSIDE THE US, FOR PROGRAMS WHICH HAVE A READILY IDENTIFIABLE FO REIGN COMPONENT AND ARE TRACKED SEPARATELY ARE REPORTED ON SCHEDULE F (GRANTMAKING IS FUR THER DISCUSSED BELOW) FINANCIAL AID FINANCIAL AID AMOUNTS SUCH AS GRANTS, STIPENDS, FELLO WSHIPS AND SCHOLARSHIPS ARE PAID TO ENROLLED STUDENTS AND MAY BE USED FOR ACADEMIC ACTIVIT IES ABROAD HOWEVER, STANFORD REQUIRES THAT STUDENTS WHO ARE RECEIVING FINANCIAL AID MAINT AIN THEIR REGISTRATION STATUS, AND BE ENROLLED FOR ACADEMIC CREDIT IN UNIVERSITY-APPROVED AND MONITORED PROGRAMS AND/OR RELATED ACADEMIC ACTIVITIES THE UNIVERSITY DOES NOT CONSIDE R FINANCIAL AID DISBURSEMENTS IN THE US TO ENROLLED STUDENTS AS "GRANTS TO FOREIGN INDIVID UALS" SUBAWARDS PROCEDURES FOR MONITORING SUB-AWARDS (DISCUSSED FURTHER BELOW UNDER 3 G RANTMAKING) INCLUDE AN INITIAL RISK ASSESSMENT OF THE RECIPIENT TOGETHER WITH A REVIEW OF AUDIT/FINANCIALS THIS ASSESSMENT DETERMINES THE SCOPE OF AGREEMENT TERMS TO ENSURE SAFE-H ANDLING OF THE AWARD IN ACCORDANCE WITH OFFICE OF MANAGEMENT AND BUDGET (OMB) UNIFORM ADM INISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS (UNIF ORM GUIDANCE), THE SUB-RECIPIENTS WHO ARE NOT UNDER SINGLE AUDIT ARE REQUIRED TO ANNUALLY COMPLETE A QUESTIONNAIRE DESIGNED TO ASSESS CERTAIN ASPECTS OF THE ENTITY'S INTERNAL CONTROLS AND FINANCIAL STATUS THIS INFORMATION IS COLLECTED AND REVIEWED BY UNIVERSITY ARE REVIEWED TO ENSURE THAT CHARGED EXPENSES MEET BOTH INTERNAL ACCOUNTING POLICIES, AS WELL AS FEDERAL STANDARDS AWARDS ARE MONITORED ON AN ONGOING BASIS

_	
Return Reference	Explanation
	DETAILS FOR EACH TYPE OF ACTIVITY (I PROGRAM SERVICES, 2. INVESTMENTS, 3. GRANTMAKING, 4. FUNDRAISING, CONDUCTED IN EACH REGION AND THE METHOD USED TO ACCOUNT FOR EXPENDITURES ON PART I, COLUMN (F) AS A LEGIBLON RESEARCH INSTITUTION, STANFORD LINVERSITY CONDUCTS ACTIVITY TIES WORLDWIDE IN PURSUIT OF ITS ACADEMIC MISSION OF EDUCATION, RESEARCH AND PATIENT CARE ITS STUDENTS, FACULTY AND ALUMIN COMMUNITIES ARE SIMILARLY INTERNATIONAL IN CHARACTER, AN D SEEK TO COLLAGORATE IN AN INTERDEPENDENT WORLD TO UNDERSTAND AND SOLVE GLOBAL CHALLENGES THE UNIVERSITY HAS REPORTED ON SCHOULE F EXPENDITURES RELATING TO FORCIDE ACCOUNTY OF THE ACCOUNTING SYSTEM, IN ACCORDANCE WITH U.S. GENERALLY ACCEPTED ACCOUNTY OF THE ACCOUNTING SYSTEM, IN ACCORDANCE WITH U.S. GENERALLY ACCEPTED ACCOUNTY OF THE ACCOUNTING SYSTEM, IN ACCORDANCE WITH U.S. GENERALLY ACCEPTED ACCOUNTY OF THE ACCOUNTING SYSTEM, IN ACCORDANCE WITH U.S. GENERALLY ACCEPTED ACCOUNTY OF THE ACCOUNTING SYSTEM, IN ACCORDANCE WITH U.S. GENERALLY ACCEPTED ACCOUNTY OF THE ACCOUNTING SYSTEM, IN ACCORDANCE WITH U.S. GENERALLY ACCEPTED ACCOUNTY OF THE ACCOUNTING SYSTEM IN A COUNTY OF THE ACCOUNTY OF
	FFSET TUITION AND OTHER UNIVERSITY CHARGES THAT WOULD OTHERWISE HAVE BEEN RECEIVED BY THE UNIVERSITY THE BALANCE OF \$158,692,206 REPRESENTS DISBURSEMENTS, PRIMARILY TO GRADUATE ST UDENTS, FOR STIPENDS, FELLOWSHIPS AND OTHER STUDENT AID IN SUPPORT OF ACADEMIC EFFORT GRA NTS TO ORGANIZATIONS ARE PRIMARILY IN THE FORM OF RESEARCH SUB-AWARDS SUB-AWARDS ARE PAYM ENTS TO THIRD PARTY RECIPIENTS FOR PERFORMING A PORTION OF A STANFORD RESEARCH PROGRAM, MO ST OFTEN A SPONSORED-RESEARCH PROJECT MANY SUB-AWARDS ARE AGREEMENTS FOR CONTRACTUALLY
	DE FINED DELIVERABLES, USUALLY REQUIRING HIGHLY SPECIFIC EXPERTISE AS SUCH THEY COULD BE DES CRIBED AS RESEARCH CONTRACTS HOWEVER, CERTAIN SUB-AWARDS ARE CATEGORIZED AS GRANTS, DEPEN DING ON THE NATURE OF THE ORIGINATING PRIME FUNDING SOURCE, SINCE THE TERMS AND CONDITIONS OF THE ORIGINATING FUNDING SOURCE GENERALLY FLOW THROUGH TO THE SUB-AWARDS TO FOREIGN BECLERALLY CONTRACT FUNDED SUB-AWARDS TO FOREIGN BECLERALLY

## 990 Schedule F, Supplemental Information

Return Reference	Explanation
PART II METHOD USED TO ACCOUNT FOR GRANTS TO ORGANIZATIONS	SUB-AWARDS ARE SEPARATELY TRACKED IN THE ACCOUNTING SYSTEM WITH THE IDENTIFICATION OF THE FUNDING SOURCE OF THE SUB AWARD (I E GRANT VS CONTRACT) SEPARATELY TRACKED IN THE UNIVER SITY'S SPONSORED RESEARCH SYSTEM INCLUDED IN PART II ARE DIRECT GRANTS AND OTHER ASSISTAN CE TO ORGANIZATIONS OUTSIDE THE US THE UNIVERSITY DOES NOT TRACK WHETHER GRANTS TO ORGANI ZATIONS WITHIN THE US ARE MADE FOR THE PURPOSE OF PROVIDING GRANTS TO FOREIGN ORGANIZATION S SUB-AWARDS ARE SUBJECT TO THE MONITORING PROCEDURES DISCUSSED IN PART V WITH RESPECT TO PART I, LINE 2 REGARDLESS OF WHETHER THE RECIPIENT WILL FURTHER SUB-AWARD FUNDS IN ORDER TO ACCOMPLISH THE PURPOSES OF THE AWARD

## 990 Schedule F, Supplemental Information

Return Reference	Explanation
PART III METHOD USED TO ACCOUNT FOR GRANTS TO INDIVIDUALS	PAYMENTS TO INDIVIDUALS OUTSIDE OF THE UNITED STATES ARE TRACKED IN THE PAYABLES SUB-LEDGE R OF THE ACCOUNTING SYSTEM GRANT DISBURSEMENTS TO STUDENTS AND FACULTY ARE TRACKED AT A S UMMARY LEVEL IN THE ACCOUNTING SYSTEM THE AWARDING AND MONITORING OF INDIVIDUAL GRANT FUN DS IS A DECENTRALIZED ACADEMIC PROGRAM LEVEL RESPONSIBILITY THE NUMBER OF RECIPIENTS REPO RTED IN PART III REFLECT ACTUAL RECIPIENTS OF THOSE PROGRAMS, OR OTHER IDENTIFIED STUDENT RECIPIENTS

## **Additional Data**

Software ID: Software Version:

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

### Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Program Services	TRAVEL STUDY	697
East Asia and the Pacific			Program Services	TRAVEL STUDY	214,762
Europe			Program Services	TRAVEL STUDY	278,785

Form 990 Schedule F Part I - Activities Outside The United States							
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region		
Middle East and North Africa			Program Services	TRAVEL STUDY	78,615		
North America			Program Services	TRAVEL STUDY	2,475		
Russia and Neighboring States			Program Services	TRAVEL STUDY	32,712		

Form 990 Schedule F Par	t I - Activities	Outside The U	Jnited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America			Program Services	TRAVEL STUDY	149,188
South Asia			Program Services	TRAVEL STUDY	136,574
Sub-Saharan Africa			Program Services	TRAVEL STUDY	352,850

\_\_\_\_

Form 990 Schedule F Part I - Activities Outside The United States							
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region		
Antarctica			Program Services	STUDY TOURS	915,347		
Central America and the Caribbean			Program Services	STUDY TOURS	679,551		
East Asia and the Pacific			Program Services	STUDY TOURS	3,083,842		

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
Europe			Program Services	STUDY TOURS	4,480,143				
Middle East and North Africa			Program Services	STUDY TOURS	1,728,971				
North America			Program Services	STUDY TOURS	243,717				

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
Russia and Neighboring States			Program Services	STUDY TOURS	424,040			
South America			Program Services	STUDY TOURS	1,268,333			
South Asia			Program Services	STUDY TOURS	974,254			

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
Sub-Saharan Africa			Program Services	STUDY TOURS	661,725				
East Asia and the Pacific			Program Services	STUDY ABROAD	1,613,364				
Europe	5	156	Program Services	STUDY ABROAD	7,517,353				

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
North America			Program Services	STUDY ABROAD	157,556			
Russia and Neighboring States			Program Services	STUDY ABROAD	61,558			
South America	1	17	Program Services	STUDY ABROAD	1,075,380			

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
Antarctica			Program Services	RESEARCH	4,761			
Central America and the Caribbean			Program Services	RESEARCH	146,496			
East Asia and the Pacific		34	Program Services	RESEARCH	3,189,665			

Form 990 Schedule F Par	t I - Activities	Outside The U	Jnited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	1	92	Program Services	RESEARCH	7,018,239
Middle East and North Africa		7	Program Services	RESEARCH	612,659
North America		18	Program Services	RESEARCH	1,838,364

Form 990 Schedule F Par	t I - Activities	Outside The U	Inited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States		7	Program Services	RESEARCH	213,256
South America		7	Program Services	RESEARCH	544,475
South Asia		7	Program Services	RESEARCH	789,517

Form 990 Schedule F Par	t I - Activities	Outside The U	Jnited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa		16	Program Services	RESEARCH	1,142,617
Antarctica			Program Services	FOREIGN TRAVEL	4,887
Central America and the Caribbean			Program Services	FOREIGN TRAVEL	29,241

Form 990 Schedule F Par	t I - Activities	Outside The U	Inited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific		2	Program Services	FOREIGN TRAVEL	1,496,226
Europe (Including Iceland and Greenland)		3	Program Services	FOREIGN TRAVEL	3,855,445
Middle East and North Africa			Program Services	FOREIGN TRAVEL	156,221

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
North America		5	Program Services	FOREIGN TRAVEL	601,443			
Russia and the Newly Independent States		1	Program Services	FOREIGN TRAVEL	58,873			
South America			Program Services	FOREIGN TRAVEL	153,317			

Form 990 Schedule F Par	t I - Activities	Outside The U	Jnited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia		1	Program Services	FOREIGN TRAVEL	251,459
Sub-Saharan Afrıca		3	Program Services	FOREIGN TRAVEL	282,357
East Asia and the Pacific			Program Services	FOREIGN CENTER	2,006,773

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
Central America and the Caribbean			Program Services	EDUCATION	156,346				
East Asia and the Pacific		16	Program Services	EDUCATION	2,129,942				
Europe (Including Iceland and Greenland)		13	Program Services	EDUCATION	2,003,084				

Form 990 Schedule F Par	t I - Activities	Outside The U	Jnited States		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Program Services	EDUCATION	619,038
North America		2	Program Services	EDUCATION	889,912
Russia and the Newly Independent States			Program Services	EDUCATION	48,269

Form 990 Schedule F Part I - Activities Outside The United States							
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region		
South America		1	Program Services	EDUCATION	751,742		
South Asia			Program Services	EDUCATION	801,618		
Sub-Saharan Afrıca	2	16	Program Services	EDUCATION	6,209,938		

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
Central America and the Caribbean			Program Services	CONFERENCES AND SEMINA	95,250			
East Asia and the Pacific		2	Program Services	CONFERENCES AND SEMINA	1,282,646			
Europe (Including Iceland and Greenland)		7	Program Services	CONFERENCES AND SEMINA	3,321,872			

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
Middle East and North Africa			Program Services	CONFERENCES AND SEMINA	149,057			
North America		5	Program Services	CONFERENCES AND SEMINA	633,470			
Russia and the Newly Independent States			Program Services	CONFERENCES AND SEMINA	35,409			

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
South America		1	Program Services	CONFERENCES AND SEMINA	168,966				
South Asia			Program Services	CONFERENCES AND SEMINA	141,252				
Sub-Saharan Afrıca			Program Services	CONFERENCES AND SEMINA	90,964				

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
Central America and the Caribbean			Investments		10,314,949,739				
East Asia and the Pacific			Investments		663,259,101				
Europe (Including Iceland and Greenland)			Investments		680,214,133				

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
North America			Investments		534,617,555				
South America			Investments		182,659,488				
Sub-Saharan Africa			Investments		399,681,097				

Form 990 Schedule F Part I - Activities Outside The United States							
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region		
Central America and the Caribbean			Investments FEES		42,969,697		
South America			Investments FEES		12,067,658		
Sub-Saharan Africa			Investments FEES		3,384,952		

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
East Asia and the Pacific	2	15	INV OPERATING SUBS		160,684			
South Asia	1		INV OPERATING SUBS		468,677			
Europe (Including Iceland and Greenland)			Grantmaking		104,253			

Form 990 Schedule F Part I - Activities Outside The United States									
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region				
Central America and the Caribbean			Grantmakıng		17,500				
East Asia and the Pacific			Grantmaking		1,040,649				
Europe (Including Iceland and Greenland)			Grantmakıng		3,009,967				

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
Middle East and North Africa			Grantmaking		210,712			
North America			Grantmakıng		1,250,517			
South America			Grantmakıng		19,331			

\_\_\_\_

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
South Asia			Grantmaking		792,398			
Sub-Saharan Africa			Grantmaking		906,242			
East Asia and the Pacific			Fundraising		96,820			

\_\_\_\_

Form 990 Schedule F Part I - Activities Outside The United States								
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region			
Europe (Including Iceland and Greenland)		1	Fundraising		307,009			
North America			Fundraising		8			
South America			Fundraising		19,965			

Form 990 Schedule F Part I - Activities Outside The United States							
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region		
South Asia			Fundraising		6,455		
Sub-Saharan Africa			Fundraising		426		

Form 990 Schedule F Part II - Grants or Entities Outside The United States											
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
		Central America and the Caribbean	RESEARCH SUB - AWARDS	17,500	CHECK/WIRE						
		East Asia and the Pacific	RESEARCH SUB - AWARDS	27,500	CHECK/WIRE						

Form 990 Sche	Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
		East Asia and the Pacific	RESEARCH SUB - AWARDS	102,000	CHECK/WIRE						
		East Asıa and the Pacıfıc	RESEARCH SUB - AWARDS	11,841	CHECK/WIRE						

Form 990 Schedule F Part II - Grants or Entities Outside The United States											
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
	1	East Asia and the Pacific	RESEARCH SUB - AWARDS	10,537	CHECK/WIRE						
	1	East Asia and the Pacific	RESEARCH SUB - AWARDS	100,000	CHECK/WIRE						

Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)		
		East Asia and the Pacific	RESEARCH SUB - AWARDS	5,934	CHECK/WIRE					
		East Asia and the Pacific	RESEARCH SUB - AWARDS	14,454	CHECK/WIRE					
'	•	•	•	•	•		'			

Form 990 Sche	Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
	l	East Asıa and the Pacıfıc	RESEARCH SUB - AWARDS	523,065	CHECK/WIRE						
	1	East Asıa and the Pacıfıc	RESEARCH SUB - AWARDS	19,318	CHECK/WIRE						

Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
			150,000	CHECK/WIRE			_			
	Europe	RESEARCH SUB - AWARDS	143,473	CHECK/WIRE						
	(b) IRS code section and EIN(if applicable)	(b) IRS code section and EIN(if applicable)  East Asia and the Pacific	(b) IRS code section and EIN(if applicable)    East Asia and the Pacific   Europe   RESEARCH SUB	(b) IRS code section and EIN(if applicable)    (c) Region   (d) Purpose of grant   (e) Amount of cash grant	(b) IRS code section and EIN(if applicable)    East Asia and the Pacific   Europe   Europe   RESEARCH SUB   143,473   CHECK/WIRE   CHEC	(b) IRS code section and EIN(if applicable)  (c) Region (d) Purpose of grant (e) Amount of cash grant (g) Amount of cash disbursement (g) Amount of non-cash disbursement (g) Amount of cash grant (h) Purpose of grant (h) Purpose of disbursement (h) Purpose of grant (h) Purpose of cash grant (h) Purpose of disbursement (h) Purpose of cash grant (h) Purpose of disbursement (h) Purpose of disbursement (h) Purpose of cash grant (h) Purpose of disbursement (h) Purpose of disburse	(b) IRS code section and EIN(if applicable)  (c) Region (d) Purpose of grant (e) Amount of cash grant (f) Manner of cash disbursement (g) Amount of non-cash assistance (h) Description of non-cash assistance assistance (h) Description of non-cash assist			

Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)		
		Europe	RESEARCH SUB - AWARDS	76,102	CHECK/WIRE					
		Europe	RESEARCH SUB - AWARDS	97,961	CHECK/WIRE					
	•			•			•			

Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)		
		Europe	RESEARCH SUB - AWARDS	143,538	CHECK/WIRE					
		Europe	RESEARCH SUB - AWARDS	162,293	CHECK/WIRE					
		•			-					

Form 990 Sch	Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
		Europe	RESEARCH SUB - AWARDS	170,858	CHECK/WIRE						
		Europe	RESEARCH SUB - AWARDS	200,210	CHECK/WIRE						
				-	l	l					

Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)		
		Europe	RESEARCH SUB - AWARDS	359,819	CHECK/WIRE					
		Europe	RESEARCH SUB - AWARDS	19,069	CHECK/WIRE					

Form 990 Schedule F Part II - Grants or Entities Outside The United States											
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
		Europe	RESEARCH SUB - AWARDS	20,265	CHECK/WIRE						
		Europe	RESEARCH SUB - AWARDS	910,552	CHECK/WIRE						

Form 990 Schedule F Part II - Grants or Entities Outside The United States										
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)		
		Europe	RESEARCH SUB - AWARDS	252,697	CHECK/WIRE					
		Europe	RESEARCH SUB - AWARDS	62,476	CHECK/WIRE					

Form 990 Scho	edule F Par	t II - Grants	or Entities O	utside The Ur	nited States			
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH SUB - AWARDS	7,878	CHECK/WIRE			
		Europe	RESEARCH SUB - AWARDS	265,420	CHECK/WIRE			

Form 990 Sche	Form 990 Schedule F Part II - Grants or Entities Outside The United States											
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)				
		Europe	RESEARCH SUB - AWARDS	76,035	CHECK/WIRE							
		Europe	RESEARCH SUB - AWARDS	37,772	CHECK/WIRE							

Form 990 Sche	edule F Par	t II - Grants	ุor Entities Oเ	itside The Ur	nited States			
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	RESEARCH SUB - AWARDS	19,036	CHECK/WIRE			
		Middle East and North Africa	RESEARCH SUB - AWARDS	17,797	CHECK/WIRE			

(a) Name of organization (b) IRS code section and EIN(if applicable) (c) Region (c) Region (d) Purpose of grant (e) Amount of cash grant (e) Amount of cash disbursement (f) Manner of cash disbursement (g) Amount of non-cash assistance (h) Description of non-cash assistance (h) Description of non-cash assistance (l) Method of valuation (book, FMV, appraisal, other)    Middle East and North Africa   RESEARCH SUB - AWARDS   20,018   CHECK/WIRE   CHECK/WIRE	Form 990 Sche	edule F Par	t II - Grants	or Entities Ou	utside The Ur	nited States			
and North Africa  Middle East And North Africa  Middle East And North AWARDS  AWARDS  AWARDS  AWARDS  AWARDS	(a) Name of	section and EIN(if		l ` ′ ' .	` '	cash	non-cash	of non-cash	valuation (book, FMV, appraisal,
and North - AWARDS			and North		13,735	CHECK/WIRE			
			and North		20,018	CHECK/WIRE			

Form 990 Sche	edule F Par	t II - Grants	or Entities O	itside The Ur	nited States			
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	RESEARCH SUB - AWARDS	17,640	CHECK/WIRE			
		Middle East and North Africa	RESEARCH SUB - AWARDS	122,486	CHECK/WIRE			

Form 990 Sch	edule F Par	t II - Grants	or Entities Ou	itside The Un	nited States			
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH SUB - AWARDS	73,527	CHECK/WIRE			
		North America	RESEARCH SUB - AWARDS	414,533	CHECK/WIRE			
		•		•				

Form 990 Sch	edule F Par	t II - Grants	or Entities Ou	itside The Un	nited States			_
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH SUB - AWARDS	247,983	CHECK/WIRE			
		North America	RESEARCH SUB - AWARDS	124,474	CHECK/WIRE			
		•						

Form 990 Scho	edule F Par	t II - Grants	or Entities Ou	itside The Ur	nited States	_		
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH SUB - AWARDS	27,000	CHECK/WIRE			
		North America	RESEARCH SUB - AWARDS	7,329	CHECK/WIRE			

edule F Par	t II - Grants	or Entities Ou	itside The Ur	ited States			_
(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
	North America	RESEARCH SUB - AWARDS	254,882	CHECK/WIRE			
	North America	RESEARCH SUB - AWARDS	100,788	CHECK/WIRE			
	(b) IRS code section and EIN(if applicable)	(b) IRS code section and EIN(if applicable) (c) Region North America	(b) IRS code section and EIN(if applicable) (c) Region grant (d) Purpose of grant (d) Purpose of grant (e) Purpose of grant (f) Purpose	(b) IRS code section and EIN(if applicable)  North America RESEARCH SUB 254,882  North America RESEARCH SUB 100,788	section and EIN(if applicable)  (c) Region (d) Purpose of grant (e) Amount of cash grant disbursement  North America RESEARCH SUB 254,882 CHECK/WIRE  North America RESEARCH SUB 100,788 CHECK/WIRE	(b) IRS code section and EIN(if applicable)  (c) Region  (d) Purpose of grant  (e) Amount of cash disbursement  (g) Amount of non-cash disbursement  North America  RESEARCH SUB 254,882 CHECK/WIRE  North America RESEARCH SUB 100,788 CHECK/WIRE	(b) IRS code section and EIN(if applicable)  (c) Region  (d) Purpose of grant  (e) Amount of cash grant  (f) Manner of cash disbursement  (g) Amount of non-cash assistance  (h) Description of non-cash assistance  (h) Description of non-cash assistance  North America  RESEARCH SUB 254,882 CHECK/WIRE  North America  RESEARCH SUB 100,788 CHECK/WIRE

Form 990 Sch	edule F Par	t II - Grants	or Entities Ou	itside The Un	nited States			
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	RESEARCH SUB - AWARDS	19,331	CHECK/WIRE			
		South Asia	RESEARCH SUB - AWARDS	532,621	CHECK/WIRE			

edule F Par	t II - Grants	or Entities O	utside The Ur	nited States			
(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
	South Asıa	RESEARCH SUB - AWARDS	20,000	CHECK/WIRE			_
	South Asıa	RESEARCH SUB - AWARDS	122,896	CHECK/WIRE			
	(b) IRS code section and EIN(if applicable)	(b) IRS code section and EIN(if applicable) (c) Region South Asia	(b) IRS code section and EIN(if applicable) (c) Region grant (d) Purpose of grant span applicable) South Asia RESEARCH SUB - AWARDS  South Asia RESEARCH SUB	(b) IRS code section and EIN(if applicable)  South Asia  RESEARCH SUB 20,000  South Asia  RESEARCH SUB 122,896	Section and EIN(if applicable)   (c) Region   (d) Purpose of grant   (e) Amount of cash grant   (f) Manner of cash grant   (e) Amount of cash grant   (f) Manner of cash grant   (f)	(b) IRS code section and EIN(if applicable)  South Asia  RESEARCH SUB 20,000 CHECK/WIRE  South Asia  RESEARCH SUB 122,896 CHECK/WIRE	(b) IRS code section and EIN(if applicable)  (c) Region  (d) Purpose of grant  (e) Amount of cash grant  (f) Manner of cash of non-cash assistance  South Asia  RESEARCH SUB 20,000  CHECK/WIRE  South Asia  RESEARCH SUB 122,896  CHECK/WIRE

Form 990 Schedule F Part II - Grants or Entities Outside The United States											
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)			
		South Asıa	RESEARCH SUB - AWARDS	80,801	CHECK/WIRE						
		South Asıa	RESEARCH SUB - AWARDS	36,080	CHECK/WIRE						

Form 990 Sch	Form 990 Schedule F Part II - Grants or Entities Outside The United States												
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)					
	l	Sub-Saharan Africa	RESEARCH SUB - AWARDS	64,074	CHECK/WIRE								
	l	Sub-Saharan Afrıca	RESEARCH SUB - AWARDS	264,147	CHECK/WIRE								
	•			-		•	-						

Form 990 Sch	Form 990 Schedule F Part II - Grants or Entities Outside The United States												
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)					
	l	Sub-Saharan Africa	RESEARCH SUB - AWARDS	131,510	CHECK/WIRE								
		Sub-Saharan Africa	RESEARCH SUB - AWARDS	77,130	CHECK/WIRE								
	•			-									

Form 990 Sch	Form 990 Schedule F Part II - Grants or Entities Outside The United States												
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)					
	l	Sub-Saharan Africa	RESEARCH SUB - AWARDS	268,694	CHECK/WIRE								
		Sub-Saharan Afrıca	RESEARCH SUB - AWARDS	37,800	CHECK/WIRE								
	•		•	-		•	-						

Form 990 Sch	Form 990 Schedule F Part II - Grants or Entities Outside The United States												
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)					
	1	Sub-Saharan Africa	RESEARCH SUB - AWARDS	25,000	CHECK/WIRE								
		Sub-Saharan Africa	RESEARCH SUB - AWARDS	37,887	CHECK/WIRE								

DLN: 93493197011708

2016

OMB No 1545-0047

**SCHEDULE G** (Form 990 or 990-EZ)

**Supplemental Information Regarding** Fundraising or Gaming Activities
Complete of the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the

organization entered more than \$15,000 on Form 990-EZ, line 6a ►Attach to Form 990 or Form 990-EZ.

Open to Public Inspection

Department of the Treasury Internal Revenue Service

► Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www irs gov/form990.

**Employer identification number** 

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD

UNIOR UNIVERSITY					94-1156365	
	tivities.Complete i ers are not required				form 990, Part IV, line 1	.7.
1 Indicate whether the orga	nızatıon raised funds	through a	ny of the	e following activities Chec	k all that apply	
a 🗹 Mail solicitations				e 🗹 Solicitation of no	n-government grants	
b 🗹 Internet and email so	licitations			f 🗹 Solicitation of go	vernment grants	
c Phone solicitations				g 🗸 Special fundraisii	ng events	
d  In-person solicitations	5			, <u> </u>		
				ndividual (including officers	· • —	es 🗆 No
b If "Yes," list the ten higher to be compensated at least			undraise	rs) pursuant to agreement	s under which the fundrais	
(i) Name and address of ındıvıdual or entity (fundraiser)	(ii) Activity	fundrais custo conti	Did Ser have ody or rol of utions?	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
CORPORATE CONCEPTS JAYNE SHERRY MOR 301 OAKDALE AVENUE MILL VALLEY, CA 94941	EVENT MANAGEMENT	Yes	<b>No</b> No	1,303,977	41,600	1,262,37
DRESSLER ASSOCIATES CAROL DRESSLER 1300 TRINITY DR	SEED PROGRAM		No	0	66,183	
MENLO PARK, CA 94025 THE STELTER COMPANY 10435 NEW YORK AVENUE DES MOINES, IA 50322	BROCHURES		No	0	68,693	
	1				176,476	

CA, NY

	dule G (Form 990 or 990-EZ) 2016				Page					
Pa	rt II Fundraising Events. Comple than \$15,000 of fundraising e gross receipts greater than \$5	vent contributions and								
Revenue	3.000.000,000	(a)Event #1  UNDER ONE LUNCH  (event type)	(b) Event #2  RODIN MOONLIGHT  (event type)	(c)Other events  2 (total number)	(d) Total events (add col (a) through col (c))					
	1 Gross receipts	1,303,977	571,750	190,255	2,065,98					
	2 Less Contributions	1,283,877	552,875							
	3 Gross income (line 1 minus line 2)	20,100	18,875	38,250						
	4 Cash prizes		·							
S	5 Noncash prizes			1,753	1,75					
Direct Expenses	6 Rent/facility costs	85,965		12,912	98,87					
å A	7 Food and beverages	32,741		42,380	75,12					
ğ.	8 Entertainment	26,418		20,865	47,28					
٥	9 Other direct expenses	102,211	345,254	17,480	464,94					
	10 Direct expense summary Add lines 4 t	through 9 in column (d)		•	687,97					
	11 Net income summary Subtract line 10				-610,75					
Fel	on Form 990-EZ, line 6a.	anization answered Te	es on Form 990, Part I	.v, line 19, or reported	more than \$15,000					
Revenue		(a) Bingo	<b>(b)</b> Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))					
	1 Gross revenue									
Expenses	2 Cash prizes									
rect	4 Rent/facility costs									
<u>ā</u>	5 Other direct expenses									
	<b>6</b> Volunteer labor	<ul><li>☐ Yes %</li><li>☐ No</li></ul>	☐ Yes	☐ Yes <u>%</u>						
	7 Direct expense summary Add lines 2 t	hrough 5 in column (d)								
	8 Net gaming income summary Subtrac	t line 7 from line 1, colum	n (d)	•						
9 a b	Enter the state(s) in which the organization conducts gaming activities  a Is the organization licensed to conduct gaming activities in each of these states?  b If "No," explain									
10a b	If "Yes," explain		d or terminated during the		☐ Yes ☐ No					

11	Does the organization conduct gaming	activities with nonmember	s?		☐Yes	□No						
12	Is the organization a grantor, beneficial formed to administer charitable gaming		member of a partnership or other entity		□Yes	□No						
13	Indicate the percentage of gaming acti	vity conducted in										
а	The organization's facility			13a			%					
b	An outside facility			13b			%					
14	Enter the name and address of the pen	son who prepares the orga	nization's gaming/special events books and re	cords								
	Name ►											
	Address •											
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?											
Ь	If "Yes," enter the amount of gaming reamount of gaming revenue retained by			e								
С	If "Yes," enter name and address of the	e third party										
	Name ►											
	Address ▶											
16	Gaming manager information											
	Name ► Gaming manager compensation ► \$											
	Description of services provided ▶											
	☐ Director/officer	☐ Employee	☐ Independent contractor									
17	Mandatory distributions											
а	Is the organization required under state retain the state gaming license?	e law to make charitable di	stributions from the gaming proceeds to		□Yes	Пио						
b	Enter the amount of distributions requi	red under state law distrib	uted to other exempt organizations or spent									
	in the organization's own exempt activi		•									
Par		5c, 16, and 17b, as app	cions required by Part I, line 2b, columns licable. Also complete this part to provic									
	Return Reference		Explanation									
		i										

Schedule G (Form 990 or 990-EZ) 2016

Schedule G (Form 990 or 990-EZ) 2016

Page **3** 

efile GRAPHIC print - DO NOT PROCESS

THE BOARD OF TRUSTEES OF THE LELAND STANFORD

Schedule I

(Form 990)

Department of the

Internal Revenue Service Name of the organization

Treasury

As Filed Data -

DLN: 93493197011708

OMB No 1545-0047

## **Grants and Other Assistance to Organizations, Governments and Individuals in the United States**

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22. ► Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Inspection

**Employer identification number** 

JUNIC	R UNIVERSITY						94-1156365	
Pai	t I General Inform	ation on Grants	and Assistance				·	
1	Does the organization mail	ntain records to sub	stantiate the amount of	the grants or assistance,	the grantees' eligibility	for the grants or assistance	e, and	
	the selection criteria used Describe in Part IV the org							☑ Yes 🗌 I
_	t III Grants and Other	•	_	-		"danization answered "Yes"	on Form 990 Part IV line	21 for any recipient
F(-)	that received more	than \$5,000 Part II	can be duplicated if add	itional space is needed		guinzation uniswered Tes	0111 01111 330, 1 are 17, mile	21, for any recipient
(;	a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See A	dditional Data Table							
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
	Enter total number of sections							71
-	total manned of othe	. s. garnzationo note	oo _ table !					

scheaule I	(Form	990)	2016

(7)

Page 2

onal space is needed  (b) Number of	(c) Amount of	(d) Amount of	(e) Method of valuation (book.	(f) Description of non-cash assistance
recipients	cash grant	non-cash assistance	FMV, appraisal, other)	(1) Decomposition of the contract
13235	445,439,276			
	(b) Number of recipients	(b) Number of cash grant (c) Amount of cash grant	(b) Number of (c) Amount of (d) Amount of non-cash assistance	(b) Number of recipients (c) Amount of cash grant (d) Amount of non-cash assistance (e) Method of valuation (book, FMV, appraisal, other)

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

## Return Reference Explanation GRANTS AND ASSISTANCE PART I, LINE 2 ONE OF THE UNIVERSITYS HIGHEST PRIORITIES IS TO REMAIN AFFORDABLE AND ACCESSIBLE TO THE MOST TALENTED STUDENTS, REGARDLESS OF THEIR FINANCIAL CIRCUMSTANCES THE UNIVERSITYS ADMISSION PROCESS FOR UNDERGRADUATE STUDENTS FROM THE UNITED STATES IS NEED-BLIND, WHICH MEANS THAT STUDENTS ARE ADMITTED IRRESPECTIVE OF THEIR ABILITY TO PAY, THE UNIVERSITY PROVIDES THE FINANCIAL AID NECESSARY TO MAKE STANFORD AFFORDABLE TO EVERY ADMITTED STUDENT FOR INTERNATIONAL STUDENTS, THE UNIVERSITY IS NEED-AWARE STANFORD ANALYZES THE NEED FOR AID AND AIMS TO MEET THE DETERMINED NEED SINCE 2000, THE UNIVERSITY HAS CONTINUED TO ENHANCE ITS FINANCIAL AID PROGRAMS FOR BOTH ITS UNDERGRADUATE AND GRADUATE STUDENTS CURRENTLY, FAMILIES WITH TYPICAL ASSETS FROM THE U S WITH INCOMES BELOW \$125,000 PAY NO TUITION, AND THOSE WITH INCOMES BELOW \$65,000 PAY NO TUITION, ROOM OR BOARD IN FY17, APPROXIMATELY 46% OF UNDERGRADUATES WERE AWARDED NEED-BASED FINANCIAL AID FROM STANFORD STANFORD PROVIDES VALUABLE FINANCIAL SUPPORT TO ELIGIBLE GRADUATE STUDENTS WHICH ENABLES THEM TO PURSUE THEIR ADVANCED STUDIES FUNDING MAY TAKE DIFFERENT FORMS, SUCH AS FELLOWSHIPS AND ASSISTANTSHIPS, AND THESE IN TURN FURTHER THEIR GRADUATE PROFESSIONAL DEVELOPMENT AND PROGRESS TO DEGREE COMPLETION IN THE CASE OF GRADUATE RESEARCH AND TEACHING ASSISTANTSHIPS AS WELL AS FELLOWSHIPS, THE FUNDING COVERS PART OF STANFORDS TUITION (WHICH IS FREE OF INCOME TAX), IN ADDITION TO PROVIDING A STIPEND OR SALARY THAT HELPS STUDENTS WITH THEIR LIVING EXPENSES BOTH COMPONENTS ARE ESSENTIAL FOR DOCTORAL STUDENTS IN PARTICULAR, AS IT TAKES SEVERAL YEARS TO GAIN THEIR MASTERY OF SPECIALIZED SKILLS AND CONTENT KNOWLEDGE IN THEIR CHOSEN FIELDS GRADUATE STUDENT FINANCIAL AID AND OTHER SUPPORT IS AWARDED BASED ON ACADEMIC MERIT AND THE AVAILABILITY OF AID IN THE FACE OF DIMINISHING FEDERAL SUPPORT, STANFORD HAS ASSUMED MORE OF THE FINANCIAL WEIGHT OF SUPPORTING ITS GRADUATE STUDENTS IN FY17, APPROXIMATELY 78% OF GRADUATE STUDENTS RECEIVED FINANCIAL SUPPORT THE KNIGHT-HENNESSY SCHOLARS PROGRAM WILL BE AN ADDITIONAL SOURCE OF GRADUATE STUDENT SUPPORT WHEN ITS FIRST COHORT BEGINS THEIR STUDIES IN FALL 2018 THE PROGRAM PROVIDES FULL FUNDING FOR THREE YEARS TO ENABLE GRADUATE STUDENTS TO PURSUE ADVANCED DEGREES AND DEVELOP THE CAPACITY TO LEAD AND BRING ABOUT POSITIVE CHANGES IN THE WORLD THE TOTAL FINANCIAL AID INCLUDES \$286,851,323 IN MERIT AND NEED-BASED SCHOLARSHIPS AND FELLOWSHIPS AN ADDITIONAL \$158,692,206 REFLECTS AMOUNTS PAID FOR ADDITIONAL FINANCIAL SUPPORT TO STUDENTS, INCLUDING PAYMENTS FOR HEALTH INSURANCE, LIVING EXPENSES, AND SUPPORT OF POSTDOCTORAL FELLOWS THESE AMOUNTS INCLUDE \$104,253 IN GRANTS TO INDIVIDUALS OUTSIDE THE UNITED STATES GRANTS LISTED IN PART II ARE PRIMARILY GRANTS MADE FROM DONOR ADVISED FUNDS MANAGED BY STANFORD AND ARE BASED ON RECOMMENDATIONS BY DONORS OF THOSE FUNDS AND REVIEWED AND APPROVED BY SENIOR OFFICIALS IN THE OFFICE OF DEVELOPMENT SUB-GRANTS STANFORD OCCASIONALLY RECEIVES GRANT SUPPORT THAT IS DISBURSED TO OTHER INDIVIDUALS OR ENTITIES PER THE TERMS OF THE AWARD IN MOST CASES, PRIOR TO THE GRANT TO STANFORD, THE UNIVERSITY WILL PROVIDE THE GRANTING ENTITY WITH A LIST OF SUCH CONTEMPLATED

REVIEW REQUIREMENTS OF THE GRANTING AGENCY, STANFORD DOES NOT REPORT SUCH PAYMENTS ON FORM 990, SCHEDULE I

RECIPIENTS GENERALLY, AS WORK ON THE GRANT PROGRESSES, CHANGES TO THESE RECIPIENTS MUST BE APPROVED BY THE GRANTING AGENCY DUE TO THESE

Schedule I (Form 990) 2016

## **Additional Data**

Software ID:

**Software Version:** 

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	( <b>d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN CIVIL LIBERTIES UNION 125 BROAD ST 18TH FL NEW YORK, NY 10004	13-6213516	501 (C) (3)	10,000				GENERAL SUPPORT
AMERICAN FEDERATION FOR CHILDREN GROWTH FUND 1660 L ST NW 1000 WASHINGTON, DC 20036	52-2111508	501 (C) (3)	25,000				PROGRAM DEVELOP

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.											
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance				
AMERICAN FRIENDS OF BEIT HATFUTSOT 633 THIRD AVE 21ST FL NEW YORK, NY 10017	13-2928469	501 (C) (3)	500,000				GENERAL SUPPORT				
AMERICAN RED CROSS HEALTH & SAFETY SERVICES 2731 N FIRST STREET SAN JOSE, CA 95134	53-0196605	501 (C) (3)	7,000				GENERAL SUPPORT				

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
AMERICANS UNITED FOR SEPARATION OF CHURCH AND STA 1310 L ST NW STE 200 WASHINGTON, DC 20005	53-0184647	501 (C) (3)	20,000				GENERAL SUPPORT		
UNIVERSITY OF CALIFORNIA BERKELEY 100 BLUM HALL 5570 BERKELEY, CA 94720	94-6090626	STATE OF CA	10,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
BROWN UNIVERSITY GIFT CASHIER BOX 1877 PROVIDENCE, RI 02912	05-0258809	501 (C) (3)	150,000				GENERAL SUPPORT		
CALIFORNIA ACADEMY OF SCIENCES 55 MUSIC CONCOURSE DR SAN FRANCISCO, CA 94118	94-1156258	501 (C) (3)	10,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
CHABAD JEWISH CENTER OF SANTA FE 230 W MANHATTAN AVE SANTA FE, NM 87501	85-0446049	501 (C) (3)	10,000				GENERAL SUPPORT		
CHILDREN'S HEALTH COUNCIL 650 CLARK WAY PALO ALTO, CA 94304	94-1312311	501 (C) (3)	50,000				GENERAL SUPPORT		

form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
COMMONWEALTH CLUB OF CALIFORNIA 555 POST ST SAN FRANCISCO, CA 94102	94-0399260	501 (C) (3)	1,100,000				GENERAL SUPPORT		
CORNELL UNIVERSITY 130 E SENECA ST ITHACA. NY 14850	15-0532082	501 (C) (3)	480,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
CURRIKI 20660 STEVENS CREEK BLVD 332 CUPERTINO, CA 95014	20-3478467	501 (C) (3)	20,000				GENERAL SUPPORT		
DUKE UNIVERSITY BOX 90600 DURHAM, NC 27708	56-0532129	501 (C) (3)	25,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
FIDELITY CHARITABLE P O BOX 770001 CINCINNATI, OH 45277	11-0303001	501 (C) (3)	100,000				GENERAL SUPPORT		
FIELD INSTITUTE OF TAOS P O BOX 486 ARROYO SECO. NM 87514	85-0442587	501 (C) (3)	100,000				GENERAL SUPPORT		

form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
GLADSTONE INSTITUTES 1650 OWENS ST SAN FRANCISCO, CA 94158	23-7203666	STATE OF CA	160,000				GENERAL SUPPORT		
GREAT TWIN CITIES UNITED WAY 404 S EIGHTH STREET MINNEAPOLIS, MN 55404	41-1973442	501 (C) (3)	30,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.										
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
HEAD ROYCE SCHOOL 4315 LINCOLN AVE OAKLAND, CA 94602	94-1518656	STATE OF CA	10,000				GENERAL SUPPORT			
HILLEL AT STANFORD P O BOX 20526 STANFORD, CA 94309	77-0492512	501 (C) (3)	100,000				GENERAL SUPPORT			

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
HOWARD UNIVERSITY 2225 GEORGIA AVE NW WASHINGTON, DC 20059	53-0204707	501 (C) (3)	75,000				SUPPORT ANNUAL FUND		
KIEVE WAVUS EDUCATION INC P O BOX 169 NOBLEBORO, ME 04555	23-7352599	501 (C) (3)	10,000				GENERAL SUPPORT		

form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
MAKE A WISH AMERICA 4742 N 24TH ST STE 400 PHOENIX, AZ 85016	86-0481941	501 (C) (3)	10,000				GENERAL SUPPORT		
MAKING WAVES FOUNDATION 3220 BLUME DR STE 250 RICHMOND, CA 94806	68-0204312	501 (C) (3)	265,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
MARYCREST MANOR INC 10664 ST JAMES DRIVE CULVER CITY, CA 90230	95-1709881	501 (C) (3)	250,000				GENERAL SUPPORT		
MAYO CLINIC 200 FIRST STREET SW ROCHESTER, MN 55905	41-6011702	501 (C) (3)	50,000				SPONSORSHIP		

form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
MENTAL WELLNESS CENTER 617 GARDEN ST SANTA BARBARA, CA 93101	95-1962659	501 (C) (3)	25,000				GENERAL SUPPORT		
MUSIC THEATER KANSAS CITY 19407 W 64TH TER SHAWNEE, KS 66218	48-1206817	501 (C) (3)	150,000				PROGRAM DEVELOP		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	( <b>d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
NORTHERN LIGHT SCHOOL 3710 DORISA AVE OAKLAND, CA 94605	94-3097690	STATE OF CA	20,000				PROGRAM DEVELOP		
NUEVA MIDDLE SCHOOL 6565 SKYLINE BLVD HILLSBOROUGH, CA 94010	94-1633387	STATE OF CA	25,000				FELLOWSHIPS		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
ONE MIND INSTITUTE P O BOX 680 RUTHERFORD, CA 94573	68-0359707	501 (C) (3)	20,000				FELLOWSHIPS		
PARS EQUALITY CENTER 1635 THE ALAMEDA 300 SAN JOSE, CA 95126	27-2969900	501 (C) (3)	20,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.										
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
PHILANTHROPIC VENTURES FOUNDATION 1222 PRESERVATION PARK WAY OAKLAND, CA 94612	93-3136771	501 (C) (3)	145,000				GENERAL SUPPORT			
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST 10TH FL NEW YORK, NY 10038	13-1644147	501 (C) (3)	8,000				SUPPORT ANNUAL FUND			

Form 990, Schedule I, Part	orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
SAN MATEO COUNTY HISTORICAL ASSOCIATION 2200 BROADWAY REDWOOD CITY, CA 94063	23-7186194	501 (C) (3)	25,000				GENERAL SUPPORT			
SANTA BARBARA FOUNDATION 1111 CHAPALA ST 200 SANTA BARBARA, CA 93101	95-1866094	501 (C) (3)	200,000				GENERAL SUPPORT			

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.										
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
SANTA BARBARA INTERNATIONAL FILM FESTIVAL 1528 CHAPALA ST 203 SANTA BARBARA, CA 93101	77-0073674	501 (C) (3)	250,000				GENERAL SUPPORT			
SANTA FE JAZZ 310 STAAB ST SANTA FE, NM 87501	47-1314790	501 (C) (3)	10,000				GENERAL SUPPORT			

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
SCHWAB CHARITABLE FUND 111 WALL STREET NEW YORK, NY 10005	31-1640316	501 (C) (3)	750,000				GENERAL SUPPORT		
SECOND HARVEST FOOD BANK 750 CURTNER AVENUE SAN JOSE, CA 95125	94-2614101	501 (C) (3)	50,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.										
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
SEVENZO 6401 PENN AVE 3RD FL PITTSBURGH, PA 15206	81-1001484	501 (C) (3)	147,967				PROGRAM DEVELOP			
SILICON VALLEY COMMUNITY FOUNDATION 2440 WEST EL CAMINO REAL SUITE 300 MOUNTAIN VIEW, CA 94040	20-5205488	501 (C) (3)	100,000				GENERAL SUPPORT			

form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.										
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
SILICON VALLEY SOCIAL VENTURE FUND 350 TWIN DOLPHIN DR 103 REDWOOD CITY, CA 94065	51-0644783	501 (C) (3)	75,000				FELLOWSHIPS			
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE MONTGOMERY, AL 36104	63-0598743	501 (C) (3)	20,000				GENERAL SUPPORT			

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
ST MARY'S SCHOOL 660 CALIFORNIA ST SAN FRANCISCO, CA 94108	94-1589702	STATE OF CA	17,000				GENERAL SUPPORT		
STANFORD HEALTH CARE 300 PASTEUR DRIVE STANFORD, CA 94305	94-6174066	501 (C) (3)	1,060,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
STONY BROOK UNIVERSITY UNIVERSITY ADVANCEMENT 330 ADMINIS STONY BROOK, NY 11794	11-6077945	501 (C) (3)	40,000				PROGRAM DEVELOP		
SUMMER SEARCH 101 HOWARD ST 250 SAN FRANCISCO, CA 94105	68-0200138	501 (C) (3)	7,500				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.										
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
TAOS FIRST STEPS HOLY CROSS HOSPITAL 1397 WEIMER RD TAOS, NM 87571	85-0289839	501 (C) (3)	25,000				GENERAL SUPPORT			
TAOS SPORTS ASSOCIATES INC P O BOX 3011 TAOS, NM 87571	85-0241076	501 (C) (3)	100,000				GENERAL SUPPORT			

Form 990, Schedule I, Part	form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
THE BOYS & GIRLS CLUB OF THE PENINSULA 1109 HILTON ST REDWOOD CITY, CA 94063	94-1552134	501 (C) (3)	60,000				GENERAL SUPPORT			
THE CCSF FOUNDATION P O BOX 40488 SAN FRANCISCO, CA 94140	94-1682567	501 (C) (3)	120,000				GENERAL SUPPORT			

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE FRIENDS OF THE ANANSI CHARTER SCHOOL P O BOX 1709 EL PRADO, NV 87529	20-3888020	501 (C) (3)	117,000				GENERAL SUPPORT
THE MOSAIC PROJECT 478 SANTA CLARA AVE 200 OAKLAND, CA 94610	94-3367263	501 (C) (3)	75,000				PROGRAM DEVELOP

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
THE SHARKS FOUNDATION 525 W SANTA CLARA ST SAN JOSE, CA 95113	77-0374062	501 (C) (3)	100,000				GENERAL SUPPORT		
THE UCLA FOUNDATION 10920 WILSHIRE BLVD STE 900 LOS ANGELES, CA 90024	95-2250801	501 (C) (3)	200,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES 110 WESTWOOD PLAZA SUITE F312 LOS ANGELES, CA 90095	95-6006143	501 (C) (3)	60,000				GENERAL SUPPORT		
THORN DIGITAL DEFENDER OF CHILDREN 200 PARK AVE S 8TH FL NEW YORK, NY 10003	27-0943677	501 (C) (3)	33,333				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
TIPPING POINT COMMUNITY 220 MONTGOMERY ST 850 SAN FRANCISCO, CA 94104	20-2121739	501 (C) (3)	400,000				GENERAL SUPPORT		
TRINITY EPISCOPAL CHURCH 1500 STATE STREET SANTA BARBARA, CA 93101	95-1750018	501 (C) (3)	10,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.								
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance	
TRUSTEES OF BOSTON COLLEGE 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	04-2103545	501 (C) (3)	48,500				GENERAL SUPPORT	
U S OLYMPIC AND PARALYMPIC FOUNDATION 1 OLYMPIC PLAZA COLORADO SPRING, CO 80909	80-0939841	501 (C) (3)	35,000				GENERAL SUPPORT	

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
UCSF LOCKBOX 45339 SAN FRANCISCO, CA 94145	94-6036493	STATE OF CA	50,000				GENERAL SUPPORT		
UNIVERSITY OF CHICAGO CONSORTIUM ON SCHOOL RESEARC CHAPIN HALL 1313 E 60TH ST CHICAGO, IL 60637	36-2177139	501 (C) (3)	382,500				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
UNIVERSITY OF NOTRE DAME 1100 GRACE HALL NOTRE DAME, IN 46556	35-0868188	501 (C) (3)	15,000				GENERAL SUPPORT		
UNIVERSITY OF ST THOMAS 3800 MONTROSE BLVD HOUSTON, TX 77006	74-1277664	501 (C) (3)	6,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
USA FOR UNHCR 1775 K STREET NW 580 WASHINGTON, DC 20006	52-1662800	501 (C) (3)	10,000				GENERAL SUPPORT		
VILLANOVA UNIVERSITY 800 LANCASTER AVE VILLANOVA, PA 19085	23-1352688	501 (C) (3)	30,000				GENERAL SUPPORT		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
WALLIN EDUCATION PARTNERS 5200 WILSON RD 209 MINNEAPOLIS, MN 55424	20-8505156	501 (C) (3)	220,000				GENERAL SUPPORT		
WOMENONE LTD 19 EAST 88TH ST NEW YORK, NY 10128	26-3679243	501 (C) (3)	40,000				GENERAL SUPPORT		

orm 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.								
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	<b>(d)</b> Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance	
WOODSON CENTER 1625 K ST NW 1200 WASHINGTON, DC 20006	52-1217891	501 (C) (3)	50,000				GENERAL SUPPORT	

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493197011708

**Employer identification number** 

OMB No 1545-0047

Inspection

# (Form 990)

Schedule J

Department of the Treasury Internal Revenue

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees** 

Compensation Information

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ► Attach to Form 990. ▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

Service Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY 94-1156365 **Questions Regarding Compensation** Part I Yes No Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items Housing allowance or residence for personal use First-class or charter travel Travel for companions Payments for business use of personal residence Tax idemnification and gross-up payments  $\Box$ Health or social club dues or initiation fees Discretionary spending account Personal services (e.g., maid, chauffeur, chef) If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain 1b Yes Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? 2 Yes Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III Compensation committee Independent compensation consultant Г Γ. Compensation survey or study Form 990 of other organizations Approval by the board or compensation committee During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization Receive a severance payment or change-of-control payment? 4a Νo 4h Participate in, or receive payment from, a supplemental nonqualified retirement plan? Yes Νo Participate in, or receive payment from, an equity-based compensation arrangement? 4c If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of 5a The organization? Nο Any related organization? 5b Νo If "Yes," on line 5a or 5b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of The organization? 6a Νo Any related organization? 6b Νo If "Yes," on line 6a or 6b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III 7 Yes Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe 8 Νo If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and	` '	(E) Total of columns	` '
	Base (1) compensation	(ii) Bonus & incentive compensation	(ıiı) Other reportable compensation	other deferred compensation	benefits	(B)(ı)-(D)	column(B) reported as deferred on prior Form 990
See Additional Data Table							

Schedule J (Form 990) 2015

Schedule J (Form 990) 2015

Part III Supplemental Information								
Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information								
Return Reference	Explanation							
See Additional Data								

Schedule J (Form 990) 2015

Software ID: Software Version:

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

Part III, Supplemental Information Return Reference **Explanation** FIRST-CLASS, COMPANION AND CHARTER TRAVEL STANFORD UNIVERSITY TRAVEL POLICY GENERALLY REQUII INCLUDING PERSONS LISTED ON FORM 990, PART VII, LINE 1A, TO USE THE MOST ECONOMICAL MODE OF TRA CONSISTENT WITH THE AUTHORIZED BUSINESS PURPOSE OF THE TRAVEL FIRST CLASS TRAVEL IS AUTHORIZED SUCH AS ON INTERNATIONAL FLIGHTS OVER A CERTAIN LENGTH, IF NO BUSINESS CLASS SERVICE IS AVAILAE CLASS TRAVEL IS ALSO AUTHORIZED IN CASE OF A MEDICAL NEED OR OTHER EXIGENCY APPROVED BY THE PF PRESIDENT HAS PERMISSION TO FLY FIRST CLASS ON CERTAIN DOMESTIC FLIGHTS DEPENDING ON LENGTH O UNIVERSITY MAY PURCHASE CHARTERED AIRCRAFT SERVICES WHEN DEEMED APPROPRIATE FOR THE CONDUC AND PURSUANT TO UNIVERSITY POLICY RELATED TO CHARTER TRAVEL IN COMPLIANCE WITH THE UNIVERSIT DESCRIBED ABOVE, ONE OFFICER, ONE FORMER OFFICER AND ONE FORMER KEY EMPLOYEE LISTED ON PART VI SERVICES DURING CALENDAR YEAR 2016 SUCH TRAVEL WAS FOR BUSINESS PURPOSES AND NOT INCLUDED IN INDIVIDUALS IN GENERAL, COMPANION TRAVEL IS REIMBURSABLE ONLY IF THE ACCOMPANYING PERSON HAS UNIVERSITY AND IS TRAVELING TO MAKE A SIGNIFICANT CONTRIBUTION IN FURTHERANCE OF UNIVERSITY B THIS POLICY ARE RARE AND MUST BE APPROVED IN ADVANCE OF TRAVEL BY THE PROVOST THREE PERSONS L RECEIVED COMPANION TRAVEL THAT WAS IN FURTHERANCE OF UNIVERSITY'S BUSINESS AND CONSIDERED N PROVOST HOUSING/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT AND FOR THE CONVENIENCE OF PRESIDENT IS REQUIRED TO LIVE IN THE LOU HENRY HOOVER HOUSE ON CAMPUS THE RESIDENCE IS USED FF BUSINESS INCLUDING OFFICIAL GATHERINGS OF FACULTY, STAFF, STUDENTS, ALUMNI, DONORS AND THEIR FA PROGRAM OF APPROPRIATE AND REGULAR MAINTENANCE OF THIS HOUSE, THE UNIVERSITY PROVIDES CLEAN PUBLIC AND PRIVATE AREAS DURING CALENDAR YEAR 2016, FORMER PRESIDENT JOHN HENNESSY LIVED IN T AUGUST 31, 2016 WHEN HE STEPPED DOWN AS THE UNIVERSITYS PRESIDENT THE HOUSE WAS THEN CLOSED F WHICH TIME, THE NEW PRESIDENT MARC TESSIER-LAVIGNE WAS REQUIRED TO LIVE ON CAMPUS IN TEMPORAF OF HIS EMPLOYMENT AND FOR THE CONVENIENCE OF THE UNIVERSITY FOR FORMER PRESIDENT HENNESSY, T INCLUDED AS NONTAXABLE COMPENSATION IN COLUMN D THE ESTIMATED RENTAL VALUE OF THE AREAS OF  $^\circ$ FOR THE PERSONAL USE OF THE PRESIDENT DURING THE TIME HE OCCUPIED THE RESIDENCE IN CALENDAR YE PREVAILING RENTAL RATES IN THE LOCAL AREA AND ACTUAL COSTS OF RELATED SERVICES, INCLUDING MAIN UTILITIES FOR PRESIDENT MARC TESSIER-LAVIGNE, THE UNIVERSITY HAS INCLUDED AS NONTAXABLE COMPI FULL ESTIMATED RENTAL VALUE OF the TEMPORARY RESIDENCE BASED UPON THE PREVAILING RENTAL RATES ACTUAL COSTS OF RELATED SERVICES, INCLUDING MAINTENANCE, CLEANING AND UTILITIES DURING HIS FO DURING CALENDAR YEAR 2016 AS A CONDITION OF EMPLOYMENT AS PROVOST OF THE UNIVERSITY AND FOR UNIVERSITY, THE PROVOST IS REQUIRED TO LIVE IN THE MEYER-BUCK HOUSE ON CAMPUS THE RESIDENCE IS UNIVERSITY BUSINESS INCLUDING OFFICIAL GATHERINGS OF FACULTY, STAFF, STUDENTS, ALUMNI, DONORS A PART OF A PROGRAM OF APPROPRIATE AND REGULAR MAINTENANCE OF THIS HOUSE, THE UNIVERSITY PROVI THE PUBLIC AND PRIVATE AREAS FOR FORMER PROVOST ETCHEMENDY, THE UNIVERSITY HAS INCLUDED AS N IN COLUMN D THE ESTIMATED RENTAL VALUE OF THE AREAS OF THIS RESIDENCE RESERVED FOR THE PERSON. BASED UPON THE PREVAILING RENTAL RATES IN THE LOCAL AREA AND ACTUAL COSTS OF RELATED SERVICES CLEANING AND UTILITIES, FOR THE FULL CALENDAR YEAR 2016 PERSIS DRELL WAS APPOINTED PROVOST 2/1, IN THE MEYER-BUCK HOUSE DURING CALENDAR YEAR 2016 THE FOLLOWING INDIVIDUALS RECEIVED TAXABLI CALENDAR YEAR 2016 JOHNNY DAWKINS, HARRY ELAM, JOHN ETCHEMENDY, LLOYD B MINOR, WILLIAM MADIA SHAW, MARTIN SHELL, ROBERT F WALLACE, YIPING WOO, AND ELIZABETH ZACHARIAS THESE AMOUNTS ARE II PART II, COLUMN (B)(III) PART I, LINE 4A SEVERANCE PLAN CERTAIN LISTED INDIVIDUALS ARE ELIGIBLE FOR SEVERANCE PLAN THAT PROVIDES FOR PAYMENT BASED ON THE INDIVIDUAL'S YEARS OF SERVICE DURING C. SEVERANCE PAYMENT WAS MADE TO AN INDIVIDUAL LISTED ON PART VII SECTION A LINE 1A PART I, LINE 4E PLAN PROVISIONS CERTAIN OFFICERS AND OTHERS LISTED IN PART VII, SECTION A, LINE 1A PARTICIPATE IN COMPENSATION PLAN AMOUNTS ARE CREDITED TO THE PLAN BASED ON PERFORMANCE AND CERTAIN OTHER ARE SUBJECT TO FORFEITURE AND/OR PAYMENT ONLY IF CERTAIN CONDITIONS ARE MET CERTAIN BONUS AM AND PAID IN A LATER YEAR SUBJECT TO IRC SECTION 457(F) IN GENERAL, PER THE INSTRUCTIONS FOR SCHEI DEFERRED COMPENSATION IS TREATED AS EARNED RATABLY OVER THE PERIOD OF SERVICE REQUIRED FOR V CONVENTION HAS BEEN USED TO PRORATE AMOUNTS OVER THE APPLICABLE VESTING PERIOD COLUMN (C) D DEFERRED IN A CALENDAR YEAR TO A DATE ON OR BEFORE MARCH 15TH OF THE FOLLOWING CALENDAR YEAR APPLYING THE FOREGOING RULES AND CONVENTIONS, AND DIFFERING VESTING SCHEDULES, IS THAT DEFERR REPORTED IN COLUMN (C) FOR AN INDIVIDUAL MAY VARY OVER TIME IN CERTAIN INSTANCES INVOLVING OL COMPENSATION PLANS, IF AN INDIVIDUAL'S DEFERRED COMPENSATION HAS IN THE PAST BEEN REPORTED WI DEFERRED COMPENSATION ACCOUNT, RATHER THAN AS EARNED RATABLY OVER THE RELATED VESTING PERIC DEFERRED COMPENSATION CONTINUES TO BE REPORTED WHEN CREDITED, IN THE INTERESTS OF ACCURACY / DEFERRED COMPENSATION IS REPORTED IN SCHEDULE J, PART II, COLUMN (C) IN THE YEAR OF DEFERRAL, AS I REPORTED IN SCHEDULE J, PART II, COLUMN (B)(III) AND COLUMN (F) IN THE YEAR OF PAYMENT, IF APPLICABL INDIVIDUALS RECEIVED PAYMENT FROM THEIR DEFERRED COMPENSATION ACCOUNT DURING CALENDAR YEA \$760,549, ROBERT WALLACE - \$92,115, HOWARD WOLF - \$34,242, JOHNNY DAWKINS - \$4,096,677 SUPPLEMEN PLAN (SERP) THE UNIVERSITY PROVIDED FORMER PRESIDENT JOHN HENNESSY WITH TWO SUPPLEMENTAL EXEC (SERPS) DURING HIS TERM OF SERVICE AS AN OFFICER TO SUPPLEMENT HIS SOCIAL SECURITY AND 403(B) RE-SERPS WERE EARNED GRADUALLY OVER SPECIFIED PERIODS DURING HIS TERM OF SERVICE AS AN OFFICER TH OVER THE 12 YEARS ENDING AUGUST 31, 2012 HAVING SERVED IN HIS POSITION THROUGH AUGUST 31, 2012 BECAME ELIGIBLE TO RECEIVE A BENEFIT EQUAL IN VALUE TO AN ANNUAL PAYMENT FOR LIFE OF 35 5% OF HI AVERAGE BASE PAY DURING THE 12 YEAR PERIOD, OFFSET BY THE ANNUITY EQUIVALENT OF HIS ANTICIPATE AND AMOUNTS CONTRIBUTED BY THE UNIVERSITY DIRECTLY TO HIS 403(B) DEFINED CONTRIBUTION RETIREM OFFICER THERE WERE NO SUBSEQUENT ACCRUALS TO THIS SERP THROUGH THE YEAR ENDED DECEMBER 31, 2 REMAINS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS ARE NOT MET THE SECOND SERP PROVIDED THA WAS ELIGIBLE TO RECEIVE A BENEFIT EQUAL IN VALUE TO AN ANNUAL PAYMENT FOR LIFE OF 2% OF HIS HIGH BASE PAY FOR EACH FISCAL YEAR FROM FY 2013 THROUGH FY 2018 DURING WHICH HE SERVED AS PRESIDENT AS PRESIDENT DURING FY 2016, THE FORMER PRESIDENT BECAME ELIGIBLE TO RECEIVE A BENEFIT EQUAL IN

> PAYMENT FOR LIFE OF 8% OF HIS HIGHEST THREE YEAR AVERAGE BASE PAY THERE WERE NO ACCRUALS TO TH ENDED DECEMBER 31,2016 THIS SERP BENEFIT REMAINS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS A

PART LLINE 1A

Part III, Supplemental Information

Return Reference

Explanation

SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN (SERP) TO SUPPLEMENT HIS SOCIAL SECURITY AND 403(B) RETIREMENT BENEFITS THE SERP WAS EARNED GRADUALLY THROUGH HIS TERM OF SERVICE AS PROVOST BY REMAINING IN HIS POSITION THROUGH AUGUST 31, 2015. THE FORMER PROVOST BECAME ELIGIBLE TO RECEIVE A BENEFIT EQUAL IN VAL

BENEFITS THE SERP WAS EARNED GRADUALLY THROUGH HIS TERM OF SERVICE AS PROVOST BY REMAINING IN HIS POSITION THROUGH AUGUST 31, 2015. THE FORMER PROVOST BECAME ELIGIBLE TO RECEIVE A BENEFIT EOUAL IN VALUE TO AN ANNUAL PAYMENT FOR LIFE OF 42% OF HIS HIGHEST THREE YEAR AVERAGE BASE PAY, OFFSET BY THE ANNUITY EQUIVALENT OF HIS ANTICIPATED SOCIAL SECURITY BENEFIT AND AMOUNTS CONTRIBUTED BY THE UNIVERSITY DIRECTLY TO HIS 403(B) DEFINED CONTRIBUTION RETIREMENT PLAN WHILE HE WAS AN OFFICER THIS SERP BENEFIT REMAINS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS ARE NOT MET FOR THE YEAR ENDED DECEMBER 31, 2016, THE VALUE OF THE SERP INCREASED BY \$46,303 AS A RESULT OF THE FORMER PROVOST RECEIVING AN INCREASE IN BASE PAY, THE UNIVERSITY ALSO AND THIS AMOUNT IS INCLUDED ON SCHEDULE J COLUMN (C) AS DEFERRED COMPENSATION THE UNIVERSITY PROVIDED PROVIDED FORMER PROVOST PRESIDENT MARC TESSIER-LAVIGNE WITH A SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN (SERP) TO SUPPLEMENT HIS JOHN ETCHEMENDY WITH A OTHER RETIREMENT BENEFITS THE SERP WILL BE EARNED GRADUALLY OVER HIS TERM OF SERVICE AS PRESIDENT UPON ASSUMING OFFICE, THE PRESIDENT BECAME ELIGIBLE TO RECEIVE A BENEFIT EQUAL IN VALUE TO AN ANNUAL PAYMENT FOR LIFE OF 2% OF HIS HIGHEST THREE YEAR AVERAGE BASE PAY AS PRESIDENT THIS SERP BENEFIT REMAINS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS ARE NOT MET THE VALUE OF THE BENEFIT ACCRUED UNDER THE SERP FOR THE YEAR ENDED DECEMBER 31, 2016 WAS \$194,508 THE UNIVERSITY ALSO PROVIDED PROVOST DRELL WITH A SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN (SERP) TO SUPPLEMENT HER OTHER RETIREMENT BENEFITS ON HER APPOINTMENT AS PROVOST BECAUSE THE BENEFIT WAS PROVIDED DURING CALENDAR YEAR 2017, AS PER FORM 990 RULES, IT WILL BE REPORTED ON FY 2018 FORM 990

Part III, Supplemental Information
Return Reference
VARIABL

PART I, LINE 7 AND PART II.

COLUMN (B)(II)

Explanation

VARIABLE COMPENSATION OF SCHOOL OF MEDICINE FACULTY TOTAL COMPENSATION FOR FACULTY IN THE SCHOOL OF MEDICINE IS MADE UP OF THE FOLLOWING INTEGRAL COMPONENTS SALARY AND BENEFITS, ADMINISTRATIVE SUPPLEMENTS, AND BONUSES AWARDED UNDER DEPARTMENTAL PLANS BASE SALARY IS BASED ON ACADEMIC RANK (E.G., PROFESSOR, ASSISTANT PROFESSOR) A VARIABLE COMPONENT OF SALARY IS DETERMINED BY THE CONTRIBUTION OF THE INDIVIDUAL TO THE DEPARTMENT/DIVISION AND THE CLINICAL SPECIALTY OF THE FACULTY MEMBER OTHER SALARY SUPPLEMENTS ARE OCCASIONALLY USED TO PROVIDE FOR COMPENSATION GIVEN UP BY A FACULTY MEMBER WHEN ASSUMING A POSITION AT STANFORD ADMINISTRATIVE SUPPLEMENTS ARE PAID TO FACULTY WHO TAKE ON DUTIES WHICH ARE OUTSIDE THEIR USUAL FACULTY RESPONSIBILITIES AND OUTSIDE NORMAL COMMITTEE WORK INCENTIVE BONUSES ARE CONSIDERED A FUNDAMENTAL COMPONENT OF TOTAL COMPENSATION THEY ARE PAID OUT BY THE DEPARTMENTS BASED ON THE DEPARTMENTS' INCENTIVE BONUS PLAN MEASURING THE FACULTY'S EFFORTS IN ADMINISTRATIVE LEADERSHIP, CLINICAL, RESEARCH, AND/OR TEACHING AREAS AS DEFINED BY THE PLAN IN ADDITION. THE DEAN HAS A BONUS PLAN FOR CLINICAL CHAIRS BASED ON ACHIEVEMENT OF LEADERSHIP GOALS SOME DEPARTMENTS HAVE "ON-CALL"COVERAGE" BONUSES TO PROVIDE COMPENSATION FOR A FACULTY MEMBER'S PROVIDING OFF-HOURS COVERAGE VARIABLE COMPENSATION OF INVESTMENT PROFESSIONALS A PORTION OF THE COMPENSATION PAID TO EACH LISTED INVESTMENT PROFESSIONAL AT STANFORD MANAGEMENT COMPANY (SMC) IS BASED ON A COMBINATION OF THREE FACTORS. THREE YEAR ANNUALIZED PERFORMANCE VERSUS THE RELEVANT POLICY PORTFOLIO BENCHMARK RETURN FOR THAT PERIOD, THREE YEAR MERGED POOL ANNUALIZED PERFORMANCE VERSUS THE PERFORMANCE OF CERTAIN OTHER COLLEGE AND UNIVERSITY ENDOWMENT INVESTMENT POOLS IN THE UNITED STATES, AND INDIVIDUAL PERFORMANCE VARIABLE COMPENSATION OF ATHLETICS PROFESSIONALS LISTED ATHLETICS PROFESSIONALS ARE ELIGIBLE TO RECEIVE BONUSES AND INCENTIVE COMPENSATION BASED ON FACTORS INCLUDING THE ACADEMIC PERFORMANCE OF THE STUDENT-ATHLETES, ATHLETIC PERFORMANCE, ATTENDANCE AT ATHLETIC EVENTS,

AND/OR LEADERSHIP, AS PROVIDED IN THE PROFESSIONALS' COMPENSATION AGREEMENTS

Part III, Supplemental Info	rmation
Return Reference	

PART II, COLUMNS (A)

THROUGH (F)

PER FORM 990 INSTRUCTIONS, OFFICERS WHO SERVED ANY TIME DURING THE FISCAL YEAR (FY 2017) ARE REPORTED ON PART VII AND SCHEDULE JAS CURRENT OFFICERS AND THEIR COMPENSATION IS REPORTED ON A CALENDAR YEAR BASIS (2016 W-2, BOX 5) BASED ON THESE INSTRUCTIONS, THE UNIVERSITY IS REPORTING BOTH PROVOST ETCHEMENDY (WHO SERVED AS PROVOST UNTIL 1/31/2017) AND PROVOST DRELL (WHO BECAME PROVOST ON 2/1/2017) ON PART VII AND SCHEDULE J FURTHERMORE, THE 2016 CALENDAR YEAR COMPENSATION REPORTED FOR PROVOST DRELL IS FOR HER PRIOR POSITION WITH THE UNIVERSITY SIMILARLY, THE 2016 CALENDAR YEAR COMPENSATION REPORTED FOR HARRY ELAM, VP. FOR THE ARTS (FROM 2/1/2017) IS ALSO FOR A PRIOR POSITION WITH THE UNIVERSITY SERVED DURING CALENDAR YEAR 2016 ADDITIONALLY, COMPENSATION REPORTED FOR LISA LAPIN, VP FOR COMMUNICATION (FROM 11/21/16) IS PARTLY FOR HER PRIOR POSITION WITH THE UNIVERSITY AND PARTLY FOR HER CURRENT ROLE AS AN OFFICER FORM 990 ALSO REQUIRES REPORTING OF FORMER INDIVIDUALS WHO SERVED AS OFFICERS WITHIN THE PAST FIVE YEARS AND RECEIVED COMPENSATION DURING THE CALENDAR YEAR ACCORDINGLY, THE UNIVERSITY IS REPORTING FORMER PRESIDENT HENNESSY AS A FORMER OFFICER VARIABLE COMPENSATION OF OFFICERS AND OTHERS OFFICERS AND OTHERS ARE ELIGIBLE TO RECEIVE BONUSES AND INCENTIVE COMPENSATION BASED ON PERFORMANCE CURRENT YEAR BONUS AMOUNTS ARE REPORTED IN SCHEDULE J, PART II, COLUMN B(II) CERTAIN BONUS AMOUNTS MAY BE DEFERRED AND PAID IN A LATER YEAR SUBJECT TO IRC SECTION 457(F) SUCH AMOUNTS ARE REPORTED IN SCHEDULE J, PART II, COLUMN (C) IN THE YEAR OF DEFERRAL AND REPORTED IN SCHEDULE J, PART II, COLUMN (B)(III) AND COLUMN (F) IN THE YEAR OF PAYMENT IF APPLICABLE

Explanation

Part III, Supplemental Information

Explanation
REPORTABLE COMPENSATION IN PART II, COLUMN (B)(III) INCLUDES HOUSING ASSISTANCE AND OTHER LLANEOUS COMPENSATION THIS DOES NOT INCLUDE VARIOUS ITEMS OF "LISTED PROPERTY" (E.G., COMPUTERS AND HERALS) THAT STANFORD HAS PROVIDED TO THE ABOVE LISTED EMPLOYEES PRINCIPALLY FOR THEIR BUSINESS USE OT AS COMPENSATION PART II, COLUMNS (C) AND (D) LISTED PERSONS ACCRUE FUTURE BENEFITS THAT ARE ABLE TO ALL STANFORD EMPLOYEES, SUCH AS POST-RETIREMENT MEDICAL INSURANCE AND TUITION BENEFITS, AND DEPERSONS WHO ARE ALSO FACULTY ACCRUE FUTURE FACULTY BENEFITS SUCH AS SABBATICAL AND TENURE BUYOUT SIONS THESE BENEFITS ARE SUBJECT TO CERTAIN ELIGIBILITY REQUIREMENTS SUCH AS AGE, YEARS OF SERVICE, MPLOYEE CLASSIFICATION FOR SOME BENEFIT PLANS, THE UNIVERSITY RESERVES THE RIGHT TO CHANGE SILLITY REQUIREMENTS AND/OR THE NATURE AND EXTENT OF THE BENEFIT BEING PROMISED THE VALUE OF THESE ITS IS REPORTED FOR EACH LISTED PERSON IN THE YEAR, IF ANY, SUCH BENEFITS ARE RECEIVED PART II, COLUMN DUNTS APPEARING IN COLUMN (F) ARE DEFERRED COMPENSATION PAYMENTS REPORTED IN COLUMN (C) IN PRIOR AND THAT ARE REPORTED (A SECOND TIME) IN COLUMN (B)(III) IN THE CURRENT YEAR

120,000

300,001

58,163

55,000

82,000

200,000

4,250

850,952

665,000

1,325,000

15,000

2,197,222

50,000

0

0

Ω

55,840

760,549

23,900

34,442

36,080

125,529

33,640

29,652

73,079

13,079

81,950

123,787

57,013

26,500

63,205

109,833

67,891

26,500

26,526

311,523

21,200

18,014

530,005

26,500

26,500

174,786

22,837

0

0

48,285

10,341

73,511

36,644

33,346

1,333

59,419

37,192

52,156

41,209

20,766

6,843

35,075

32,670

2,

4MARTIN SHELL

VP DEVELOPMENT

5DEBRA ZUMWALT

**6**ROBERT C REIDY

7DAVID DEMAREST

VP PUBLIC AFFAIRS

8HOWARD WOLF

10LLOYD B MINOR

11RICHARD SALLER

12YIPING WOO

13DAVID SHAW

14FRANK HANLEY

15PHILIP A PIZZO

16ROBERT F WALLACE

PROF & FORMER DEAN, SCH

CHIEF EXECUTIVE OFFICER,

17ELIZABETH ZACHARIAS

VP HUMAN RESOURCES

CHIEF, PED CARDIOTHORACIC

OF MED

SURGERY

DEAN, SCHOOL OF HUM &

PROF & CHAIR, CARDIO

DIRECTOR OF FOOTBALL

PRES OF STANFORD ALUM

9WILLIAM MADIAVP SLAC NAL

DEAN, SCHOOL OF MEDICINE

VP LAND, BUILDINGS & REAL EST

VP GENERAL COUNSEL

(1)

(II)

(1)

(11)

(1)

(11)

(1)

(11)

(1)

(11)

(1)

(11)

(I)

(II)

(1)

(11)

(1)

(II)

(ı)

(11)

(1)

(II)

(1)

(11)

(1)

(II)

(1)

630,550

658,035

449,857

378,385

382,689

368,047

1,441,900

583,520

1,230,348

3,002,250

569,473

455,454

986,839

343,160

O

0

0

0

## Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of (i) Base Compensation	FW-2 and/or 1099-MIS (ii) Bonus & Incentive compensation	6C compensation (iii) Other reportable compensation	(C) Retirement and other deferred compensation	<b>(D)</b> Nontaxable benefits	(E) Total of columns (B)(I)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
<b>21</b> JOHNNY DAWKINS HEAD COACH, THROUGH	(1)	247,873	0	4,116,249	25,000	30,470	4,419,592	450,000
3/15/16	(11)	0	0	0	0	- 0	_ 0	0
1GREG MILANI SR MANAGING DIRECTOR,	(1)	653,575	1,253,390	200	1,953	25,653	1,934,771	0
SMC	(11)	0	0	0	0	-	- 0	0
2HARRY ELAM VP ARTS, APPOINTED 2/1/17	(1)	415,546	0	28,522	26,500	14,723	485,291	0
	(11)	0	0	0	0	-0	- 0	0

efile GRAPHIC print - DO NOT PROCESS As Filed Data - DLN: 93493197011708

### Schedule K (Form 990)

**Supplemental Information on Tax Exempt Bonds** 

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990. ▶Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD

Department of the Treasury

Internal Revenue Service

**Employer identification number** 

	BOARD OF TRUSTEES OF THE LEL IOR UNIVERSITY	AND STANFORD								94-11	56365				
	art I Bond Issues														
	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue	price		(f) Descripti	on of purpose	(g) De	efeased	beha	On alf of uer	(i) fınar	Pool icing
										Yes	No	Yes	No	Yes	No
A	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY CP	52-1705592	13018AXY1	04-11-2017	300,	000,000	SEE S	SCHEDULE (	)		Х		X		Х
В	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY S	52-1705592	130175P89	06-24-2004	181,	196,530	SEE S	SCHEDULE C	)		Х		Х		Х
С	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-1&3	52-1705592	130178JD9	06-19-2007	153,	277,097	SEE S	SCHEDULE (	)		Х		Х		X
D	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-5	52-1705592	130178TQ9	08-04-2009	59,	147,724	SEE S	SCHEDULE C	)		Х		Х		X
Pa	rt III Proceeds						<u> </u>								
						Α		i	3	C	;			D	
1	Amount of bonds retired			]			0		9,790,000			0			0
2	Amount of bonds legally defease	ed					0 0					0			0
3	Total proceeds of issue					300,000	,000		182,429,497	1	156,107,	342	12 59,148		
4	Gross proceeds in reserve funds						0		0			0	0		
5	Capitalized interest from procee	ds				0 1,198,734			1,198,734		595,	440			0
6	Proceeds in refunding escrows .						0		0			0			0
7	Issuance costs from proceeds .						0 992,205			661,216					1,644
8	Credit enhancement from proce	eds					0		0			0			0
9	Working capital expenditures fro						0		0			0			0
10	Capital expenditures from proce					4,500	,000		147,790,592		64,355,56				0
11	Other spent proceeds						0	<del>' '  </del>			126		59,1	46,388	
12	Other unspent proceeds						0		0			0			0
13	Year of substantial completion .							20	06	200	09			2003	
					Yes	No	,	Yes	No	Yes	No		Yes		No
14	Were the bonds issued as part o	of a current refunding	ıssue <sup>?</sup>			x		X		Х					Χ
15	Were the bonds issued as part of	of an advance refundir	ng issue?			×			Х		Х		Х		
16	Has the final allocation of proce	eds been made?				T x		X		х			Х		
17	Does the organization maintain proceeds?	adequate books and r	records to support th	ne final allocation of	Х			Х		х			Х		
Pa	rt IIII Private Business Us					•				·					
				A			3	С				D			
	Was the organization a partner	ın a nartnershin er a	member of an U.C.	which owned property	Yes	No	·	Yes	No	Yes	No		Yes		No
1	financed by tax-exempt bonds?	<u> </u>	<u> </u>			X			Х		Х				
2			X			X		Х							

Part III Private Business Use (Continued)

			۱ ۹		В	,	C	D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	Х		Х		×			
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	×		Х		×			
С	Are there any research agreements that may result in private business use of bond-financed property?		Х			Х			
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	Х		Х		Х			
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0 %		0 %		0 %		0 %
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		0 %		0 %	6 O 9			
6	Total of lines 4 and 5		0 %	0 %		0 9			
7	Does the bond issue meet the private security or payment test?		Х		Х		Х		
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		х		х		х		
ь	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		,		•		•		
С	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		х		Х		×		
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	×		×		X			

#### Part IV Arbitrage

			A		В		С	D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		х		х		х		Х
	If "No" to line 1, did the following apply?								
1	Rebate not due yet?	Х			X		Х		Х
,	Exception to rebate?	Х		Х		Х		Х	
:	No rebate due?		Х	Х		X			Х
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
	Is the bond issue a variable rate issue?	X		X			Х		Х
1	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		Х		×		х		Х
b	Name of provider	0		0		0		0	
С	Term of hedge								
d	Was the hedge superintegrated?								
<b>e</b>	Was the hedge terminated?								

Schedule K (Form 990) 2016

Pari	Arbitrage (Continued)				
			A		В
		Yes	No	Yes	
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		Х		

			A		<b>-</b>	,	<b>L</b>		U
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		×		Х
ь	Name of provider	0		0		0		0	
С	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		×		×		x
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		x		х	

Part V **Procedures To Undertake Corrective Action** 

		4	E	3		3		
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	Х		Х		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
	TAX-EXEMPT BONDS FOR MORE THAN 30 YEARS, STANFORD AND THE MANY COMMUNITIES AND CONSTITUENC IES
	IT SERVES HAVE BENEFITED FROM TAX-EXEMPT BORROWING THROUGH THE CALIFORNIA EDUCATIONAL
	FACILITIES AUTHORITY THE UNIVERSITY USES GENEROUS DONOR GIFTS, UNIVERSITY RESERVES AND TA XABLE
	DEBT, IN ADDITION TO PROCEEDS FROM TAX EXEMPT BORROWING, TO FUND THE CONSTRUCTION OF ACADEMIC
	BUILDINGS, RESEARCH SUPPORT FACILITIES, RESIDENCES AND OTHER CAMPUS HOUSING, ROA DS AND
	INFRASTRUCTURE THESE FACILITIES HAVE, IN TURN, ENABLED THE UNIVERSITY TO ATTRACT O UTSTANDING
	FACULTY AND STUDENTS AND TO MORE EFFECTIVELY PERFORM INTERDISCIPLINARY RESEARCH DESIGNED TO
	ADDRESS FUNDAMENTAL WORLD PROBLEMS THE NEW FACILITIES PROVIDE THE STANFORD C OMMUNITY WITH
	THE MOST ADVANCED TECHNOLOGY AND ENVIRONMENT FOR TEACHING, LEARNING AND RESE ARCH THE
	FUNDING ALSO HAS PLAYED A KEY ROLE IN ENABLING STANFORD TO MEET SEISMIC AND OTHE R FEDERAL AND
	STATE BUILDING REQUIREMENTS THE FOLLOWING ARE EXAMPLES OF BUILDINGS FUNDED IN PART THROUGH TAX-
	EXEMPT DEBT I THE YANG YAMAZAKI ENVIRONMENTAL AND ENERGY BUILDING (Y 2E2) IS THE HUB OF
	STANFORD'S ENVIRONMENTAL SUSTAINABILITY TEACHING AND RESEARCH IT IS A MODEL FOR SUSTAINABILITY
	BUILDING STANDARDS, USING 37 PERCENT LESS ENERGY AND 90 PERCENT L ESS POTABLE WATER THAN A
	TRADITIONALLY CONSTRUCTED BUILDING OF ITS SIZE Y2E2 WAS THE FIRS T CAMPUS BUILDING TO ACHIEVE
	LEED-EBOM (EXISTING BUILDING OPERATIONS AND MAINTENANCE) PLA TINUM CERTIFICATION II THE LI KA
SCHEDULE K	SHING CENTER FOR LEARNING AND KNOWLEDGE BRINGS TOGETHER CUTTING-EDGE MEDICINE, MODERN
	EDUCATION AND ADVANCED TECHNOLOGY TO TRAIN THE PHYSICIANS O F TOMORROW MEDICAL STUDENTS
	PRACTICE LIFE-SAVING SKILLS IN REALISTIC SIMULATIONS AND LEAR N TO APPLY RESEARCH TO BEDSIDE
	TREATMENTS THE CENTER IS A GATHERING PLACE FOR MEDICAL EXP ERTS TO SHARE RESEARCH AND HEALTH
	CARE INSIGHTS AND TO BRING THEIR COMBINED EXPERTISE TO B EAR ON THE WORLD'S GREATEST HEALTH
	CHALLENGES III THE JAMES AND ANNA MARIE SPILKER ENGIN EERING AND APPLIED SCIENCES BUILDING
	FOSTERS COLLABORATIVE DEVELOPMENT IN THE BURGEONING F IELD OF NANOTECHNOLOGY RESEARCH
	ACTIVITIES SPAN A BROAD RANGE OF AREAS FROM PHOTONICS AND QUANTUM ENGINEERING TO SINGLE-
	MOLECULE BIOPHYSICS AND EXPLORATION OF NANOSCALE PROPERTIES AND DEVICES WITH POTENTIAL
	APPLICATIONS AS DIVERSE AS WATER PURIFICATION, ENERGY CONSERVA TION, DRUG DELIVERY AND NATIONAL
	SECURITY IV THE HUANG ENGINEERING CENTER HOUSES THE SCH OOL OF ENGINEERING, WHOSE TIES TO THE
	HIGH-TECHNOLOGY INDUSTRY CONTINUE TO FUEL THE GROWTH AND ECONOMY OF SILICON VALLEY AND
	NORTHERN CALIFORNIA THE SCHOOL OF ENGINEERING IS WORLD RENOWNED FOR PUSHING THE FRONTIERS OF
	MODERN SCIENCE AND ENGINEERING, ESPECIALLY IN THE FIELDS OF COMPUTER SCIENCE, BIOENGINEERING AND
	ENVIRONMENTAL SUSTAINABILITY V THE LORRY I LOKEY STEM CELL RESEARCH BUILDING IS THE LARGEST
	DEDICATED STEM CELL RESEARCH BUILDING I N THE COUNTRY THE BUILDING HOUSES THE STANFORD STEM CELL
	BIOLOGY AND REGENERATIVE MEDICIN E INSTITUTE, WHICH INTEGRATES

Return Reference	Explanation
SCHEDULE K	RESEARCHERS FROM CANCER, NEUROSCIENCE, CARDIOVASCULAR MEDICINE, TRANSPLANTATION, IMMUNOLOG Y, BIOENGINEERING AND DEVELOPMENTAL BIOLOGY ALL ARE FOCUSED ON MAKING DISCOVERIES IN STEM CELL RESEARCH AND QUICKLY TRANSLATING THEM INTO PRECLINICAL APPLICATIONS, INNOVATIVE THER APIES AND TREATMENTS VI THE MUNGER GRADUATE RESIDENCE IS A FIVE-BUILDING, 358 UNIT RESID ENTIAL COMPLEX FOR APPROXIMATELY 600 STANFORD LAW AND OTHER GRADUATE STUDENTS IT IS A SIG INFICANT INVESTMENT BY STANFORD IN MITIGATING TRAFFIC AND OTHER ENVIRONMENTAL CONCERNS AS IT GREATLY REDUCES THE NUMBER OF PEOPLE DRIVING TO CAMPUS DAILY VII THE WILLIAM H NEUKO M BUILDING, WHICH HOUSES THE CLINICS OF THE STANFORD LAW SCHOOL, OPENED IN 2011 AND WAS BU ILT TO SATTISTY THE EQUIVALENT OF A LEED GOLD CERTIFICATION FOR SUSTAINABILITY THE BUILDIN G USES 30 PERCENT LESS ENERGY THAN REQUIRED BY CODE THE BUILDING HOUSES THE MILLS LEGAL C LINIC, WHICH INCLUDES 11 CLINICS THAT TEACH THROUGH EXPERIENTIAL EDUCATION LAW STUDENTS L EARN THE PRACTICE OF LAW BY REPRESENTING, FOR INSTANCE, LOW-INCOME OR INDIGENT PEOPLE IN C IVIL AND CRIMINAL LITIGATIONS, AND BY PROVIDING LEGAL COUNSEL ON ENVIRONMENTAL ISSUES, INT ELLECTUAL PROPERTY, HUMAN RIGHTS, IMMIGRATION, NONPROFIT CORPORATE GOVERNANCE, EDUCATION, AND RELIGIOUS FREEDOM VIII STANFORD ENERGY SYSTEM INNOVATIONS (SESI) IS A STATE-OF-THE-A RT ENERGY SYSTEM EMPLOYING HEAT RECOVERY, RENEWABLE ELECTRICITY, AND ADVANCED CONTROLS TO SERVE THE POWER, HEATING AND COOLING NEEDS OF THE UNIVERSITY IT INCLUDES A CENTRAL ENERGY FACILITY AND ELECTRICAL SUBSTATION, ENERGY DISTRIBUTION INFRASTRUCTURE AND MARKET-BASED E NERGY PROCUREMENT PROGRAM SESI REDUCES STANFORD'S GREENHOUSE GAS EMISSIONS BY 68% AND WAT ER USE BY 15% SESI HAS RECEIVED THE HIGHEST HONORS AT THE REGIONAL, STATE AND NATIONAL LE VELS FOR ENERGY ECTICAL SUBSTATION, ENERGY DISTRIBUTION INFRASTRUCTURE AND MARIONAL LE VELS FOR ENERGY ECTICAL SUBSTATION ON SUSTAINABILITY, INCLUDING THE STATE OF CALIFORNI A GOVERNOR'S ENVIRONMENT AND ECONOMIC LEADERSHIP AWARD AND THE ENERGY E

Return Reference
Return Reference

Schedule K

(Form 990)

Department of the Treasury

Supplemental Information on Tax Exempt Bonds

Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

▶Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

DLN: 93493197011708

Internal Revenue Service Name of the organization

Employer identification number

	e of the organization  BOARD OF TRUSTEES OF THE LEI	LAND STANFORD							Emplo	yer iden	tificatio	n numbe	er		
	IOR UNIVERSITY	LAND STAIN OND								94-11	56365				
P	art I Bond Issues														
	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue	price		(f) Descripti	on of purpose	(g) D	efeased	beha	) On alf of suer		Pool ncing
										Yes	No	Yes	No	Yes	No
A	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-1	52-1705592	130178VU7	05-06-2010	251,	631,228	SEE S	SCHEDULE C	)		X		X		X
В	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-2	52-1705592	130178M86	04-17-2012	99,	193,766	SEE S	SEE SCHEDULE O			Х		Х		Х
С	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-3	52-1705592	130178X76	05-15-2013	351,	795,122	SEE S	SCHEDULE C	)		Х		Х		Х
D	CALIFORNIA EDUCATIONAL FACILITIES SERIES U-4	52-1705592	130178X84	05-15-2013	52,	773,978	SEE S	SCHEDULE C	)		Х		Х		Х
P	rt II Proceeds														
						Α		E	3		:			D	
1	Amount of bonds retired						0		0			0			0
2	Amount of bonds legally defeas						0		0			0			0
3	Total proceeds of issue					251,878	3,327		99,194,474	;	351,884,	.550	52,773,98		
4	Gross proceeds in reserve fund						0		0			0			0
5	Capitalized interest from procee	eds				1,310	,928		o		560			0	
6	Proceeds in refunding escrows						0 0			0			0		
7	Issuance costs from proceeds .					1,631	1,228 572,646		572,646		,348 191,2			191,291	
8	Credit enhancement from proce	eeds					0	0 0			0				
9	Working capital expenditures fr	rom proceeds					0	0			0			0	
10	Capital expenditures from proce	eeds				112,489	,072		0	;	685			0	
11	Other spent proceeds					136,447	7,099		98,621,828		5,825,	277		52,5	582,697
12	Other unspent proceeds						0		0			0			0
13	Year of substantial completion .				2	012		20	03	20	13			2003	
					Yes	No	,	Yes	No	Yes	No		Yes		No
14	Were the bonds issued as part	of a current refunding	ıssue <sup>?</sup>		X			X		X					Χ
15	Were the bonds issued as part	of an advance refundir	ng issue?			Х			Х		Х		Х		
16	Has the final allocation of proce	eeds been made?			Х			Х		Х			Х		
17	Does the organization maintain				Х			Х		Х			Х		
D.	proceeds?		<u> </u>		1										
ĽĊ	Filvate business Us	36			1	Α		E	<u> </u>		•			D	
					Yes	No	, +	Yes	No	Yes	No	-	Yes		No
_	VA /				. ———	1	-					-			

Was the organization a partner in a partnership, or a member of an LLC, which owned property

Are there any lease arrangements that may result in private business use of bond-financed

Χ

Χ

Χ

Part III Private Business Use (Continued)

		A			В		L	U	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	Х				Х			
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	×				×			
С	Are there any research agreements that may result in private business use of bond-financed property?	X				Х			
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	Х				Х			
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0 %		0 %		0 %		0 %
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		0 %				0 %		
6	Total of lines 4 and 5		0 %				0 %	)	
7	Does the bond issue meet the private security or payment test?		Х				Х		
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		х				х		
ь	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of				•		•		
С	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		х				×		
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	×				Х			

Pa	t IV Arbitrage								
			A		В		С		D
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		х		×		X		Х
2	If "No" to line 1, did the following apply?								
а	Rebate not due yet?		×		X	×		X	
b	Exception to rebate?	X		Х		×		X	
С	No rebate due?	X			X		Х		X
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		Х		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		Х		×		×		X
b	Name of provider	0		0		0		0	
С	Term of hedge								
d	Was the hedge superintegrated?								
е	Was the hedge terminated?								

Schedule K (Form 990) 2016

Par	Arbitrage (Continued)													
			A		В		С		D					
		Yes	No	Yes	No	Yes	No	Yes	No					
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		Х		Х		×		Х					
b	Name of provider	0		0		0		0						
С	Term of GIC													
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?													
6	Were any gross proceeds invested beyond an available temporary period?		X		X		×		Х					
7	Has the organization established written procedures to monitor the requirements of section $148^\circ$	×		×		×		X						

#### Part V Procedures To Undertake Corrective Action

		4	Е	3	(	3		<u> </u>
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		Х		X		Х	

**Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

Schedule K (Form 990) 2015

efile GRAPHIC print - DO NOT PROCESS

Schedule K

(Form 990)

Department of the Treasury

Internal Revenue Service Name of the organization

As Filed Data -

**Supplemental Information on Tax Exempt Bonds** 

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

▶ Attach to Form 990.

▶Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

**Employer identification number** 

DLN: 93493197011708

THE BOARD OF TRUSTEES OF THE LELAND STANFORD 94-1156365 JUNIOR UNIVERSITY Part I **Bond Issues** (g) Defeased (a) Issuer name (b) Issuer EIN (c) CUSIP # (d) Date issued (e) Issue price (f) Description of purpose (h) On (i) Pool behalf of financing ıssuer Yes No Yes No Yes CALIFORNIA EDUCATIONAL 52-1705592 1301783X2 05-14-2014 150,450,962 SEE SCHEDULE O Χ Χ **FACILITIES SERIES U-5** CALIFORNIA EDUCATIONAL 52-1705592 1301783W4 05-14-2014 350,002,728 SEE SCHEDULE O Х Χ FACILITIES AUTHORITY U-6 CALIFORNIA EDUCATIONAL 52-1705592 06-22-2016 250,000,550 SEE SCHEDULE O 130179GV0 Х **FACILITIES AUTHORITY U-7** Part II **Proceeds** Α В C D ol 2 3 150,451,764 350,606,665 251,386,696 4 ol 5 ol 2,946,757 264,488 6 7 2,211 8 ol 9 O 10 336,486,500 63,130,233 11 150,449,552 3,612,583 1.386.146 12 ol 7,560,824 186,605,828 13 2002 Yes No Yes No Yes No Yes No Were the bonds issued as part of a current refunding issue? . . . . Χ Χ Х 14 Were the bonds issued as part of an advance refunding issue? . . . . . Χ 15 Χ Χ Has the final allocation of proceeds been made? . . . . . . . . . . . . . Χ 16 Χ Does the organization maintain adequate books and records to support the final allocation of 17 Χ Χ Х Part III **Private Business Use** Yes No Yes No Yes No Yes No Was the organization a partner in a partnership, or a member of an LLC, which owned property Χ Are there any lease arrangements that may result in private business use of bond-financed Χ Χ

D

C

Part III Private Business Use (Continued)

		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?			×		X			
ь	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?			X		×			
c	Are there any research agreements that may result in private business use of bond-financed property?			X		X			
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?			X		Х			
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0 %		0 %		0 %		
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government.				0 %		0 %		
6	Total of lines 4 and 5				0 %		0 %		
7	Does the bond issue meet the private security or payment test?				Х		Х		
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?				х		х		
ь	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
С	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?				×		×		
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?			×		X			

A

Pai	t IV Arbitrage								
			Α		В		С	ı	<del>)</del>
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		Х		×		Х		
2	If "No" to line 1, did the following apply?								_
a	Rebate not due yet?	X		Х		Х			
b	Exception to rebate?	Х			×		Х		
С	No rebate due?		X		X		Х		
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		Х		
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		Х		×		Х		
b	Name of provider	0		0		0			
С	Term of hedge								-
d	Was the hedge superintegrated?								
е	Was the hedge terminated?								

Schedule K (Form 990) 2016

Part IV	<b>Arbitrage</b> (Continued)

			1		3	(	2	D		
		Yes	No	Yes	No	Yes	No	Yes	No	
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		Х		×		Х			
b	Name of provider	0		0		0				
С	Term of GIC								_	
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?									
6	Were any gross proceeds invested beyond an available temporary period?		X		×		X			
7	Has the organization established written procedures to monitor the requirements of section 148?	X		x		×				

### Part V Procedures To Undertake Corrective Action

		4	E	3		3	D		
	Yes	No	Yes	No	Yes	No	Yes	No	
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X				

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
FORM 990, SCHEDULE K, PART III, LINES 4-5	PRIVATE BUSINESS USE STANFORD UNIVERSITY FINANCES ITS FACILITIES WITH A COMBINATION OF TAX-EXEMPT DEBT, TAXABLE DEBT, GIFTS AND OTHER UNIVERSITY FUNDS OCCASIONALLY, SOME OF THESE FACILITIES WILL HOUSE ACTIVITIES THAT MAY CONSTITUTE "PRIVATE BUSINESS USE", AS DEFINED IN IRC SECTION 141 FOR INSTANCE, STANFORD MAY RENT A SMALL PORTION OF A FACILITY TO A FOR-PROFIT OPERATOR OF CAFETERIAS (PRIMARILY FOR THE CONVENIENCE OF FACULTY, STAFF, STUDENTS AND THEIR GUESTS) IN OTHER SITUATIONS, STANFORD MAY RECEIVE A GENEROUS CORPORATE GIFT TO FUND A PORTION OF A BUILDING AND MAY CHOOSE TO ACKNOWLEDGE SUCH GENEROSITY BY NAMING A CLASSROOM, LIBRARY OR AUDITORIUM IN HONOR OF THE CORPORATE DONOR IN SUCH CASES, STANFORD ELECTS THE "UNDIVIDED PORTION ALLOCATION METHOD" DESCRIBED IN TREASURY REGULATION SECTION 1 141-6, AND THUS ALLOCATES SUCH "PRIVATE BUSINESS USE" FIRST TO THAT PORTION OF THE FACILITY FUNDED BY TAXABLE DEBT, GIFTS OR OTHER UNIVERSITY FUNDS ACCORDINGLY, STANFORD REPORTS 0% AS THE PERCENTAGE OF FINANCED PROPERTY USED IN PRIVATE BUSINESS USE (PART III, QUESTION 4) IN ADDITION TO THE CONSTRUCTION COST OF BUILDINGS, TAX-EXEMPT DEBT ALSO FUNDED A SIGNIFICANT PORTION OF THE UNIVERSITY'S INFRASTRUCTURE, INCLUDING ROADS AND UNDERGROUND UTILITIES THE PORTION OF THE FUNDING OF THESE IMPROVEMENTS BY SOURCES OTHER THAN TAX-EXEMPT DEBT ALSO FUNDED A SIGNIFICANT PORTION OF THE UNIVERSITY'S INFRASTRUCTURE, INCLUDING ROADS AND UNDERGROUND UTILITIES THE PORTION OF THE FUNDING WAS WELL IN EXCESS OF THE MEASURED AMOUNT OF PRIVATE BUSINESS USE TO TOTAL USE ACCORDINGLY, THE AMOUNTS REPORTED ON PART III, LINES 4 AND 5, FOR THE PERCENTAGE OF FINANCED PROPERTY USED IN PRIVATE BUSINESS USE BY OTHER ENTITIES AND AS A RESULT OF AN UNRELATED TRADE OR BUSINESS ACTIVITY, RESPECTIVELY, ARE ZERO BOND ISSUANCE COSTS (BIC) AS A PERCENTAGE OF THE TOTAL PROCEEDS BY BOND ISSUE ARE ISSUE DIC% CEFA CP 0 0000% CEFA SERIES U-3 0 3551% CEFA SERIES U-4 0 3625% CEFA SERIES U-5 0 6485% CEFA SERIES U-1 0 6483% CEFA SERIES U-6 0 0000% CEFA SERIES U-7 0 0000% FO

#### DLN: 93493197011708

#### Schedule L

(Form 990 or 990-EZ)

#### **Transactions with Interested Persons**

► Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

▶ Attach to Form 990 or Form 990-EZ.

▶Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Inspection

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

Part :

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

**Employer identification number** 

1U S	NIVERSITY		94-1156365					
1	•	501(c)(3), section 501(c)(4), and 501(c)(29) orga on Form 990, Part IV, line 25a or 25b, or Form 99	, ,					
	(a) Name of disqualified person	(b) Relationship between disqualified person and	(c) Description of	(d) Corrected?				
		organization	transaction	Yes	No			
nte	r the amount of tax incurred by organization	managers or disqualified persons during the year u	nder section					

	4958 .																										_
3	Enter the	am	oun	t of	tax,	ıfar	۱у, ч	on li	ine 2	l, al	ove	, re	mb	urse	d by	/ the	org	ganız	zatio	n.			•	•	•	\$	

	nplete if the organ orted an amount o			, Part V, line	38a, or Form 99	0, Par	t IV,	line 26,	, or if t	he org	anızatıon	
(a) Name of interested person	(b) Relationship with organization	(d) Loan to or from the organization?		(e)Original principal amount	(f)Balance due	(g) In default?		(H Approv boar comm	d or <sup>′</sup>		(i)Written agreement?	
		То	From			Yes	No	Yes	No	Yes	No	
See Additional Data Table												

Total		Þ	<b>\$</b>	16,905,504			

#### Part III **Grants or Assistance Benefiting Interested Persons.**

Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

complete if the	organization answered in	es on rollingsof raicity	IIIC Z/I	
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transaction				28h or 28c		
Complete If the organi (a) Name of Interested persor  See Additional Data Table	acion a	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Shorganiz	of cation's nues?
See Additional Data Table					Yes	No
Part V Supplemental Inform	nation					
Provide additional information		responses to questions on	Schedule L (see instruction	ons)		
Return Reference SCHEDULE L, PART II			Explanation	on O ATTRACT AND RETAIN EXCELLE		
	AND SE IN THE PROVID PROPER BORROV PAYMEN ASSIST EMPLOY POON (COLUM TERMS ISSUED MORTG, RATES	NIOR STAFF, THE UNIVER FORM OF SUBORDINATED ED BY PRIVATE LENDERS. ITTLES IN THE REGION SUFWER WILL RECEIVE A CONTITUTE OF THE MOTE OF THE WAY OF THE WORLD AND THE WILL BE WITH THE WORLD AND THE WITH REGARD TO THE QUITH REGARD	SITY PROVIDES HOME MODE LOANS, TYPICALLY IN COUTHESE LOANS ARE COLL REPORTED THE UNIVERSE MBINATION OF LOANS WILLY AND SENIOR STAFF IN TEACH TO THE INDIVIDUAL (COUTHE INDIVIDUAL (COUTH	ORTGAGE FINANCING ASSISTANC DNJUNCTION WITH FIRST MORTG, ATERALIZED BY DEEDS OF TRUST SITY DEPENDING ON CIRCUMSTA TH VARYING INTEREST RATE AND MAY ALSO RECEIVE A TAXABLE ST IE TO TIME, CERTAIN OFFICERS A ICH SUPPORT EACH LOAN TO AN COLUMN D) NONE OF THE LOANS OARD OF TRUSTEES (COLUMN H) TO ELIGIBLE EMPLOYEES FOR EACH STANFORD AND THE BORROWEF ENT OF INTEREST WHICH APPROSED THE ORG 2", A "NO" RESPONSED	E, PRIMAR AGE LOAN ON NCES, TH PRINCIP, IPEND TO ND KEY IS IN DE AND THE CH LOAN (COLUMICIAN)	RILY IS E AL ) TED FAULT LOAN N I) ARKET
SCHEDULE L, PART III		HE LOAN IS FROM THE UN TO INTERESTED PERSON		ATED TRANSACTIONS ARE THOSE	IN WHIC	H AN
	INTERE: WORK/S AMOUN	STED PERSON PAYS TUIT. STUDY ASSISTANCE, AND TS WOULD BE IN ACCORI	ION, ROOM AND/OR BOAF /OR RESEARCH GRANTS F	RD COSTS OR RECEIVES FINANCIA FOR A STUDENT AT THE UNIVERSI VID OR GRANT PRACTICES AND AF	L AID, TY SUCH	ł
SCHEDULE L, PART IV	RELATION THE LIST TRUNCULUS TO THE LIST TRUNCULUS TO THE CONCINE SIN THE CONCI	DINSHIP WITH A LISTED PITED PERSONS EMPLOYME  JEED PERSONS EMPLOYME  JEED PERSONS EMPLOYME  JEEN WITH THE LISTE  JEEN ONE RECRUITMENT  HIRING OF THE RELATED  JEEN JEANNE M  GANIZATION SISTER OF  H COMPENSATION AND \$6  TAFF POSITION AT STANF  ANIZATION'S REVENUES:  DISHIP BETWEEN THE IN  BYERS (C) AMOUNT OF TR  STONE THE INTERESTED PERS  AND THE ORGANIZATION  FOR THE INTERESTED PERS  AND THE ORGANIZATION  JEEN CASH COMPENSATION  JEEN CASH COMPENSATION  JEEN CASH COMPENSATION  JEEN COM	ERSON GENERALLY, SUCENT WITH STANFORD OR STANFORD IN SOME INS ED PERSONS EMPLOYMENT IN NO CASE WAS THE LIENPLOYEE NEITHER WERNINUED EMPLOYMENT O ARIE DAVILA (B) RELATION FORD (D) DESCRIPTION OF NO (A) NAME OF THE INTERESTED PERSON AND RANSACTION \$388,076 IN SPOUSE OF TRUSTEE, NAND \$8,120 IN BENEFIT TANFORD (D) DESCRIPTION OF NO (A) NAME OF TRUSTEE, NAND \$8,120 IN BENEFIT TANFORD (D) DESCRIPTION OF TRUSTEE, NAND \$8,120 IN BENEFIT TANFORD (D) DESCRIPTION OF TRUSTEE, NAND \$8,120 IN BENEFIT TANFORD (D) DESCRIPTION OF TRUSTEE, NAND \$8,120 IN BENEFIT TANFORD (D) DESCRIPTION OF TRUSTEE, NAND SECTION SECONDAIN OF THE S	CERTAIN STANFORD EMPLOYEES IN EMPLOYEES WERE EITHER HIRE PRIOR TO THEIR APPOINTMENT AND TAIL TANCES, THE FAMILY MEMBER WAS ALTER OF THE STANFORD, SUCH AS HIRING STED PERSON RESPONSIBLE FOR THE CASH RESPONSIBLE FOR THE COMPENSATION AND \$34, FITTION AS PROFESSOR OF ENGLISTION AND FOR THE CASH RESPONSATION AND	D PRIOR  S AN OFFI  S AN OFFI  S AN OFFI  S AN OFFI  S HIRED  BOTH  OR INVO  OMPENSA  ME OF TIO  I (E) SHA  RS (B)  I (E) SHA	TO ICER,  LVED, HE AND, 900 LRING E, (D(A)) HER AND TALE, TON HER AND TALE TON HER AND TALE, TON HER A

Software ID: Software Version:

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

## Form 990, Schedule L, Part II - Loans to and from Interested Persons

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e)Original principal amount	(f)Balance due	(g) In default?		(h) Approved by board or committee?		•	i)Written greement?
			То	From			Yes	No	Yes	No	Yes	No
JOHN ETCHEMENDY	OFFICER	HOUSING		Х	1,710,000	1,710,000		No	Yes		Yes	
RICHARD SALLER	KEY EMPLOYEE	HOUSING		Х	25,000	25,000		No	Yes		Yes	
MARTIN SHELL	OFFICER	HOUSING		Х	1,000,000	750,000		No	Yes		Yes	
LLOYD MINOR	KEY EMPLOYEE	HOUSING		Х	1,695,000	1,601,732		No	Yes		Yes	
LLOYD MINOR	KEY EMPLOYEE	HOUSING		Х	700,000	700,000		No	Yes		Yes	
LLOYD MINOR	KEY EMPLOYEE	HOUSING		Х	1,150,000	1,150,000		No	Yes		Yes	
LLOYD MINOR	KEY EMPLOYEE	HOUSING		Х	780,534	780,534		No	Yes		Yes	
LLOYD MINOR	KEY EMPLOYEE	HOUSING		Х	719,466	513,904		No	Yes		Yes	
ROBERT WALLACE	KEY EMPLOYEE	HOUSING		Х	700,000	700,000		No	Yes		Yes	
ROBERT WALLACE	KEY EMPLOYEE	HOUSING		Х	200,000	200,000		No	Yes		Yes	
ROBERT WALLACE	KEY EMPLOYEE	HOUSING		Х	100,000	80,000		No	Yes		Yes	
ROBERT WALLACE	KEY EMPLOYEE	HOUSING		Х	3,000,000	3,000,000		No	Yes		Yes	
ELIZABETH ZACHARIAS	OFFICER	HOUSING		Х	700,000	700,000		No	Yes		Yes	
ELIZABETH ZACHARIAS	OFFICER	HOUSING		Х	250,000	250,000		No	Yes		Yes	
ELIZABETH ZACHARIAS	OFFICER	HOUSING		Х	100,000	100,000		No	Yes		Yes	

Form 990, Sche	dule L, Part II -	Loans to and	from	Intere	sted Persons	_	_				_	
(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan			(e)Original principal amount	(f)Balance due	(g) In default?		(h) Approved by board or committee?			
			То	From			Yes	No	Yes	No	Yes	No
ELIZABETH ZACHARIAS	OFFICER	HOUSING		Х	100,000	90,000		No	Yes		Yes	
PHILIP PIZZO	FORMER OFFICER	HOUSING		Х	1,500,000	1,500,000		No	Yes		Yes	
PHILIP PIZZO	FORMER OFFICER	HOUSING		Х	1,211,800	1,211,800		No	Yes		Yes	
PERSIS DRELL	OFFICER	HOUSING		Х	600,000	403,034		No	Yes		Yes	
PERSIS DRELL	OFFICER	HOUSING		Х	175,000	175,000		No	Yes		Yes	
HARRY ELAM	OFFICER	HOUSING		Х	150,000	150,000		No	Yes		Yes	
HARRY ELAM	OFFICER	HOUSING		Х	350,000	350,000		No	Yes		Yes	
HARRY ELAM	OFFICER	HOUSING		Х	235,000	164,500		No	Yes		Yes	
HARRY ELAM	OFFICER	HOUSING		×	600,000	600,000		No	Yes		Yes	

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons (e) Sharing (a) Name of interested person (b) Relationship (c) Amount of (d) Description of transaction between interested transaction of organization's person and the organization revenues? Yes No 232,294 COMPENSATION JEANNE MARIE DAVILA SEE PART V No THOMAS BYERS SEE PART V 423,389 COMPENSATION No

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons						
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?		
				Yes	No	
BETH MCLELLAN	SEE PART V	86,865	COMPENSATION		No	
SUSAN LIAUTAUD	SEE PART V	20,998	COMPENSATION		No	

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons						
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?		
				Yes	No	
MARY HYNES	SEE PART V	21,333	COMPENSATION		No	
JIM WELCH	SEE PART V	177,999	COMPENSATION		No	

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons						
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?		
				Yes	No	
TANYA LUHRMANN	SEE PART V	230,331	COMPENSATION		No	
MICHELE ELAM	SEE PART V	265,849	COMPENSATION		No	

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons						
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?		
				Yes	No	
CHRISTIAN TESSIER-LAVIGNE	SEE PART V	34,333	COMPENSATION		No	

**SCHEDULE M** 

DLN: 93493197011708

OMB No 1545-0047

2016

**Noncash Contributions** (Form 990)

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990.

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

▶Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

**Open to Public** Inspection

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD				Employer identification number				
	OR UNIVERSITY	FURD			94-1156365			
Pa	rt I Types of Property							
		(a) Check ıf applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	Method of c noncash contril			ts
1	Art—Works of art	Х	304		N/A			
2	Art—Historical treasures .							
3	Art—Fractional interests							
4	Books and publications							
5	Clothing and household goods							
6	Cars and other vehicles							
7	Boats and planes							
8	Intellectual property							
9	Securities—Publicly traded .	X	1,820		FAIR MARKET VALU	<u> </u>		
10	Securities—Closely held stock .	X	3	672,036	FAIR VALUE			
11	Securities—Partnership, LLC, or trust interests	X	7	6,006,740	FAIR VALUE			
	Securities—Miscellaneous							
13	Qualified conservation contribution—Historic structures							
14	Qualified conservation contribution—Other							
15	Real estate—Residential .	X	6	7,820,74	APPRAISAL			
16	Real estate—Commercial							
17	Real estate—Other							
18	Collectibles							
19	Food inventory							
20	Drugs and medical supplies .							
21	Taxidermy							
22 23	Historical artifacts							
24	Scientific specimens Archeological artifacts							
25	Other ► See Additional Data							
26	Other ▶ ( )							
27	Other ► ()							
28	Other ▶ ()							
29	Number of Forms 8283 received by t for which the organization completed				29			2
							Yes	No
30a	During the year, did the organizatio				_			
	it must hold for at least three years	from the d	ate of the initial contribution	, and which is not required	to be used			Į
	for exempt purposes for the entire h		od?			30a		No
b	If "Yes," describe the arrangement i	n Part II						ļ
31	Does the organization have a gift ac			•		31	Yes	
	Does the organization hire or use th contributions?	ırd partıes • •	or related organizations to so	olicit, process, or sell nonca	sh 	32a	Yes	
	If "Yes," describe in Part II							
33	If the organization did not report an describe in Part II	amount in	column (c) for a type of pro	perty for which column (a)	is checked,			

Schedule M (Form 990) (2016)
Part II Supplement Page **2** 

Part II	Supp
---------	------

olemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

cins pare for any date	inclorial informacióni
Return Reference	Explanation
	IN COLUMN B, STANFORD IS REPORTING THE NUMBER OF CONTRIBUTIONS LINE 32A, USE OF THIRD-PARTY - STANFORD MAY, FROM TIME TO TIME, ENGAGE THIRD PARTIES (E.G., REAL ESTATE BROKERS) TO SELL CERTAIN NON-CASH CONTRIBUTIONS LINE 33, NON-CASH CONTRIBUTIONS - WORKS OF ART, HISTORICAL TREASURES, LITERARY WORKS, ARTIFACTS, AND THE LIKE, WHICH ARE PRESERVED AND PROTECTED FOR EDUCATIONAL, RESEARCH AND PUBLIC EXHIBITION PURPOSES, ARE NOT CAPITALIZED DONATIONS OF SUCH COLLECTIONS ARE NOT RECORDED FOR FINANCIAL STATEMENT PURPOSES

Schedule M (Form 990) (2016)

# **Additional Data**

Software ID:

**Software Version:** 

**EIN:** 94-1156365

Name: THE BOARD OF TRUSTEES OF THE LELAND STANFORD

JUNIOR UNIVERSITY

#### Part I, Lines 25-28

Other ► ( HOUSEHOLD GOODS )	
	_
Other ► (	
MUSICAL ITEMS &	
INSTRUMENTS	)
Other ▶ (	
COMPUTERS AND	
ACCESSORIES	)
Other ▶ (	
OTHER EQUIPMENT )	
Other ▶ (	
BOOKS )	

(a) Check ıf applıcable	<b>(b)</b> Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
Х	1	2,835	FAIR MARKET VALUE
Х	2	54,824	FAIR MARKET VALUE
Х	8	420,304	FAIR MARKET VALUE
X	10	839,452	FAIR MARKET VALUE
Х	1	4,972	FAIR MARKET VALUE

DLN: 93493197011708

# Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

OMB No 1545-0047

Department of the Treasury

EZ)

**SCHEDULE 0** 

(Form 990 or 990-

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

**Employer identification number** 

94-1156365

Return Reference	Explanation
FORM 990, PAGE 1, LINE K	FORM OF ORGANIZATION THE LELAND STANFORD JUNIOR UNIVERSITY WAS FOUNDED BY SENATOR AND MRS LELAND STANFORD ON NOVEMBER 11, 1885, IN MEMORY OF THEIR ONLY CHILD, LELAND, JR THE FOUN DING WAS ACCOMPLISHED BY A GRANT OF ENDOWMENT, KNOWN AS THE FOUNDING GRANT, WHICH CONVEYED IN TRUST TO A BOARD OF TRUSTEES CERTAIN PROPERTIES, DIRECTED THAT A UNIVERSITY BE ESTABLI SHED AND OUTLINED THE OBJECTIVES AND GOVERNMENT OF THE UNIVERSITY SUBSEQUENT LEGISLATION IN THE STATE OF CALIFORNIA GRANTED THE UNIVERSITY CORPORATE POWERS EFFECTIVE 1901 ACCORDINGLY, STANFORD HAS ELECTED TO BE TREATED AS A CORPORATION FOR THE PURPOSES OF THE ADMINIST RATION OF FEDERAL AND STATE INCOME TAX LAW FORM 990, PART I, LINE 6 VOLUNTEERS THERE ARE THOUSANDS OF ALUMNI AND FRIENDS OF STANFORD UNIVERSITY WHO VOLUNTEERED THEIR SERVICES TO SETANFORD OVER THE COURSE OF THE YEAR EXAMPLES OF THE WIDE SPECTRUM OF VOLUNTEER SERVICES INCLUDE SERVING AS A MEMBER OF THE BOARD OF TRUSTEES OF THE UNIVERSITY, SERVING ON A FUNDRAL ISING COMMITTEE, AND SERVING ON AN ADVISORY BOARD OF AN INSTITUTE WHILE STANFORD DOES NOT FORMALLY TRACK THE TOTAL NUMBER OF VOLUNTEERS, IT ESTIMATES THAT APPROXIMATELY 11,000 ALUMNI VOLUNTEERED DURING THE TAX YEAR FORM 990, PARTS I AND III, LINE 1 ORGANIZATION'S MISSES ION - CONTINUED FROM PART I, LINE 1 & PART III, LINE 1 STANFORD IS INTERNATIONALLY RECOGNI ZED FOR THE QUALITY OF ITS TEACHING AND RESEARCH, ITS DISTINGUISHED FACULTY, AND ITS OUTST AND ING STUDENT BODY IN THE YEARS SINCE ITS FOUNDING IN 1885, STANFORD HAS GROWN TO 2,219 FACULTY MEMBERS AND AN ENROLLMENT OF APPROXIMATELY 7,056 UNDERGRADUATE AND 9,368 GRADUATE STUDENTS THE OBJECTIVE AND PURPOSES OF STANFORD UNIVERSITY, JANE AND LELAND STANFORD WROT E IN THEIR FOUNDING GRANT IN 1885, ARE "TO QUALIFY ITS STUDENTS FOR PERSONAL SUCCESS, AND DIRECT USEFULNESS IN LIFE. TO PROMOTE THE PUBLIC WELFARE BY EXERCISING AN INFLUENCE IN BEHALF OF HUMANITY AND CIVILIZATION, TEACHING THE BLESSINGS OF LIBERTY REGULATED BY LAW, AND INCULCATING LOVE AND REVERENCE FOR THE GREAT PRINCIPLES OF GOVE

Return Reference	Explanation
FORM 990, PART III, LINE 4A	STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS (EXPENSES \$1,839,111,869 INCLUDING GRANTS OF \$36,296,446, REVENUE \$850,815,834) INSTRUCTION AND DEPARTMENTAL RESEARCH INCLUDES THE SAL ARIES, FRINGE BENEFITS AND SUPPLIES NECESSARY TO TEACH 16,424 STUDENTS, INCLUDING 7,056 UN DERGRADUATE AND 9,368 GRADUATE AND PROFESSIONAL SCHOOL STUDENTS STANFORD'S 2,219 -PERSON FACULTY INCLUDES 19 NOBEL PRIZE WINNERS AND FOUR PULITZER PRIZE WINNERS STANFORD HAS SEVE N SCHOOLS BUSINESS, EARTH, ENERGY & ENVIRONMENTAL SCIENCES, EDUCATION, ENGINEERING, HUMAN ITIES AND SCIENCES, LAW, AND MEDICINE UNDERGRADUATES CHOOSE AMONG 60 MAJORS AND ARE ENCOU RAGED TO LEARN CRITICAL THINKING SKILLS THROUGH RESEARCH SEVEN SCHOOLS ON ONE CAMPUS OFFE R STUDENTS BOUNDLESS OPPORTUNITIES TO PURSUE THEIR PASSIONS AND COLLABORATE ON SOLVING COM PLEX GLOBAL PROBLEMS IN CLOSE INTERACTION WITH FACULTY, STANFORD STUDENTS CREATE AND APPL Y KNOWLEDGE BY THINKING AND DOING, PREPARING FOR LEADERSHIP IN A RAPIDLY CHANGING WORLD

Return Reference	Explanation
FORM 990, PART III, LINE 4B	STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS (EXPENSES \$1,149,556,817 INCLUDING GRANTS OF \$24,436,641, \$197,324,390 REPORTED REVENUE EXCLUDES FEDERAL RESEARCH SUPPORT) ORGANIZED RE SEARCH RESEARCH IS INTEGRAL TO THE EDUCATIONAL MISSION OF STANFORD AND INVOLVES FACULTY, GRADUATE STUDENTS, AND UNDERGRADUATES WHO SEEK NEW KNOWLEDGE IN SERVICE TO HUMANITY STANF ORD FACULTY MEMBERS HAVE CONTRIBUTED TO ADVANCEMENTS IN HIGH TECH, INCLUDING THE CREATION OF DIGITAL SUBSCRIBER LINES, IDENTITY-BASED ENCRYPTION, GLOBAL POSITIONING SYSTEMS AND THE REDUCED INSTRUCTION SET COMPUTER, AMONG OTHER DISCOVERIES, DISEASE IDENTIFICATION AND MAN AGEMENT, INCLUDING PROGRAMS USED BY MORE THAN 500 ORGANIZATIONS WORLDWIDE CONCERNED WITH C HRONIC HEALTH PROBLEMS SUCH AS ARTHRITIS AND HIV/AIDS, AND GENOME SEQUENCING, INCLUDING DI SCOVERIES THAT CREATED THE FIELD OF GENETIC ENGINEERING TODAY'S STANFORD FACULTY MEMBERS ARE LEADERS IN NEUROSCIENCE, ENERGY, STEM CELL RESEARCH, NANOTECHNOLOGY, BIOENGINEERING, C OMPUTING TECHNOLOGY, REFORM OF OUR NATION'S SCHOOLS AND ENVIRONMENTAL SUSTAINABILITY THRO UGH BOTH BASIC AND APPLIED RESEARCH, STANFORD IS COMMITTED TO PROVIDING NEW KNOWLEDGE THAT FUELS OUR NATIONAL ECONOMY AND TO TRAINING GRADUATE STUDENTS WHO WILL BECOME TOMORROW'S T EACHERS AND RESEARCHERS ENTREPRENEURIAL STANFORD FACULTY MEMBERS ARE KNOWN FOR THEIR ABIL ITY TO CROSS INTERDISCIPLINARY BOUNDARIES TO CREATE TEAMS OF RESEARCHERS ABLE TO PROVIDE N

Return Reference	Explanation
FORM 990, PART III, LINE 4C	STATEMENT OF PROGRAM SERVICES ACCOMPLISHMENTS (EXPENSES \$1,113,052,759 INCLUDING GRANTS OF \$778,122, REVENUE \$1,209,885,393 ) UNIVERSITY AUXILIARY ACTIVITIES INCLUDES AMONG OTHERS PATIENT CARE, RESIDENTIAL & DINING ENTERPRISES, AND INTER-COLLEGIATE ATHLETIC PROGRAMS S TANFORD SCHOOL OF MEDICINE FACULTY MEMBERS ARE HEALTH-CARE PRACTITIONERS AS WELL AS TEACHE RS, COMBINING EXPERTISE HONED BY RESEARCH WITH THE MOST ADVANCED TECHNOLOGY TO TREAT PATIE NTS AT STANFORD HEALTH CARE AND THE LUCILE PACKARD CHILDREN'S HOSPITAL STANFORD FACULTY P ROVIDE APPROXIMATELY 84 PERCENT OF THE PATIENT CARE AT STANFORD HEALTH CARE AND 96 PERCENT OF THE PEDIATRIC CARE AT LUCILE PACKARD CHILDREN'S HOSPITAL RESIDENTIAL & DINING ENTERPR ISES (R&DE) IS THE STEWARD OF 5 MILLION SQ FT OF PHYSICAL PLANT (APPROXIMATELY 1/3 OF CAMP US) AND GENERATES REVENUE PRIMARILY THROUGH STUDENT ROOM AND BOARD R&DE HOUSES 6,569 UNDE RGRADUATE STUDENTS AND 5,993 GRADUATE STUDENTS R&DE COMPLEMENTS STANFORD'S ACADEMIC PROGR AMS WITH A ROBUST RESIDENTIAL LIVING AND LEARNING ENVIRONMENT THE UNIVERSITY HAS BEEN INV ESTING IN THE RESIDENTIAL EDUCATION PROGRAM TO FOSTER AN ENVIRONMENT OF INTELLECTUAL, EDUC ATIONAL, AND COMMUNITY-BUILDING ACTIVITIES IN STUDENT RESIDENCES

Return Reference	Explanation
FORM 990, PART III, LINE 4D	OTHER PROGRAM SERVICES (EXPENSES \$1,002,281,693 INCLUDING GRANTS OF \$400,176,546, REVENUE \$460,940,347) ACADEMIC SUPPORT AND PUBLIC SERVICE \$431,325,681 STUDENT FINANCIAL AID \$286, 851,321 SLAC CONSTRUCTION AND OTHER \$284,104,691 TOTAL OTHER PROGRAM SERVICE EXPENSES \$1,0 02,281,693 ACADEMIC SUPPORT AND PUBLIC SERVICE STANFORD SUPPORTS 20 LIBRARIES THE COLLEC TIONS OF BOOKS, JOURNALS, SCORES AND PRINTED REFERENCE WORKS COMPRISE MORE THAN 9 5 MILLIO N PHYSICAL VOLUMES, 1 8 MILLION E-BOOKS, HALF A MILLION AUDIOVISUAL MATERIALS, MORE THAN 4 51,000 SERIALS, THOUSANDS OF OTHER DIGITAL RESOURCES AND NEARLY 200,000 MICROFORM TITLES SPECIAL COLLECTIONS INCLUDE ABOUT 222,000 RARE BOOKS AND MORE THAN 59 MILLION PAGES OF MAT ERIALS THE STANFORD UNIVERSITY INFORMATION TECHNOLOGY NETWORK AND INFRASTRUCTURE INCLUDES MORE THAN 258,400 ACTIVE DEVICES WITH ASSIGNED INTERNET PROTOCOL ADDRESSES STANFORD UNIVERSITY NETWORK TRANSPORTS 40 TERABYTES OF INCOMING DATA AND 30 TERABYTES OF DATA OUTGOING BETWEEN STANFORD AND THE INTERNET EACH DAY STUDENT SERVICES INCLUDE, AMONG OTHERS, THE DE AN OF STUDENT LIFE OFFICE, THE REGISTRAR, THE ADMISSIONS OFFICE, THE FINANCIAL AID OFFICE, AND THE OFFICE FOR RELIGIOUS LIFE STUDENT FINANCIAL AID INCLUDES MERIT AND NEED-BASED S CHOLARSHIPS AND FELLOWSHIPS SLAC CONSTRUCTION AND OTHER THE UNIVERSITY MANAGES AND OPERA TES THE SLAC NATIONAL ACCELERATOR LABORATORY FOR THE U S DEPARTMENT OF ENERGY ("DOE") UND ER A MANAGEMENT AND OPERATING CONTRACT REVENUES AND EXPENDITURES ARE INCLUDED IN STANFORD 'S FINANCIAL STATEMENTS, ASSETS AND LIABILITIES ARE OWNED BY DOE AND THEREFORE CARRIED ON DOE'S BOOKS ACCORDINGLY, CONSTRUCTION EXPENDITURES ARE RECORDED AS EXPENDITURES HEREIN

Return Reference	Explanation
FORM 990 PART IV, LINES 12A AND 12B	AUDIT OF FINANCIAL STATEMENTS STANFORD'S CONSOLIDATED FINANCIAL STATEMENTS AS OF AND FOR THE YEARS ENDED AUGUST 31, 2017 AND AUGUST 31, 2016 WERE AUDITED BY THE ACCOUNTING FIRM OF PRICEWATERHOUSECOOPERS ("PWC") AS REQUIRED BY GENERALLY ACCEPTED ACCOUNTING PRINCIPLES, THE UNIVERSITY'S FINANCIAL STATEMENTS ARE PREPARED ON A CONSOLIDATED BASIS AND REFLECT THE COMBINED FINANCIAL POSITION AND RESULTS OF THE UNIVERSITY, STANFORD HEALTH CARE AND LUCILE SALTER PACKARD CHILDRENS HOSPITAL AT STANFORD, INCLUDING THEIR RESPECTIVE CONTROLLED AFFI LIATES ("THE HOSPITALS") IN ADDITION, THE FINANCIAL STATEMENTS PRESENT CONSOLIDATING STAT EMENTS THAT DISCLOSE SEPARATELY THE ACCOUNTS OF THE UNIVERSITY FROM THOSE OF THE HOSPITALS UNDER SEPARATE COVER, THE HOSPITALS PROVIDE AUDITED FINANCIAL STATEMENTS OF THEIR OWN AC COUNTS PWC UTILIZES SEPARATE AUDIT TEAMS TO CONDUCT THE AUDIT ENGAGEMENTS OF STANFORD AND THE HOSPITALS EACH AUDIT IS CONDUCTED BY QUALIFIED PROFESSIONAL ACCOUNTANTS WITH AUDIT P LANS DESIGNED FROM THE SEPARATE ACCOUNTS FOR THE RESPECTIVE ENTITIES, IN ACCORDANCE WITH G ENERALLY ACCEPTED AUDITING STANDARDS

Return Reference	Explanation
	STANFORD HAS AN INTEREST IN OR SIGNATURE AUTHORITY OVER BANK OR INVESTMENT ACCOUNTS IN THE FOLLOWING COUNTRIES CAYMAN ISLANDS, CHILE, CHINA, FRANCE, GERMANY, GHANA, HONG KONG, ITA LY, KENYA, MAURITIUS, SOUTH AFRICA, SPAIN, TURKEY, UNITED KINGDOM

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	BUSINESS RELATIONSHIPS THE FOLLOWING INDIVIDUALS LISTED ON PART VII HAVE A BUSINESS RELATI ONSHIP WITH EACH OTHER - KAVITARK RAM SHRIRAM IS A DIRECTOR OF ALPHABET INC AND GOOGLE I NC, WHERE RUTH PORAT SERVES AS CHIEF FINANCIAL OFFICER - BROOK H BYERS IS A DIRECTOR OF ENJOY, WHERE RONALD B JOHNSON SERVES AS CHIEF EXECUTIVE OFFICER

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINES 11A & B	REVIEW OF THE FORM 990 THE FORM 990 IS PREPARED BY THE UNIVERSITY'S TAX DEPARTMENT IN CONS ULTATION WITH THE OFFICE OF GENERAL COUNSEL, PAYROLL AND OTHER UNIVERSITY DEPARTMENTS THE RETURN IS REVIEWED BY EXTERNAL ACCOUNTANTS, OUTSIDE COUNSEL, AND SENIOR MANAGEMENT INCLUD ING THE SENIOR ASSOCIATE VICE PRESIDENT FOR FINANCE THE RETURN IS THEN DISTRIBUTED TO THE BOARD COMMITTEE ON AUDIT, COMPLIANCE AND RISK FOR THEIR REVIEW IN ADVANCE OF THE COMMITTE E MEETING THE COMMITTEE IS OFFERED AN OPPORTUNITY TO ASK QUESTIONS BOTH AT THE MEETING AN D ANYTIME THEREAFTER SUBSEQUENT TO THE MEETING, A COMPLETE COPY OF THE FORM 990 IS DISTRI BUTED TO THE FULL BOARD OF TRUSTEES PRIOR TO FILING WITH THE IRS

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	CONFLICT OF INTEREST MEMBERS OF THE UNIVERSITY'S BOARD OF TRUSTEES ("TRUSTEES"), OFFICERS AND FACULTY MAY, FROM TIME TO TIME, BE ASSOCIATED, EITHER DIRECTLY OR INDIRECTLY, WITH ENT ITIES DOING BUSINESS WITH THE UNIVERSITY THE UNIVERSITY HAS CONFLICT OF INTEREST POLICIES THAT ARE STRICTLY ENFORCED TO ENSURE THAT ALL TRANSACTIONS WITH THESE PARTIES ARE ARM'S LENGTH, AND THERE IS NO FAVORABLE TREATMENT AS A RESULT OF THESE RELATIONSHIPS CONFLICTS OF INTEREST ARE REGULARLY MONITORED, AND APPLICABLE POLICY IS CONSISTENTLY ENFORCED UNIVER SITY-WIDE COMPLIANCE INITIATIVES INCLUDE TRAINING AND DOCUMENTATION, REQUIRED ANNUAL DISCL OSURE AND FOLLOW-UP, MANAGEMENT CONTROLS, ENTERPRISE RISK MANAGEMENT, REGULAR INTERNAL AND EXTERNAL AUDITS, WHISTLE-BLOWER PROVISIONS, SUPERVISION, AND REVIEW PERCEIVED VIOLATIONS WOULD BE INVESTIGATED AND ADDRESSED AS APPROPRIATE THROUGH VARIOUS SANCTIONS, INCLUDING F INANCIAL PENALTIES AND TERMINATION, DEPENDING UPON THE NATURE AND DEGREE OF THE CONFLICT FOR OFFICERS, THE UNIVERSITY REQUIRES ANNUAL DISCLOSURE OF SIGNIFICANT FINANCIAL INTERESTS IN EMPLOYMENT OR CONSULTING RELATIONSHIPS WITH ENTITIES DOING BUSINESS WITH THE UNIVERSITY Y THESE ANNUAL DISCLOSURES COVER BOTH OFFICERS AND THEIR IMMEDIATE FAMILY WHEN SUCH ASSOCIATIONS EXIST, MEASURES ARE TAKEN TO APPROPRIATELY MANAGE, IN THE BEST INTERESTS OF THE UNIVERSITY, ANY ACTUAL OR PERCEIVED CONFLICT FACULTY MUST COMPLY WITH THE FACULTY CONFLICT OF INTEREST POLICY, WHICH REQUIRES ANNUAL CERTIFICATION OF COMPLIANCE WITH THE POLICY AND DISCLOSURE OF INTERESTS IN OUTSIDE ENTITIES THAT ARE SPONSORS OF THEIR TEACHING OR RESEAR CH OR OTHER TRANSACTIONS WITH THE UNIVERSITY IN WHICH THEY ARE INVOLVED ALL PERSONAL FINA NOT AND ADDRESSED TO A SANDAL CERTIFICATION OF COMPLIANCE WITH THE POLICY AND DISCLOSURE OF INTERESTS HAD A WRITTEN CONFLICT OF INTEREST POLICY THAT REQUIRES, AMONG OTHER THINGS, THAT NO MEMBER OF THE BOADD OF TRUSTEES IS PERMITTED TO PARTICIPATE IN ANY DECISION RELATING TO AN ENTITY IN WHICH HE OR SHE (OR AN IMMEDIATE FAMILY MEMBER) H

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	COMPENSATION DETERMINATION THE ANNUAL PROCESS FOR DETERMINING COMPENSATION OF BOTH THE TOP MANAGEMENT OFFICIAL (PRESIDENT OF THE UNIVERSITY) AND OF OTHER OFFICERS/KEY EMPLOYEES INC LUDES REVIEW AND APPROVAL BY INDEPENDENT PERSONS, USE OF COMPARABILITY DATA AND CONTEMPORA NEOUS DOCUMENTATION OF THE DELIBERATION AND DECISION IN THE CASE OF THE UNIVERSITY'S PRES IDENT, THE VICE PRESIDENT FOR HUMAN RESOURCES, AT A PRELIMINARY MEETING WITH A SUBCOMMITTE E OF THE BOARD COMMITTEE ON COMPENSATION, INCLUDING NON-EMPLOYEE TRUSTEES, PRESENTS AN ANA LYSIS OF CURRENT RELEVANT MARKET DATA, CONTAINING SALARY INFORMATION OF INDIVIDUALS SERVIN G IN A COMPARABLE POSITION, OBTAINED FROM AN INDEPENDENT HUMAN RESOURCE CONSULTING FIRM THE SAME MATERIALS ARE SENT TO THE FULL COMMITTEE AT THE MEETING OF THE FULL COMMITTEE AND IN THE ABSENCE OF THE PRESIDENT, DISCUSSION OCCURS PRIOR TO A VOTE MINUTES OF ALL MEETIN GS ARE ON FILE IN THE OFFICE OF THE VICE PRESIDENT FOR HUMAN RESOURCES IN THE CASE OF OTH ER OFFICERS/KEY EMPLOYEES, PER GUIDELINES IN THE COMMITTEE'S EXECUTIVE COMPENSATION POLICY AND PROCEDURES DOCUMENT, EACH YEAR THE VICE PRESIDENT FOR HUMAN RESOURCES PROVIDES CURREN TRELEVANT MARKET DATA AND THE HISTORICAL PAY INFORMATION RELATING TO THESE PERSONS TO THE PRESIDENT OR TO THE PROVOST BASED ON THE REPORTING STRUCTURE THE PRESIDENT AND PROVOST PROPOSE CHANGES IN COMPENSATION BASED ON EACH INDIVIDUAL'S PERFORMANCE AND ON THE MARKET DATA THE COMMITTEE ON COMPENSATION REVIEWS THE RECOMMENDATIONS AND, FOLLOWING DISCUSSION, A PPROVES THE RECOMMENDATIONS AS SUBMITTED OR WITH MODIFICATIONS MINUTES OF THE MEETING ARE ON FILE IN THE OFFICE OF THE VICE PRESIDENT FOR HUMAN RESOURCES

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	STANFORD UNIVERSITY MAKES ITS FOUNDING GRANT, FACULTY AND STAFF CONFLICT OF INTEREST POLIC IES, AND FINANCIAL STATEMENTS AVAILABLE TO THE GENERAL PUBLIC ON STANFORD'S WEBSITE, AND U PON REQUEST TO THE OFFICE OF UNIVERSITY COMMUNICATIONS

Return Reference	Explanation
FORM 990, PART VII, SECTION A, COLUMN B	HOURS FOR RELATED ORGANIZATIONS IN CONNECTION WITH THEIR POSITIONS AT STANFORD, CERTAIN LI STED INDIVIDUALS MAY, FROM TIME TO TIME, PARTICIPATE IN ACTIVITIES OF A RELATED ORGANIZATI ON WHERE THE LISTED INDIVIDUALS ARE TRUSTEES, DIRECTORS, OFFICERS, OR EMPLOYEES OF THE RE LATED ORGANIZATION, THE TIME DEVOTED TO THE RELATED ORGANIZATION BY SUCH INDIVIDUALS IS GE NERALLY REPORTED AS RELATED ORGANIZATION HOURS IN PART VII, SECTION A, LINE 1A, COLUMN (B), BELOW THE DOTTED LINE IN ALL OTHER CASES, THE HOURS IN CONNECTION WITH SUCH PARTICIPATI ON ARE INCLUDED IN THE HOURS REPORTED FOR THE INDIVIDUALS' POSITIONS AT STANFORD UNIVERSITY IN PART VII, SECTION A, LINE 1A, COLUMN (B), ABOVE THE DOTTED LINE

This document is provided as a preview. For the full version, please contact the IRS or the organization directly.